# Permutations, cycles and the pattern 2–13

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#### Abstract

We count the number of occurrences of restricted patterns of length 3 in permutations with respect to length *and* the number of cycles. The main tool is a bijection between permutations in standard cycle form and weighted Motzkin paths.

### 1 Introduction

Let  $S_n$  denote the set of permutations of  $[n] = \{1, 2, ..., n\}$ . A *pattern* in a permutation  $\pi \in S_n$  is a permutation  $\sigma \in S_k$  and an occurrence of  $\sigma$  as a subword of  $\pi$ : There should exist  $i_1 < \cdots < i_k$  such that  $\sigma = R(\pi(i_1) \cdots \pi(i_k))$ , where R is the reduction operator that maps the smallest element of the subword to 1, the second smallest to 2, and so on.

For example, an occurrence of the pattern 3–2–1 in  $\pi \in S_n$  means that there exist  $1 \leq i < j < k \leq n$  such that  $\pi(i) > \pi(j) > \pi(k)$ .

We further consider *restricted* patterns, introduced by Babson and Steingrímsson, [1]. The restriction is that two specified adjacent elements in the pattern *must be adjacent* in the permutation as well. The position of the restriction in the pattern is indicated by an absence of a dash (-). Thus, an occurrence of the pattern 3-21 in  $\pi \in S_n$  means that there exist  $1 \leq i < j < n$  such that  $\pi(i) > \pi(j) > \pi(j+1)$ .

Here we are mainly interested in patterns of the type 2–13. We remark that it is shown by Claesson, [3], that the occurrences of 2–13 are equidistributed with the occurrences of the pattern 2–31, as well as with 13–2 and with 31–2. The number of permutations with k occurrences of 2–13 where given by Claesson and Mansour, [4], for  $k \leq 3$  and for  $k \leq 8$ by Parviainen, [6].

The starting point of [6] and this paper is a generating function related to the solution of a certain much studied Markov chain, the asymmetric exclusion process, [2]. This function, of 4 variables, is the continued fraction

$$F(q, x, y, t) = \frac{1}{1 - t([1]_q^x + [1]_q^y) - \frac{t^2 [1]_q [2]_q^{x, y}}{1 - t([2]_q^x + [2]_q^y) - \frac{t^2 [2]_q [3]_q^{x, y}}{1 - t([3]_q^x + [3]_q^y) \cdots}},$$

where

$$[h]_q = 1 + q + \dots + q^{h-2} + q^{h-1},$$
  

$$[h]_q^x = 1 + q + \dots + q^{h-2} + xq^{h-1},$$
  

$$[h]_q^y = 1 + q + \dots + q^{h-2} + yq^{h-1},$$
  

$$[h]_q^{x,y} = 1 + q + \dots + q^{h-3} + (x + y - xy)q^{h-2} + xyq^{h-1}.$$

It was shown in [4] and [6] that F(q, 1, 1, t) counts the number of permutations with k occurrences of the pattern 2–13. The main goal of this paper is to study F(q, x, 1, t) and give a combinatorial interpretation of the coefficients. It turns out that the variable x is connected to the cycle structure of permutations.

# 2 Introducing cycles

First consider F(1, x, 1, t), and expand in t:

$$F(1, x, 1, t) = 1 + (1 + x)t + (2 + 3x + x^{2})t^{2} + (6 + 11x + 6x^{2} + x^{3})t^{3} + O(t^{4}).$$

These coefficients certainly looks like the unsigned Stirling numbers of the first kind. Thus F(1, x, 1, t) should count the number of permutations with respect to length and number of cycles. This will indeed follow from the main theorem.

As F(q, 1, 1, t) counts the number of occurrences of the pattern 2–13 and F(1, x, 1, t) the number of cycles, F(q, x, 1, t) should give (some kind of) bivariate statistic of occurrences of 2–13 and cycle distribution.

### 2.1 Cyclic occurrence of patterns

The standard cycle form of a permutation  $\pi \in S_n$  is the permutation written in cycle form, with cycles starting with the smallest element, and cycles ordered in decreasing order with respect to their minimal elements. Let  $C(\pi)$  denote the standard cycle form of a permutation  $\pi$ .

*Example* 1. If  $\pi = 47613852$ , then  $C(\pi) = (275368)(14)$ .

**Definition 1.** Let  $\pi$  be a permutation of [n], with standard cycle form

$$C(\pi) = (c_1^1 c_2^1 \cdots c_{i_1}^1) (c_1^2 c_2^2 \cdots c_{i_2}^2) \cdots (c_1^k c_2^k \cdots c_{i_k}^k),$$

and let  $\sigma = AB$  be a permutation of [m],  $m \leq n$ . The pattern A-B occurs cyclically in  $\pi$  if it occurs in one of the following senses

Between cycles: If A-B occurs in the permutation

$$\hat{\pi} = c_1^1 c_2^1 \cdots c_{i_1}^1 c_1^2 c_2^2 \cdots c_{i_2}^2 \cdots c_1^k c_2^k \cdots c_{i_k}^k$$

and there exist a < b such that A occurs in  $c_1^a \cdots c_{i_a}^a$  and B occurs in  $c_1^b \cdots c_{i_b}^b$ , we say that A-B occurs between cycles in  $\pi$ .

Within cycles: Let  $\tilde{\pi} = c_1^a \cdots c_{i_a}^a$ . If A-B occurs in  $\tilde{\pi}$  we say that A-B occurs within cycle a in  $\pi$ .

Example 2. If  $C(\pi) = (275368)(14)$  there are 2 occurrences of 2–13 between cycles, 2–14 and 3–14, and 2 occurrences of 2–13 within cycles, 7–68 and 5–36.

Let  $\Phi_{i,j}(n)$  denote the number of permutations of length n, with i cyclic occurrences of 2–13 and j cycles.

**Theorem 1.** The function F(q, x, 1, t) is the (ordinary) generating function for  $\Phi_{i,j}(n)$ :

$$\Phi_{i,j}(n) = [q^i x^j t^n] F(q, x, 1, t).$$

## 3 Proof of Theorem 1

We will use the fact [5, Theorem 1] that F(q, x, 1, t) is the generating function for weighted bi-coloured Motzkin paths.

**Definition 2.** A Motzkin path of length n is a sequence of vertices  $p = (v_0, v_1, \ldots, v_n)$ , with  $v_i \in \mathbb{N}^2$  (where  $\mathbb{N} = \{0, 1, \ldots\}$ ), with steps  $v_{i+1} - v_i \in \{(1, 1), (1, -1), (1, 0)\}$  and  $v_0 = (0, 0)$  and  $v_n = (n, 0)$ .

A bicoloured Motzkin path is a Motzkin path in which each east, (1, 0), step is labelled by one of two colours.

From now on all Motzkin paths considered will be bi-coloured.

Let N(S) denote a north, (1, 1), step (resp., south, (1, -1), step), and E and F the two different coloured east steps. Further, let  $N_h, S_h, E_h, F_h$  denote the weight of a N, S, E, F step, respectively, that starts at height h. The weight of a Motzkin path is the product of the steps weights.

If the weights are given by

$$N_h = [h+2]_q^x, S_h = [h]_q, E_h = [h+1]_q^x \text{ and } F_h = [h+1]_q,$$
(1)

it follows immediately from [5, Theorem 1] that  $[q^i x^j t^n] F(q, x, 1, t)$  is the number of Motzkin paths of length n with weight  $q^i x^j$ . Let  $\mathcal{M}_n$  denote the set of weighted Motzkin paths of length n with step weights given by (1).

To establish Theorem 1 we will use a bijection between sets of permutations and weighted Motzkin paths of length n.



#### 3.1 The arc representation

We use a graphical representation of permutations to aid in the description of the mapping. For permutation  $\pi \in S_n$  with standard cycle form

$$C(\pi) = (c_1^1 c_2^1 \cdots c_{i_1}^1) (c_1^2 c_2^2 \cdots c_{i_2}^2) \cdots (c_1^k c_2^k \cdots c_{i_k}^k),$$

make *n* nodes in a line, representing the elements 1 to *n*. For s = 1, ..., k and  $t = 1, ..., i_s - 1$  draw an arc from node  $c_t^s$  to node  $c_{t+1}^s$ . If cycle *s* is of size 1 draw a loop from  $c_1^s$  to itself. See Figure 1 for an example.

Associate each node with a left and a right shape. The left (right) shape is connections to nodes on the left (right) side with the node. There are 4 possibilities for the left shape of a node k:

- No arcs with is right endpoint at k the shape is  $\emptyset$ .
- No arc leaving k to the left, but an arc entering k from the right the shape is  $\rightarrow$ .
- An arc leaving k to the left, but no arc entering k from the right the shape is  $\leftarrow$ .
- Arcs both leaving to the left and entering from the left the shape is  $\rightleftharpoons$ .

Similarly, the possible right shapes are  $\{\emptyset, \rightarrow, \leftarrow, \rightleftharpoons\}$ . See Figure 1 for an example.

#### 3.1.1 Weights in the arc representation

We now give each element, or node in the arc representation, a weight  $x^a q^{b+w}$ , in such a way that the product of a permutation's elements weights is  $x^k q^m$ , where k is the number of cycles in  $\pi$  and m is the number of cyclic occurrences of 2–13.

Imagine the arcs being drawn in sequence, in the order  $c_1^1 \to c_2^1, c_2^1 \to c_3^1, \ldots, c_{i_k-1}^k \to c_{i_k}^k$ . If  $i_s = 1$  for a cycle s, we draw the loop  $c_1^s \to c_1^s$ . In this drawing procedure we say that a node is visited once we have drawn an arc starting or ending at that node, whichever occurs first.

Give node k weight  $x^a q^{b+w}$ , where

- $a ext{ is 1 if the left right shape pair of the node is } (\emptyset, \rightarrow) ext{ and } a ext{ is 0 otherwise (element k is the first in the cycle),}$
- b is the number of times an arc belonging to a different cycle that is drawn after the node is visited passes over the node from left to right (element k plays the role of "2" in b occurrences of 2–13 between cycles),
- w is the number of times an arc belonging to the same cycle that is drawn after the node is visited passes over the node from left to right (element k plays the role of "2" in w occurrences of 2–13 within cycles).

See Figure 1 for an example.

#### **3.2** One surjection and two bijections

First we define a mapping  $\Psi$  from  $S_n$  to Motzkin paths of length n, and prove that it is a surjection.

**Definition 3.** If  $\pi \in S_n$  have left shapes  $\{l_1, \ldots, l_n\}$  and right shapes  $\{r_1, \ldots, r_n\}$ , let step k in  $\Psi(\pi)$  be  $s_k$ , where  $s_k$  is given by the following table (where "-" denotes pairs of shapes that do not appear). Further, give step k the same weight as node k.

$l_k \backslash r_k$	Ø	$\rightarrow$	$\leftarrow$	$\rightleftharpoons$
Ø	E	N	F	N
$\rightarrow$	S	E	-	_
$\leftarrow$	—	—	F	-
$\rightleftharpoons$	S	—	—	_

**Lemma 2.** The the mapping  $\Psi$  is a surjection from the set of permutations to the set of Motzkin paths with no F steps at level 0.

*Proof.* To show that the image is a Motzkin path, the conditions for a Motzkin path must be verified. Namely, that the number of N steps up to step m are always less than or equal to the number of S steps, and that equality holds after the last step.

As the shape pairs  $(\leftarrow, \leftarrow)$ ,  $(\rightarrow, \rightarrow)$ ,  $(\emptyset, \emptyset)$  and  $(\emptyset, \leftarrow)$  map to E and F steps, it may be assumed that these shapes do not occur.

Now, in a valid arc diagram, for every node k with shape pair  $(\rightarrow, \emptyset)$  or  $(\rightleftharpoons, \emptyset)$  there is a corresponding node with shape pair either  $(\emptyset, \rightarrow)$  or  $(\emptyset, \rightleftharpoons)$  (the starting point of the arc that ends at k). Therefore the number of  $(\emptyset, \rightarrow)$  and  $(\emptyset, \rightleftharpoons)$  shape pairs up to and including node k must be greater than or equal to the number of  $(\rightarrow, \emptyset)$  and  $(\rightleftharpoons, \emptyset)$  shape pairs. Further, these counts must agree for k = n. This is exactly what is needed.

To show that  $\Psi$  is a surjection, consider any Motzkin path p with no F steps at level 0. We will build an arc diagram a that maps to p.

For each F step in p we can associate a unique pair of N and S steps. (The rightmost N step to the left of the F step ending at the F step's level is paired with the leftmost S step to the right of the F starting at the F step's level.) Let n, f, s denote the positions of the N, F, S steps, respectively. In the arc diagram a, draw an arc from node n to node s and one from node s to node f.

For the remaining N and S steps, fix one pairing of these, and draw arcs from the nodes corresponding to the N steps, to the associated nodes corresponding to the S steps.

For every E step in p, draw an loop at the corresponding node. Clearly, a represents a permutation, and  $\Psi(a) = p$  as desired.

The next step is to show that  $\Psi$  defines a bijection  $\psi$  from the set of equivalence classes of permutations to weighted Motzkin paths, where two permutations are equivalent if they map to the same *unweighted* Motzkin paths.

**Definition 4.** For an equivalence class  $\mathbf{E}_p = \{\pi \in \mathcal{S} | \Psi(\pi) = p\}$  of permutations let  $\psi(\mathbf{E}_p) = p$ , and let the weight of step k be the sum of weights of node k over permutations in  $\mathbf{E}_p$ .

**Theorem 3.** The mapping  $\psi(\mathbf{E})$  is a bijection from the set of equivalence classes of permutations (with the above definition of equivalent) to the set of weighted Motzkin paths, with weights

$$N_h = E_h = [h+1]_q^x \text{ and } S_h = F_h = [h]_q,$$
 (2)

such that the sum of weights of permutations in **E** is the weight of  $\psi(\mathbf{E})$ .

*Proof.* Assume  $\Psi$  maps node k to an E step at height h. Then the pair of left and right shapes is either  $(\emptyset, \emptyset)$  or  $(\rightarrow, \rightarrow)$ . Further, to the left of node k there must be h+m shape pairs in the set  $\{(\emptyset, \rightarrow), (\emptyset, \rightleftharpoons)\}$  (corresponding to N steps) and m shape pars in the set  $\{(\leftarrow, \emptyset), (\rightleftharpoons, \emptyset)\}$  (corresponding to S steps). The nodes corresponding to E and F steps to the left of node k may be disregarded in this discussion.

Now, if the shape pair of node k is  $(\emptyset, \emptyset)$ , there are h arcs going over node k, and since all these arcs starts at a node to the left of node k, they are drawn after node k is visited. Therefore node k gets the weight  $xq^h$ .

If the shape pair is  $(\rightarrow, \rightarrow)$  there is *h* possibilities for the incoming arc (call this arc *A*). These give weights  $1, \ldots, q^{h-1}$  depending on the number of arcs with start node between the start node of arc *A* and node *k*.

Thus, in the image of the equivalence class, a step E at height h is given a total weight of  $[h+1]_a^x$  as required.

The cases of F, N and S steps are similar, and the details omitted.

That  $\psi$  is a bijection follows at once from the fact that  $\Psi$  is onto the set of Motzkin paths, and  $\psi$  is defined from the set of equivalence classes that maps to the same Motzkin paths.

The step weights produced by  $\psi$  are of the right form, but not exactly what we want. Let  $\mathcal{M}_n^*$  denote the set of weighted Motzkin paths with weights given by (2). A bijection  $\phi$  from  $\mathcal{M}_{n+1}^*$  to  $\mathcal{M}_n$  will finally give paths with the correct weights.

**Definition 5.** For p in  $\mathcal{M}_{n+1}^*$  and for  $k \in [n]$ , if steps k and k+1 is x and y, let step k in  $\phi(p)$  be given by

$x \backslash y$	E	F	N	S
E	E	S	E	S
F	N	F	N	F
N	N	F	N	F
S	E	S	E	S

and have the same weight as step k + 1 in p.

**Theorem 4.** The mapping  $\phi$  is a bijection from  $\mathcal{M}_{n+1}^*$  to  $\mathcal{M}_n$ .

*Proof (sketch).* That  $\phi$  give the correct step weights follows effortlessly from the definition. To show that  $\phi$  is a bijection, the inverse mapping is easily derived. See [6] for details.

## 4 Closed forms

Let C(t) be the Catalan function,  $C(t) = \frac{1-\sqrt{1-4t}}{2t}$ . It is well known that  $C(\gamma t)^2$  is the generating function for (bi-coloured) Motzkin paths in which each step have weight  $\gamma$ .

Define  $\bar{\alpha}_i^j = \{\alpha_i, \ldots, \alpha_j\}$  and  $\bar{\beta}_i^j = \{\beta_i, \ldots, \beta_j\}$ . Let  $g_k(\bar{\alpha}_1^k, \bar{\beta}_1^k, \gamma; t)$  be the generating function for Motzkin paths in which weights are given by

$$N_h S_{h+1} = \beta_h \text{ for } h \le k,$$
  

$$E_h + F_h = \alpha_h \text{ for } h \le k,$$
  

$$N_h = S_{h+1} = E_h = F_h = \gamma \text{ for } h > k.$$

Decomposing on the first return to the x-axis (where E and F steps counts as returns), we find that

$$g_1(\alpha_1, \beta_1, \gamma; t) = 1 + (\alpha_1 t + \beta_1 t^2 C(\gamma t)^2) g_1(\alpha_1, \beta_1, \gamma; t),$$

and in general

$$g_k(\bar{\alpha}_1^k, \bar{\beta}_1^k, \gamma; t) = 1 + (\alpha_1 t + \beta_1 t^2 g_{k-1}(\bar{\alpha}_2^k, \bar{\beta}_2^k, \gamma; t)) g_k(\bar{\alpha}_1^k, \bar{\beta}_1^k, \gamma; t).$$

Now, to find the number of permutations with k occurrences of 2–13, we can count weighted Motzkin paths with all weights truncated at  $q^k$ . This is formalised in the following theorem.

**Theorem 5.** For  $i \leq k$ ,

$$\Phi_{i,j}(n) = [q^i x^j t^n] g_k(\{[1]_q + [1]_q^x, \dots, [k]_q + [k]_q^x\}, \{[1]_q [2]_q^x, \dots, [k]_q [k+1]_q^x\}, [k]_q; t\})$$

Let  $G_k(x,t) = \sum_{m,n} x^m t^n \Phi_{k,m}(n)$ . By iteratively calculating  $g_k$  and differentiating with respect to q, we find that

$$G_0(x,t) = \frac{C(t)}{1 - txC(t)},$$
  
$$G_1(x,t) = \frac{C(t)(-1 + C(t) + x(2 - C(t)))(1 - C(t))^2}{(2 - C(t))(1 - xtC(t))^2}$$

and

$$G_{2}(x,t) = \frac{2(1-C(t))^{3}}{(2-C(t))^{3}(1-xtC(t))^{3}} \Big( -x^{3}(2-C(t))^{3}(1-C(t)) + x^{2}(2-C(t))^{2}(3-8C(t)+4C(t)^{2}) - x(3-20C(t)+37C(t)^{2}-24C(t)^{3}+5C(t)^{4}) - (1-C(t))(1-5C(t)+2C(t)) \Big).$$

#### 4.1 Extracting coefficients

The generating functions can be written in the form  $P(C, x)(2 - C)^{-a}(1 - xtC)^{-b}$  for integers a and b, and where P(C, x) is a polynomial in C and x. This allows for a routine, but lengthy, method for extracting coefficients. Consider as an example

$$G_1(x,t) = \frac{A+xB}{(1-xtC(t))^2}$$

where  $A = \frac{C(t)(1-C(t))^3}{C(t)-2}$  and  $B = C(t)(1-C(t))^2$ . Expanding  $G_1(x,t)$  in powers of x, we find that

$$[x^{k}]G_{1}(x,t) = (k+1)At^{k}C(t)^{k} + kBt^{k-1}C(t)^{k-1}.$$

Noting that the above may be written as a sum of powers of  $\sqrt{1-4t}$ , coefficients may be extracted by applying the binomial theorem. See [6] for details.

Theorem 6.

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### 5 Other patterns

There are 12 patterns of type (1,2) or (2,1). As shown by Claesson [3], these fall into three equivalence classes with respect to distribution of non-cyclic occurrences in permutations, namely

 $\{1-23, 12-3, 3-21, 32-1\}, \{1-32, 21-3, 23-1, 3-12\}$  and  $\{13-2, 2-13, 2-31, 31-2\}.$ 

It is only natural to ask about equivalence classes with respect to cyclic occurrences of patterns of type (1,2) and (2,1). Unfortunately, there are a lot of them. We conjecture that the 144 possible distributions fall into 106 equivalence classes. In any case 106 is lower bound. The conjectured classes of size 2 or more are given in Table 1.

**Conjecture 7.** The distributional relations in Table 1 holds, and the table includes all such relations.

Let  $\Pi(p_b, p_w)$  denote the distribution of occurrences of  $(p_b, p_w)$  in permutations, where  $(p_b, p_c)$  means that we count occurrences of  $p_b$  between cycles and of  $p_w$  within cycles. Let  $\Pi(p_b, p_w; C)$  denote the bivariate distribution of cycles and occurrences of  $(p_b, p_w)$ .

Write  $(p_b, p_w) \sim (q_b, q_w)$  if  $\Pi(p_b, p_w) = \Pi(q_b, q_w)$ , and  $(p_b, p_w) \sim (q_b, q_w)$  if  $\Pi(p_b, p_w; C) = \Pi(q_b, q_w; C)$ .

First note that there are 8 diagonal classes.

**Theorem 8.** The following distributional equivalences holds.

$$(13-2, 13-2) \sim (2-13, 2-13),$$
  
 $(1-23, 1-23) \sim (12-3, 12-3)$  and  
 $(1-32, 1-32) \sim (3-12, 3-12) \stackrel{c}{\sim} (23-1, 23-1).$ 

*Proof.* The " $\sim$ " cases follow from Theorem 9 and the non-cyclic equivalence classes.

It remains to show that  $(3-12, 3-12) \sim (23-1, 23-1)$ . Given a permutation  $\pi$  in cycle form  $C(\pi) = (c_1^1 c_2^1 \cdots c_{i_1}^1) (c_1^2 c_2^2 \cdots c_{i_2}^2) \cdots (c_1^k c_2^k \cdots c_{i_\ell}^k),$ 

let

$$\hat{C}(\pi) = (d_{i_k}^k \cdots d_1^k) \cdots (d_{i_1}^1 \cdots d_1^1),$$

where  $d_i^j = n + 1 - c_i^j$ . Write  $\hat{C}(\pi)$  in standard cycle form. The result is a permutation, say  $D(\pi)$ , such that each occurrence, between or within cycles, of 3–12 in  $C(\pi)$  corresponds exactly to an occurrence of 23–1 in  $D(\pi)$ . Furthermore, the cycle structure is obviously preserved.

The seven patterns involved the above theorem share the property that they are equidistributed with the non-cyclic occurrences. Let  $\Pi(p)$  denote the distribution of non-cyclic occurrences of the pattern p.

$$\begin{array}{l} (31-2,\ 31-2) \stackrel{\sim}{\sim} (31-2,\ 2-31) \\ (13-2,\ 31-2) \stackrel{\sim}{\sim} (13-2,\ 2-13) \\ (13-2,\ 13-2) \stackrel{\sim}{\sim} (2-31,\ 2-31) \\ (2-31,\ 31-2) \stackrel{\sim}{\sim} (2-31,\ 2-31) \\ (31-2,\ 3-21) \stackrel{\sim}{\sim} (31-2,\ 32-1) \\ (2-31,\ 3-21) \stackrel{\sim}{\sim} (2-31,\ 32-1) \\ (31-2,\ 3-21) \stackrel{\sim}{\sim} (31-2,\ 32-1) \\ (31-2,\ 3-21) \stackrel{\sim}{\sim} (31-2,\ 23-1) \\ (13-2,\ 3-12) \stackrel{\sim}{\sim} (13-2,\ 21-3) \\ (2-31,\ 3-12) \stackrel{\sim}{\sim} (2-31,\ 23-1) \\ (1-23,\ 31-2) \stackrel{\sim}{\sim} (2-31,\ 23-1) \\ (1-23,\ 31-2) \stackrel{\sim}{\sim} (1-23,\ 2-13) \stackrel{\sim}{\sim} (3-21,\ 31-2) \stackrel{\sim}{\sim} (3-21,\ 2-31) \\ (3-21,\ 2-13) \stackrel{\sim}{\sim} (1-23,\ 2-31) \\ (3-21,\ 31-2) \stackrel{\sim}{\sim} (12-3,\ 2-13) \stackrel{\sim}{\sim} (32-1,\ 2-31) \\ (3-21,\ 31-2) \stackrel{\sim}{\sim} (1-32,\ 2-13) \stackrel{\sim}{\sim} (1-32,\ 2-31) \\ (3-21,\ 31-2) \stackrel{\sim}{\sim} (1-32,\ 2-13) \stackrel{\sim}{\sim} (3-12,\ 2-31) \\ (3-12,\ 31-2) \stackrel{\sim}{\sim} (23-1,\ 2-13) \stackrel{\sim}{\sim} (3-12,\ 2-31) \\ (21-3,\ 31-2) \stackrel{\sim}{\sim} (23-1,\ 2-13) \stackrel{\sim}{\sim} (3-12,\ 2-31) \\ (23-1,\ 31-2) \stackrel{\sim}{\sim} (23-1,\ 2-13) \\ (23-1,\ 31-2) \stackrel{\sim}{\sim} (23-1,\ 2-13) \\ (1-23,\ 3-12) \stackrel{\sim}{\sim} (1-32,\ 32-1) \\ (1-32,\ 3-12) \stackrel{\sim}{\sim} (1-32,\ 32-1) \\ (1-32,\ 3-12) \stackrel{\sim}{\sim} (1-32,\ 23-1) \\ (1-32,\ 3-12) \stackrel{\sim}{\sim} (1-32,\ 23-1) \\ (3-21,\ 3-12) \stackrel{\sim}{\sim} (1-32,\ 23-1) \\ (3-21,\ 3-12) \stackrel{\sim}{\sim} (1-32,\ 23-1) \\ (1-32,\ 3-12) \stackrel{\sim}{\sim} (1-32,\ 32-1) \\ (1-32,\ 3-12) \stackrel{\sim}{\sim} (1-32,\ 32-1) \\ (1-32,\ 3-12) \stackrel{\sim}{\sim} (1-32,\ 32-1) \\ (1-32,\ 3-12) \stackrel{\sim}{\sim} (3-12,\ 3-12) \stackrel{\sim}{\sim} (3-21,\ 32-1) \\ (1-32,\ 3-12) \stackrel{\sim}{\sim} (1-32,\ 3-1) \\ (1-32,\ 3-12) \stackrel{\sim}{\sim} (1-32,\ 3-1) \\ (1-32,\ 3-12) \stackrel{\sim}{\sim} (3-12,\ 3-12) \stackrel{\sim}{\sim} (3-21,\ 32-1) \\ (1-32,\ 3-12) \stackrel{\sim}{\sim} (3-12,\ 3-12) \stackrel{\sim}{\sim} (3-21,\ 32-1) \\ (1-32,\ 3-12) \stackrel{\sim}{\sim} (3-12,\ 3-12) \stackrel{\sim}{\sim} (3-21,\ 3-1) \\ (1-32,\ 3-12) \stackrel{\sim}{\sim} (3-12,\ 3-12) \stackrel{\sim}{\sim} (3-21,\ 3-1) \\ (1-32,\ 3-12) \stackrel{\sim}{\sim} (3-12,\ 3-12) \stackrel{\sim}{\sim} (3-12,\ 3-1) \\ (1-32,\ 3-12) \stackrel{\sim}{\sim} (3-12,\ 3-12) \stackrel{\sim}{\sim} (3-12,\ 3-1) \\ (1-32,\ 3-12) \stackrel{\sim}{\sim} (3-12$$



Theorem 9. We have

$$\Pi(2-13, 2-13) = \Pi(2-13),$$
  

$$\Pi(13-2, 13-2) = \Pi(13-2),$$
  

$$\Pi(1-23, 1-23) = \Pi(1-23),$$
  

$$\Pi(12-3, 12-3) = \Pi(12-3),$$
  

$$\Pi(1-32, 1-32) = \Pi(1-32),$$
  

$$\Pi(23-1, 23-1) = \Pi(23-1) \text{ and}$$
  

$$\Pi(3-12, 3-12) = \Pi(3-12).$$

*Proof.* We use a standard bijection between permutations written in standard cycle form and permutations. Given a permutation  $\pi$  in cycle form,

$$C(\pi) = (c_1^1 c_2^1 \cdots c_{i_1}^1) (c_1^2 c_2^2 \cdots c_{i_2}^2) \cdots (c_1^k c_2^k \cdots c_{i_k}^k),$$

map it to the permutation

$$\tilde{\pi} = c_1^1 c_2^1 \cdots c_{i_1}^1 c_1^2 c_2^2 \cdots c_{i_2}^2 \cdots c_1^k c_2^k \cdots c_{i_k}^k.$$

Note that the bijection preserves the occurrences of each of the 7 patterns. This is true as we have the restrictions

$$c_m^j > c_1^{j+1}, m = 1, \dots, i_j, j = 1, \dots, k-1.$$

### 5.1 Increasing cycle order

Using the standard cycle form and listing cycles in *decreasing* order with respect to the cycles minimal elements is equivalent to listing cycles in *increasing* order.

**Theorem 10.** Let  $\Pi^d(p_b, p_w; C)$  and  $\Pi^i(p_b, p_w; C)$  denote the distribution of cyclic occurrence of some pattern pair  $(p_b, p_w)$  when the cycles are listed in decreasing respectively increasing order. Let abc be a permutation of [3]. Then

$$\Pi^{d}(a-bc, p_{w}; C) = \Pi^{i}(bc-a, p_{w}; C), \text{ and } \\ \Pi^{d}(ab-c, p_{w}; C) = \Pi^{i}(c-ab, p_{w}; C).$$

*Proof.* If a is in a cycle to the left of a cycle containing bc when the cycles are listed in decreasing order, it is to the right when the cycles are listed in increasing order.

Writing cycles with the maximal element first also gives trivial equivalences.

**Theorem 11.** Let  $\hat{\Pi}^x$  denote the distributions when cycles are started with their maximal elements, and cycles are ordered in increasing (x = i) or decreasing (x = d) order. For a pattern p let r(p) denote the reverse pattern. Then

$$\hat{\Pi}^{d}(p_{b}, p_{w}) = \Pi^{i}(r(p_{b}), r(p_{w})), \text{ and} \\ \hat{\Pi}^{i}(p_{b}, p_{w}) = \Pi^{d}(r(p_{b}), r(p_{w})).$$

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#### 

	0	1	2	3
		(123)	(23)(1)	(3)(2)(1)
0		(132)	(3)(12)	
			(2)(13)	
	(123)	(23)(1), (23)(1)	(1)(2)(3)	
1	(132)	(2)(13), (2)(13)	(1)(2)(3)	
		(3)(12), (3)(12)	(1)(2)(3)	
	(1)(23)	(3)(2)(1)		
2	(2)(13)	(3)(2)(1)		
	(3)(12)	(3)(2)(1)		
3	(3)(2)(1)			

Table 2: The set of marked permutations of length 3. The marked cycles are <u>underlined</u>.

## 6 What about y?

Expanding F(1, x, y, t) we are quickly led to conjecture that F(1, x, y, t) is the generating function for a product of Stirling numbers and binomial coefficients. Using the same bijection as in the proof of Theorem 1, we can prove this.

#### Theorem 12.

$$[x^{i}y^{j}t^{n}]F(1,x,y,t) = \binom{i+j}{j}|s(n,i+j)|.$$

In other words,  $[x^i y^j z^n] F(1, x, y, t)$  is the number of permutations of [n] with i + j cycles of which i are marked. We will call these *marked permutations*, and denote the set of marked permutations of length n with  $\underline{S}_n$ . In Table 2 the elements of  $\underline{S}_3$  are listed. As the proof is much the same as that of Theorem 1, we only sketch it here.

*Proof (sketch).* We again use the arc representation. Give node k weight x if it is the first element in an unmarked cycle, and weight y if it is the first in a marked cycle.

Reasoning as in the proof of Theorem 1 shows that  $\Psi$  defines a bijection from equivalence classes of permutations with the above weighting to weighted Motzkin paths with weights

$$N_h = E_h = h + x + y, S_h = F_h = h.$$

The result follows after application of [5, Theorem 1].

#### 6.1 What about q and y?

In light of the above, F(q, x, y, t) should count the number of permutations with respect to length, cycles, marked cycles and occurrences of 2–13. Unfortunately, life is not that easy. For instance,  $[t^3]F(q, 1, 1, t) = 14 + 8q + q^2$ , but in the set of 24 marked permutations of length 3 there are only two single occurrences of 2–13.

Perhaps marked permutations are not the natural object for studying F(q, x, y, t). As the number of marked permutations of length n is (n + 1)!, we should look for a nice (weight preserving) bijection between  $\underline{S}_n$  and  $S_{n+1}$ . So far, we have not found such a bijection.

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