The toric ideal of a matroid of rank 3 is generated by quadrics

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Abstract

White conjectured that the toric ideal associated with the basis of a matroid is generated by quadrics corresponding to symmetric exchanges. We present a combinatorial proof of White's conjecture for matroids of rank 3 by using a lemma proposed by Blasiak.

1 Introduction

The bases of a matroid have many good properties. Combinatorial optimization problems among them can be effectively solved. In this paper, we consider the conjecture about the bases of a matroid proposed by White [6]. While this conjecture has occasionally been stated in terms of algebraic expressions, it is closely related to combinatorial problems. Our proof adopts a combinatorial approach.

A matroid has several equivalent definitions. We define a matroid by a set of subsets that satisfies the exchange axiom. A family \mathcal{B} of sets is *the collection of bases of a matroid* if it satisfies the exchange axiom given below.

(E) For any X and Y in \mathcal{B} , for every $a \in X$, there exists $b \in Y$ such that $X \cup \{b\} - \{a\}$ is in \mathcal{B} .

An element of \mathcal{B} is called a *base*.

The exchange axiom is equivalent to the following stronger axiom, known as the *symmetric exchange axiom*.

(SE) For any X and Y in \mathcal{B} , for every $a \in X$, there exists $b \in Y$ such that $X \cup \{b\} - \{a\}$ and $Y \cup \{a\} - \{b\}$ are in \mathcal{B} .

The pair $X \cup \{b\} - \{a\}$ and $Y \cup \{a\} - \{b\}$ of bases is said to be obtained from the pair X, Y of bases by a symmetric exchange.

Let M be a matroid on a ground set $E = \{1, 2, ..., n\}$. For each base B of M, we consider a variable y_B . Let S_M be the polynomial ring $K[y_B : B$ is a base of M] where K is a field. Let I_M be the kernel of the K-algebra homomorphism $\theta_M : S_M \to K[x_1, ..., x_n]$ such that y_B is sent to $\prod_{x \in B} x_i$. I_M is a toric ideal (See [5]). White presented the following conjecture.

Conjecture 1. [6] For any matroid M on E, the toric ideal I_M is generated by the quadratic binomials $y_{X_1}y_{X_2} - y_{Y_1}y_{Y_2}$ such that the pair of bases Y_1, Y_2 can be obtained from the pair X_1, X_2 by a symmetric exchange.

Sturmfels [4] showed this conjecture for uniform matroids. Blasiak [1] showed this conjecture for graphic matroids.

In this paper, we prove White's conjecture for matroids of rank 3 or less.

2 Preparation for proof

Our main theorem is presented below.

Theorem 2. Conjecture 1 is true for matroids of rank 3 or less.

The problem, first described in algebraic terms, can be transformed into a combinatorial description.

We present the concept of a k-base graph. Let M be a matroid on a ground set E of size n = r(M)k, where r(M) denotes the rank of M and k is a natural number. The k-base graph of M has the set of all sets of k disjoint bases as its vertex set. It has an edge connecting $\{X_1, \ldots, X_k\}$ and $\{Y_1, \ldots, Y_k\}$ if and only if $X_i = Y_j$ for some i, j. Such partitions are called *adjacent*.

Lemma 3. [1] Let C be a collection of matroids that is closed under deletions and additions of parallel elements. Suppose that for each $k \ge 3$ and for every matroid M in C on a ground set of size r(M)k the k-base graph of M is connected. Then for every matroid Min C, I_M is generated by quadratic binomials.

Since, for a matroid M of rank at most 3, any quadratic binomial in I_M is in the ideal of generated by quadratic binomials corresponding to symmetric exchanges, we have the following corollary.

Corollary 4. Let C be a collection of matroids of rank at most 3 that is closed under deletions and additions of parallel elements. Suppose that for each $k \ge 3$ and for every matroid M in C on a ground set of size r(M)k the k-base graph of M is connected. Then for every matroid M in C, I_M is generated by quadratic binomials corresponding to symmetric exchanges.

The deletion of a matroid of rank 3 is of rank at most 3. Adding parallel elements to a matroid of rank 3 does not change its rank. Therefore, the class of matroids of rank 3 or less satisfies the assumption for the class of matroids in the lemma. To prove the conjecture for matroids of rank 3 or less, it suffices to prove the connectivity of the k-base graph in the lemma.

The conjecture for matroids of rank 2 is relatively easy to show. In fact, Blum [2] proposed a base-sortable matroid and showed that the toric ideal of a base-sortable matroid has a square-free quadratic Gröbner basis. This fact implies that Conjecture 1 is true for the matroids of rank 2.

To prove Theorem 2, it suffices to prove the next theorem by Corollary 4.

Theorem 5. The k-base graph of a matroid of rank 3 is connected.

The remainder of this paper is devoted to the proof of this theorem.

We consider a matroid M of rank 3 on a ground set E of size n = 3k. Note that, on a ground set of size at least 9, when one partition is obtained by a symmetric exchange from the other because these two partitions have a common base, the two partitions are adjacent in the k-base graph.

We show that, for any two vertices of the k-base graph, one vertex connects to the other. Each vertex in the k-base graph corresponds to a partition consisting of bases. We call one partition of bases the *initial blue partition* and the other the *initial red partition*. In the figures shown below, a base in the initial blue partition and red partition is colored blue and red, respectively. Moreover, a partition that is known to be connected to the initial blue (red) in the k-base graph is colored a shade of blue (red), and we also call it a *blue (red) partition*. Moreover we call a base in a blue (red) partition a *blue (red) base*. A base that is not known to be contained in any blue or red partition is colored green in the figures in the sequel.

We show that the initial blue and red partitions are connected in the k-base graph. That is, we show that there exists a base that is red and blue. We prove the connectivity of the k-base graph of a matroid of rank 3 by a mathematical induction on the size of the ground set. We describe the base case of the induction in Section 3 and describe the step in Section 4.

3 Base case of induction

In this section, we show the base case of the induction in the proof of Theorem 5. We show the connectivity of the 3-base graph of a matroid of rank 3 and size 9. In this section, we consider a matroid such that its bases are of size 3 and its ground set is of size 9.

Consider the initial blue and red partitions, consisting of bases of size 3, on a set of size 9. Assume that there exists no base that belongs to both partitions. Then, the possible positions between the initial blue and red partitions are essentially classified into three patterns as shown in Figure 1 up to permutation of the vertices. We consider each case in the following three subsections.

Note that the matroid may have more bases than the bases that belong to the initial red or blue partitions. In other words, the bases appearing in the figure are not all of the bases of the matroid.

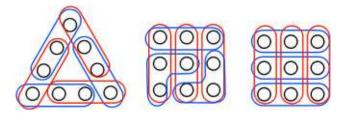


Figure 1: Three patterns on the set of size 9

3.1 First case of size 9

We consider the first case of of a matroid on a set of size 9. We use letters to label the vertices, as in the first figure of Figure 2.

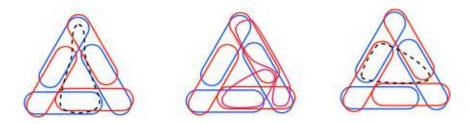


Figure 2: First case of size 9

If **aef** is a base, {**bcd**, **aef**, **ihg**} is a partition of bases, that contains both a blue base and a red base. Therefore this partition is adjacent to both the initial blue and red partitions in the 3-base graph. Therefore, we know that **aef** cannot be a base. In the first figure of Figure 2, the black dotted line implies that **aef** is not a base. Similarly, **bcg** cannot be a base.

For red bases **aih** and **efg - g**, by the exchange axiom, there exist red bases (**aef** and **ihg**), (**efi** and **afg**), or (**efh** and **aig**). Because **aef** is not a base, either (**efi** and **afg**) or (**efh** and **aig**) are bases. Without loss of generality, we may assume that **efh** and **aig** are red bases (the second figure of Figure 2). Recall that such a base is colored by a color similar to red in the figures.

If **bch** is a base, {**aig**, **bch**, **def**} is a partition, that contains both a blue base and a red base. Therefore we may assume that **bch** is not a base (the third figure of Figure 2).

Moreover, by the exchange axiom for blue bases **abc** - **a** and **ghi**, there exists a blue base (**bcg** and **aih**), (**bch** and **aig**), or (**bci** and **ahg**). Because we already know that **bcg** and **bch** are not bases, **bci** and **ahg** are bases (the second figure of Figure 3).

Next, we consider the exchange axiom for blue bases **ghi** and **def** - **d**. Then, there exists a blue partition containing **efg**, **efh**, or **efi**. When either **efh** or **efg** is a base, it also belongs to a red partition. When **efi** is a base, {**ahg**, **bcd**, **ief**} is a partition, that contains



Figure 3: First case of size 9: continued

both a blue base and a red base (the third figure of Figure 3). We have completed the proof of the first case of size 9.

3.2 Second case of size 9

We consider the second case of a matroid on a set of size 9.

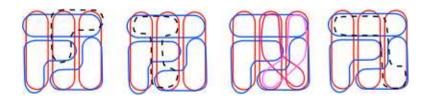


Figure 4: Second case of size 9

When **bce** is a base, {**adg**, **bce**, **fhi**} is a partition, which contains both a blue base and a red base. Therefore we may assume that **bce** is not a base (the first figure of Figure 4).

Similarly, if **abh** is a base, {**deg**, **abh**, **cfi**} is a partition, that contains both a blue base and a red base. Therefore, **abh** is not a base (the second figure of Figure 4).

By the exchange axiom for red bases **cfi** and **beh** - **h**, there exists a red base (**bec** and **fhi**), (**bef** and **chi**), or (**bei** and **hcf**). Since **bce** is not a base, either **bef** or **bei** is a base. Without loss of generality, we may assume that **bei** and **hcf** are red bases (the third figure of Figure 4).

When **abi** is a base, {**deg**, **abi**, **cfh**} is a partition, that contains both a blue base and a red base. Therefore we may assume that **abi** is not a base (the fourth figure of Figure 4).

By the exchange axiom for blue bases **fhi** and **abc** - **c**, there exists a blue base **abf**, **abh**, or **abi**. Since **abh** and **abi** are not bases, **abf** is a blue base (the first figure of Figure 5).

By the exchange axiom for red base **beh** and blue base **abc** - **a**, either **bce** or **bch** is a base. Since **bce** is not a base, **bch** must be a base (the second figure of Figure 5).

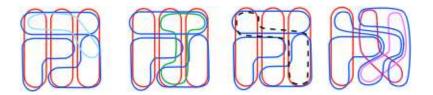


Figure 5: Second case of size 9: continued

This base is not known to belong to some red or blue partition, and therefore it is colored green in the figure.

When **afi** is a base, {**bch**, **afi**, **deg**} is a blue partition. Therefore the relationship between this blue partition and the initial red partition {**adg**, **beh**, **cfi**} is isomorphic to the first case of size 9. Therefore this case results in the first case. Therefore we may assume that **afi** is not a base (the third figure of Figure 5).

In other words, we know that **abi** and **afi** are not bases. Therefore we have $r(\mathbf{abi}) = r(\mathbf{afi}) = 2$. Since **abf** is a base, we have $r(\mathbf{abfi}) = 3$. Then, by submodularity, $1 \le r(\mathbf{ai}) \le r(\mathbf{abi}) + r(\mathbf{afi}) - r(\mathbf{abfi}) = 2 + 2 - 3 = 1$. Therefore we have $r(\mathbf{ai}) = 1$. Therefore **a** and **i** are parallel.

Since **a** and **i** are parallel, $\{afh, bci, deg\}$ is a blue partition. The position between this partition $\{afh, bci, deg\}$ and red partition $\{adg, bei, cfh\}$ appears in the first case of size 9(the fourth figure of Figure 5).

3.3 Last case of size 9

We consider the last case of a matroid on a set of size 9, illustrated in the third figure of Figure 1.

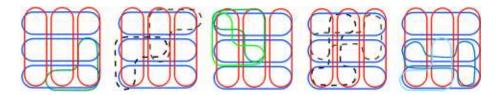


Figure 6: Last case of size 9

By the exchange axiom for **cfi** and **ghi** - **g**, either **chi** or **fhi** is a base. Without loss of generality, we may assume that **fhi** is a base (the first figure of Figure 6).

When **bce** is a base, {**adg**, **bce**, **fhi**} is a red partition. This case results in the second case of a matroid on a set of size 9. Therefore we may assume that **bce** is not a base. Similarly, we may assume that **deg** is not a base (the second figure of Figure 6).

By the exchange axiom for **abc** and **beh** - **h**, either **abe** or **bce** is a base. Since **bce** is not a base, **abe** is a base. Similarly, **ade** is a base (the third figure of Figure 6).

By resulting in the second case, **cdf** and **bgh** are not bases (the fourth figure of Figure 6).

Then, similarly, by the exchange axiom for **beh** and **ghi** - **i**, **egh** is a base. Moreover, by the exchange axiom for **cfi** and **def** - **e**, **dfi** is a base. Then {**abc**, **egh**, **dfi**} is a blue partition. This case results in the second case (the fifth figure of Figure 6).

We have completed the proof of the last case when the ground set is of size 9.

4 Inductive step

In the previous section, we confirmed that the k-base graph of a matroid of rank 3 and size 9 is connected. In this section, we show the inductive step: we will prove Theorem 5 on a ground set of size n = 3k, k > 3, assuming the theorem for matroids on sets of size less than n. Let $\{B_1, \ldots, B_k\}$ be the initial blue partition.

We separate the inductive step into two cases according to the relative position between the initial blue partition and the initial red partition. In one case, for some two bases in the initial blue partition, some red base is included in the union of the two blue bases. In the other case, there exists no red base included in the union of any two blue bases.

We use the following well-known lemma, which is a consequence of the matroid partition theorem [3].

Lemma 6. Consider a matroid of rank r on E where k is an integer and |E| = kr. There exists a partition consisting of bases if and only if $kr(X) \ge |X|$ for any $X \subseteq E$.

4.1 First case in inductive step



Figure 7: First case in inductive step

Denote $B_1 = \mathbf{abc}$ and $B_2 = \mathbf{def}$. Let \mathbf{abd} be a base in the initial red partition (Figure 7).

Let $B_i = \mathbf{ghi}$ be a base in the initial blue partition with $i \ge 3$.

Lemma 7. Consider the matroid obtained by restricting the given matroid of rank 3 to cefghi.

There exists a partition consisting of two bases included in **cefghi** if and only if it satisfies the following two conditions.

Condition 1: $r(\mathbf{ceg}), r(\mathbf{ceh}), r(\mathbf{cei}), r(\mathbf{cfg}), r(\mathbf{cfh}), r(\mathbf{cfi}) \ge 2$. Condition 2: $r(\mathbf{cefgh}) = r(\mathbf{cefgi}) = r(\mathbf{cefhi}) = 3$.

Proof. Assume that there exists a partition of two bases on **cefghi**. Then $2r(X) \ge |X|$ for any $X \subseteq$ **cefghi** by Lemma 6.

When |X| = 3, $r(X) \ge 2$ because r is integer-valued. Therefore Condition 1 holds.

When |X| = 5, $r(X) \ge 3$ because r is integer-valued. Therefore Condition 2 holds.

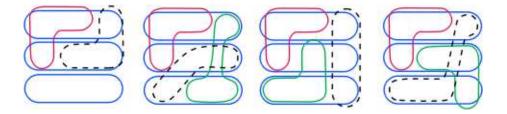


Figure 8: Bases and non-bases in the proof

Conversely, we assume Condition 1 and Condition 2. Then $2r(X) \ge |X|$ for any $X \subseteq \text{cefghi}$. Note that all other 2 and 3 element subsets not mentioned in Conditions 1 and 2 automatically have a sufficiently large rank because **ghi** and **ef** are independent. Therefore there exists a partition consisting of two bases included in **cefghi** by Lemma 6.

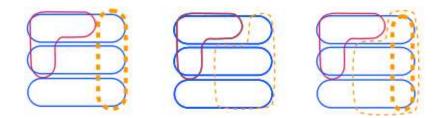


Figure 9: Three cases of flats

If there exists a partition consisting of two bases included in **cefghi**, it results in the case of the ground set of size 9. Therefore we may assume that there exists no partition consisting of two bases included in **cefghi**. By Lemma 7, at least one among Condition 1 and Condition 2 is not satisfied.

If Condition 1 does not hold, we may assume that **cfi** is of rank 1 without loss of generality (the first figure or the third figure in Figure 9). Then, any set that includes **cfi** as a proper subset on **cefghi** is of rank more than 1 because it contains an independent set of size 2. In the figures, the set enclosed by a dashed orange line represents a flat on the matroid on **cefghi**. The set enclosed by a thick line implies a flat of rank 1. The set enclosed by a thick line implies a flat of rank 1. The set a set of rank 2 and size 5 (the second figure or the third figure in Figure 9). Then, any set that includes this set as a proper subset on **cefghi** is of rank 3 because it would have contained a base, that is, this set is a flat of rank 2 on the matroid restricted to **cefghi**.

By changing B_i , we investigate the matroid on E as follows. We suppose that the matroid on $B_1 \cup B_2 \cup B_i$ for all $i \ge 3$ does not satisfy Condition 1. There exists $A \subseteq E-\mathbf{abd}$ of rank 1 and size k = n/3 since parallel relation induces an equivalence class. On the other hand, by Lemma 6 to the initial red partition, we have $(k - 1)r(A) \ge |A|$ for any $A \subseteq E - \mathbf{abd}$. Therefore every $A \subseteq E - \mathbf{abd}$ of size k = n/3 is of rank at least 2, a contradiction.

We suppose that the matroid on $B_1 \cup B_2 \cup B_i$ for all $i \ge 3$ does not satisfy Condition 2. There exists $A \subseteq E - abd$ of rank 2 and size 2k - 1 by submodularity and r(cef) = 2. On the other hand, by Lemma 6 to the initial red partition, we have $(k - 1)r(A) \ge |A|$ for any $A \subseteq E - abd$. Therefore every $A \subseteq E - abd$ of size k = 2k - 1 is of rank 3, a contradiction.

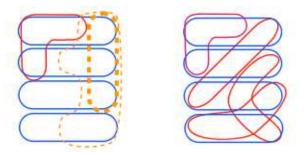


Figure 10: Flats and a partition on 12-set

Therefore we may assume that the matroid on $B_1 \cup B_2 \cup B_i$ for some $i \ge 3$ satisfies Condition 1 and does not satisfy Condition 2, and the matroid on $B_1 \cup B_2 \cup B_j$ for some $j \ge 3$ satisfies Condition 2 and does not satisfy Condition 1. Denote $B_i = \mathbf{ghi}$ and $B_j = \mathbf{jkl}$. We consider the matroid restricted to **cefghijkl**. We may assume that **cfi** is a flat of rank 1 and **cefikl** is a flat of rank 2 on **cefghijkl** (the left-hand side of Figure 10). Note that the flat of rank 1 is included in the flat of rank 2 by submodularity.

Then a set, of size 3, consisting of one of **cfi**, one of **ekl**, and one of **ghj** must be a base because of the following reason. If this set is dependent, it contradicts the fact that **cfi** and **cefikl** are flats by submodularity. Therefore it is independent. Therefore **ceg**, **fhl**, and **ijk** are bases. We have a red partition consisting of these bases and B_k for $i \neq 1, 2, i, j$ (the right-hand side of Figure 10). Similarly, any set of size 3 consisting of one of **cfi**, one of **ekl**, and one of **ghj** is red.

It remains to show that this partition and the initial blue partition are connected.

By the exchange axiom for blue bases \mathbf{jkl} and $\mathbf{ghi} - \mathbf{i}$, there exist blue bases $(\mathbf{ghj} and \mathbf{ikl})$, $(\mathbf{ghk} and \mathbf{ijl})$, or $(\mathbf{ghl} and \mathbf{ijk})$. When \mathbf{ghj} and \mathbf{ikl} are bases, it contradicts $r(\mathbf{ikl}) = 2$. When \mathbf{ghl} is a base, $\{\mathbf{abc}, \mathbf{def}, \mathbf{ghl}, \mathbf{ijk}\}$ is a partition using red base \mathbf{ijk} and blue base \mathbf{ghl} . When \mathbf{ghk} is a base, $\{\mathbf{abc}, \mathbf{def}, \mathbf{ghk}, \mathbf{ijl}\}$ is a partition using red base \mathbf{ijk} and blue base \mathbf{ghk} .

4.2 Second case in inductive step

We consider the second case in the inductive step, that is, any base in the initial red partition cannot be included in any two bases in the initial blue partition. We only have to consider the case with three bases $B_1 = \mathbf{abc}, B_2 = \mathbf{def}, B_3 = \mathbf{ghi}$ in the initial blue partition and a base \mathbf{adg} in the initial red partition as shown in Figure 11. We can assume that there exists no partition on **bcefhi** by the base case of the induction.

By the exchange axiom between **abc** and **adg** - **g**, we may assume that there exists a green base **abd** without loss of generality. When there exists a partition containing **abd**, it connects both the initial blue and red partitions on the k-base graph by the first case in the inductive step. Therefore we can assume that there exists no partition on E - abd.

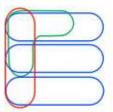


Figure 11: Second case in inductive step

Then, by Lemma 6, there exists $A \subseteq E - abd$ of (Case I) rank 1 and size k = n/3, or (Case II) rank 2 and size 2k - 1 = 2n/3 - 1.

Case I: case where there exists a set A of rank 1 and size k in E - abd.

Then A contains \mathbf{c} because A should intersect \mathbf{abc} in the initial blue partition. Similarly, A contains \mathbf{g} because A should intersect \mathbf{adg} in the initial red partition. Since the rank of \mathbf{cg} is 1, \mathbf{c} and \mathbf{g} are parallel. Since \mathbf{abg} and \mathbf{chi} are exchangeable with \mathbf{abc} and \mathbf{ghi} , \mathbf{abg} is a blue base, which results in the first case of the induction.

Case II: case where there exists a set $A \subseteq E - \mathbf{abd}$ of rank 2 and size 2k - 1. Moreover we assume that the condition of Case I is not satisfied. Note that $A \cap B_1 = \mathbf{c}$ and $|A \cap B_k| =$ 2 for any $k \ge 2$. Since A intersects any base in the initial red partition, $|A \cap \mathbf{adg}| \ge 1$. Since $A \cap \mathbf{ad} = \emptyset$, we have $\mathbf{g} \in A$. Since $|A \cap B_3| = 2$, $A \cap (B_1 \cup B_2 \cup B_3) = \mathbf{cefgh}$ or **cefgi**. We assume $A \cap (B_1 \cup B_2 \cup B_3) = \mathbf{cefgh}$ without loss of generality. We have $r(A \cup \mathbf{b} - \mathbf{g}) = 3$ because $r(A \cup \mathbf{b} - \mathbf{g}) = 2$ contradicts the existence of the initial red partition and $|A \cup \mathbf{b} - \mathbf{g}| = 2k - 1$ by Lemma 6. Since there exists no partition on **bcefhi**, there exists a set $C \subseteq$ **bcefhi** of (Case II-1) rank 1 and size 3, or (Case II-2) rank 2 and size 5 by Lemma 6.

Case II-1: case where $C \subseteq \mathbf{bcefhi}$ is of rank 1 and size 3.

When $\mathbf{b} \in C$, \mathbf{b} is parallel to $\mathbf{e} \in A$ or $\mathbf{f} \in A$, which contradicts $r(A \cup \mathbf{b} - \mathbf{g}) = 3$. Therefore we have $\mathbf{c} = B_1 \cap C$. On the other hand, we have $C \cap B_3 = \mathbf{h}$ because $C \cap B_3 \subseteq \mathbf{h}\mathbf{i}$ and $C \cap B_3 \subseteq A \cap B_3 = \mathbf{g}\mathbf{h}$. Therefore $C = \mathbf{ceh}$ or $C = \mathbf{cfh}$. We can assume that \mathbf{cfh} is of rank 1 without loss of generality. Since the condition of Case I is not satisfied, there exists $i \ge 4$ such that B_i has no element parallel to \mathbf{c} . Denote $B_i = \mathbf{jkl}$. Then \mathbf{cfh} is a flat of rank 1 on the matroid on $\mathbf{bcefhijkl}$. Let \mathbf{cefhkl} be a flat of rank 2 on the matroid on $\mathbf{bcefhijkl}$ without loss of generality because of $\mathbf{g} \in A$ (Figure 12). Note that \mathbf{cefhkl} does not span \mathbf{b} because of $r(A \cup \mathbf{b} - \mathbf{g}) = 3$.

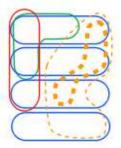


Figure 12: Flats on **bcefhijkl**

Then **bce**, fil, and hjk are bases. Therefore $\{adg, bce, fil, hjk\}$ is a partition on the 12-set. Since the position between this partition and $\{B_1, B_2, B_3, B_i\}$ results in the first case in inductive step, abd is a blue base.

Case II-2: case where $C \subseteq \mathbf{bcefhi}$ is of rank 2 and size 5.

By the submodularity $r(\mathbf{cefh})+r(\mathbf{cefghi}) \leq r(\mathbf{cefhi})+r(\mathbf{cefgh})$, we have $r(\mathbf{cefhi}) = 3$. Therefore $C \neq \mathbf{cefhi}$. Similarly, we have $C \neq \mathbf{bcefi}$. When $C = \mathbf{bcefh}$, we have $r(\mathbf{bcefgh}) = 2$ by the submodularity $r(\mathbf{bcefgh}) + r(\mathbf{cefh}) \leq r(\mathbf{cefgh}) + r(\mathbf{bcefh})$. Then, by Lemma 6, the existence of the initial red partition contradicts $r(A \cup \mathbf{b} - \mathbf{g}) = 2$ and $|A \cup \mathbf{b} - \mathbf{g}| = 2k - 1$. Therefore we can assume $C = \mathbf{bcfhi}$ without loss of generality. Since $r(\mathbf{bcfhi}) = 2$, $r(\mathbf{cefgh}) = 2$, and $r(\mathbf{bcefghi}) = 3$, we have $r(\mathbf{cfh}) = 1$ by submodularity, which results in Case II-1.

We have completed the proof of Theorem 5.

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