

Research Article

Nonexistence Results of Semilinear Elliptic Equations Coupled with the Chern-Simons Gauge Field

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We discuss the nonexistence of nontrivial solutions for the Chern-Simons-Higgs and Chern-Simons-Schrödinger equations. The Derrick-Pohozaev type identities are derived to prove it.

1. Introduction and Main Results

In this paper, we are concerned with the nonexistence of nontrivial solutions to some elliptic equations coupled with Chern-Simons gauge field. More precisely, let us first consider the following system:

$$-(\omega + A_0)^2 \phi - D_1 D_1 \phi - D_2 D_2 \phi + V'(|\phi|^2) \phi = 0, \quad (1)$$

$$\partial_1 A_0 = -\operatorname{Im}(\bar{\phi} D_2 \phi), \quad (2)$$

$$\partial_2 A_0 = \operatorname{Im}(\bar{\phi} D_1 \phi), \quad (3)$$

$$\partial_1 A_2 - \partial_2 A_1 = -(\omega + A_0) |\phi|^2, \quad (4)$$

which is derived from the system (5) with stationary solution ansatz $\psi(t, x) = e^{i\omega t} \phi(x)$, $\phi \in \mathbb{C}$, and $A_\mu(t, x) = A_\mu(x)$ for $\mu = 0, 1, 2$. Consider

$$\begin{aligned} D_0 D_0 \psi - (D_1 D_1 + D_2 D_2) \psi + V'(|\psi|^2) \psi &= 0, \\ \partial_0 A_1 - \partial_1 A_0 &= \operatorname{Im}(\bar{\psi} D_2 \psi), \\ \partial_0 A_2 - \partial_2 A_0 &= -\operatorname{Im}(\bar{\psi} D_1 \psi), \\ \partial_1 A_2 - \partial_2 A_1 &= -\operatorname{Im}(\bar{\psi} D_0 \psi), \end{aligned} \quad (5)$$

where $\partial_0 = \partial/\partial t$, $\partial_1 = \partial/\partial x_1$, $\partial_2 = \partial/\partial x_2$ for $(t, x_1, x_2) \in \mathbb{R}^{1+2}$, $\psi: \mathbb{R}^{1+2} \rightarrow \mathbb{C}$ is the complex scalar field, $A_\mu: \mathbb{R}^{1+2} \rightarrow \mathbb{R}$ is the gauge field, $D_\mu = \partial_\mu + iA_\mu$ is the covariant derivative for $\mu = 0, 1, 2$, and i denotes the imaginary unit.

The Chern-Simons-Higgs system in (5) was introduced in [1, 2] to deal with the electromagnetic phenomena in planar domain such as fractional quantum Hall effect or high temperature superconductivity. The system in (5) has the conservation of the total energy

$$E(t) = \int_{\mathbb{R}^2} \sum_{\alpha=0}^2 |D_\alpha \psi(t, x)|^2 + V(|\psi(t, x)|^2) dx = E(0). \quad (6)$$

The special case with a self-dual potential $V(|\phi|^2) = (1/4)|\phi|^2(|\phi|^2 - 1)^2$ has received much attention and has been studied by several authors, where one can derive the following system of first-order equations called self-dual equations (see [1, 2])

$$\begin{aligned} D_1 \phi - iD_2 \phi &= 0, \\ \partial_1 A_2 - \partial_2 A_1 + \frac{1}{2} |\phi|^2 (|\phi|^2 - 1) &= 0, \\ \omega + A_0 - \frac{i}{2} (|\phi|^2 - 1) \phi &= 0. \end{aligned} \quad (7)$$

We note that solutions to the self-dual equations (7) provide solutions to (1)-(4). For the self-dual potential $V(|\phi|^2) = (1/4)|\phi|^2(|\phi|^2 - 1)^2$, there are two possible boundary conditions to make the energy finite; either $|\phi| \rightarrow 1$ or $|\phi| \rightarrow 0$ as $|x| \rightarrow \infty$. The former boundary condition is called “topological” while the latter “non-topological.” A lot

of works have been done for the existence of solutions to the self-dual system [3–7]. Some existence results for the nonself-dual Chern-Simons-Higgs equations with the topological boundary condition have been proved in [8–10]. From the mathematical point of view, it is meaningful to study existence and nonexistence of nontrivial solutions under various conditions on V . In this paper, we are concerned with the nonexistence of the non-trivial solution to (1)–(4) with the non-topological boundary condition. The following is our first result.

Theorem 1. *Let (ϕ, A_0, A_1, A_2) be a classical solution of (1)–(4) such that $\phi \in H^1(\mathbb{R}^2)$ and $A_0 \in L^p(\mathbb{R}^2)$, $A_1, A_2 \in L^q(\mathbb{R}^2)$ for any $2 < p, q \leq \infty$. Let $V : \mathbb{R} \rightarrow \mathbb{R}$ be a C^1 function such that $V(0) = 0$, $\inf\{x > 0 \mid V(x) \neq 0\} = 0$ and $V(|\phi|^2)$, $|\phi|^2 V'(|\phi|^2) \in L^1(\mathbb{R}^2)$. Assume that*

$$dV'(s)s - V(s) \geq 0 \quad \forall s \geq 0, \tag{8}$$

where $0 \leq d \leq 1$ is a constant. Then, one has $\phi \equiv 0$.

The proof is based on the following Derrick-Pohozaev type identity for (1)–(4):

$$\int_{\mathbb{R}^2} d(|D_1\phi|^2 + |D_2\phi|^2) + (1-d)(\omega + A_0)^2|\phi|^2 + dV'(|\phi|^2)|\phi|^2 - V(|\phi|^2) dx = 0. \tag{9}$$

As a typical example, we consider $V(|\phi|^2) = \alpha|\phi|^6 + \beta|\phi|^4$. Then it is easy to check that $dV'(s)s - V(s) = \alpha(3d-1)s^3 + \beta(2d-1)s^2$. If one of the following conditions is satisfied, then we have $\phi \equiv 0$.

- (1) For $\alpha > 0, \beta > 0$, we take $1/2 < d < 1$.
- (2) For $\alpha > 0, \beta < 0$, we take $1/3 < d < 1/2$.
- (3) For $\alpha < 0, \beta < 0$, we take $0 < d < 1/3$.

Note that for the self-dual potential $V(|\phi|^2) = (1/4)|\phi|^2(|\phi|^2 - 1)^2$, we have

$$dV'(s)s - V(s) = \frac{3d-1}{4}s^3 - \frac{2d-1}{2}s^2 + \frac{d-1}{4}s \tag{10}$$

which is not nonnegative for $s \geq 0$.

The following Chern-Simons gauged Schrödinger system was proposed in [11] when the second quantized N body anyon problem is considered

$$\begin{aligned} iD_0\psi + (D_1D_1 + D_2D_2)\psi - V'(|\phi|^2)\phi &= 0, \\ \bar{\partial}_0A_1 - \bar{\partial}_1A_0 &= -\text{Im}(\bar{\psi}D_2\psi), \\ \bar{\partial}_0A_2 - \bar{\partial}_2A_0 &= \text{Im}(\bar{\psi}D_1\psi), \\ \bar{\partial}_1A_2 - \bar{\partial}_2A_1 &= -\frac{1}{2}|\psi|^2. \end{aligned} \tag{11}$$

With the stationary solution ansatz $\psi(t, x) = e^{i\omega t}\phi(x)$, $\phi \in \mathbb{C}$ and $A_\mu(t, x) = A_\mu(x)$ for $\mu = 0, 1, 2$, we arrive at

$$(\omega + A_0)\phi - D_1D_1\phi - D_2D_2\phi + V'(|\phi|^2)\phi = 0, \tag{12}$$

$$\partial_1A_0 = \text{Im}(\bar{\phi}D_2\phi), \tag{13}$$

$$\partial_2A_0 = -\text{Im}(\bar{\phi}D_1\phi), \tag{14}$$

$$\partial_1A_2 - \partial_2A_1 = -\frac{1}{2}|\phi|^2. \tag{15}$$

In the special case with the potential $V(|\phi|^2) = -(1/2)|\phi|^4$, we can derive the following self dual equations [11–13]

$$\begin{aligned} D_1\phi + iD_2\phi &= 0, \\ \omega + A_0 - \frac{1}{2}|\phi|^2 &= 0, \\ \partial_1A_2 - \partial_2A_1 + \frac{1}{2}|\phi|^2 &= 0. \end{aligned} \tag{16}$$

Note that solutions to the self-dual system (16) provide solutions to (12)–(15). The self-dual equations (16) can be transformed into the Liouville equation, an integrable equation whose solutions are explicitly known.

For the nonself-dual potential of the form $V(|\phi|^2) = -\lambda|\phi|^p$ ($\lambda > 0, p > 2$), some existence and nonexistence results have been studied in [14, 15] under the condition of the radially symmetric solution $\psi(t, x) = e^{i\omega t}u(|x|)$ ($u \in \mathbb{R}$). We prove the following nonexistence result, under various conditions on V , for (12)–(15).

Theorem 2. *Let (ϕ, A_0, A_1, A_2) be a classical solution of (1)–(4) such that $\phi \in H^1(\mathbb{R}^2)$, $A_0 \in L^p(\mathbb{R}^2)$ and $A_1, A_2 \in L^q(\mathbb{R}^2)$ for $1 < p \leq \infty$ and $2 < q \leq \infty$. One also assumes that $V : \mathbb{R} \rightarrow \mathbb{R}$ is a C^1 function such that $V(0) = 0$ and $V(|\phi|^2)$, $|\phi|^2 V'(|\phi|^2) \in L^1(\mathbb{R}^2)$.*

- (1) *If the potential V satisfies*

$$V'(s)s - V(s) \geq 0 \quad \forall s \geq 0, \tag{17}$$

then one has $\phi \equiv 0$.

- (2) *Suppose that ϕ is a real-valued function; that is, $\phi(x) = u(x) \in \mathbb{R}$ and $1/p + 1/q = 1$ for $2 < q < \infty$. If the potential V satisfies, for a constant $h \geq 2/3$,*

$$\omega(h-1)s + hV'(s)s - V(s) \geq 0 \quad \forall s \geq 0, \tag{18}$$

then one has $u \equiv 0$.

The proof is based on the Derrick-Pohozaev type identities (40) and (45) for (12)–(15).

Example 3. For the static solution ($\omega = 0$), we consider the potential $V(s) = s^3 - s^2 - s$. Then, taking $h = 2/3$, we can check

$$\frac{2}{3}V'(|u|^2)|u|^2 - V(|u|^2) = |u|^6 - \frac{1}{3}|u|^4 + \frac{1}{3}|u|^2 \geq 0. \tag{19}$$

However we have, for the complex solution,

$$V'(|\phi|^2)|\phi|^2 - V(|\phi|^2) = 2|\phi|^6 - |\phi|^4 \quad (20)$$

which is not nonnegative.

The paper is organized as follows. In Section 2, we prove Theorem 1 by deriving Derrick-Pohozaev type identity. Theorem 2 is proved in Section 3. We conclude this section by giving a few notations.

- (i) $H^1(\mathbb{R}^2)$ denotes the usual Sobolev space $W^{1,2}(\mathbb{R}^2)$.
- (ii) $B_R := \{x \in \mathbb{R}^2 \mid |x| \leq R\}$ and $\partial B_R := \{x \in \mathbb{R}^2 \mid |x| = R\}$.
- (iii) $d\sigma_R :=$ the surface measure on ∂B_R .

2. Proof of Theorem 1

We apply Derrick-Pohozaev argument to derive the identity (9) which prove Theorem 1. From now on, we adopt the summation convention for repeated indices.

Suppose that (ϕ, A_0, A_1, A_2) is a solution of (1)–(4). Multiplying (1) by $x_k \overline{D_k \phi}$ and integrating over B_R , we obtain

$$\begin{aligned} & - \int_{B_R} (\omega + A_0)^2 \phi x_k \overline{D_k \phi} dx - \int_{B_R} D_j D_j \phi x_k \overline{D_k \phi} dx \\ & + \int_{B_R} V'(|\phi|^2) \phi x_k \overline{D_k \phi} dx = 0. \end{aligned} \quad (21)$$

Now we set

$$\begin{aligned} \text{I} &= \int_{B_R} (\omega + A_0)^2 \phi x_k \overline{D_k \phi} dx, \\ \text{II} &= \int_{B_R} D_j D_j \phi x_k \overline{D_k \phi} dx, \\ \text{III} &= \int_{B_R} V'(|\phi|^2) \phi x_k \overline{D_k \phi} dx. \end{aligned} \quad (22)$$

Then, integrating by parts and taking real parts, we have

$$\begin{aligned} \text{Re}\{\text{I}\} &= \int_{\partial B_R} \frac{R}{2} (\omega + A_0)^2 |\phi|^2 d\sigma_x \\ & - \int_{B_R} (\omega + A_0)^2 |\phi|^2 dx \\ & - \int_{B_R} \omega \partial_j A_0 x_j |\phi|^2 dx \\ & - \int_{B_R} \frac{1}{2} x_j \partial_j (A_0^2) |\phi|^2 dx, \end{aligned} \quad (23)$$

$$\text{Re}\{\text{III}\} = \int_{\partial B_R} \frac{R}{2} V(|\phi|^2) d\sigma_x - \int_{B_R} V(|\phi|^2) dx.$$

For II, we have

$$\begin{aligned} \text{II} &= \int_{B_R} \partial_j (x_k D_j \phi \overline{D_k \phi}) - |D_j \phi|^2 - x_k D_j \phi \overline{D_j D_k \phi} dx \\ &= \int_{\partial B_R} \frac{x_j x_k}{R} D_j \phi \overline{D_k \phi} d\sigma_R \\ & - \int_{B_R} |D_j \phi|^2 dx - \int_{B_R} x_k D_j \phi \overline{(D_k D_j \phi + i F_{jk} \phi)} dx, \end{aligned} \quad (24)$$

where we used the notation $F_{jk} = \partial_j A_k - \partial_k A_j$ and the following identity:

$$D_j D_k \phi = D_k D_j \phi + i F_{jk} \phi. \quad (25)$$

Taking the real parts and integrating by parts, we obtain

$$\begin{aligned} \text{Re}\{\text{II}\} &= \int_{\partial B_R} \frac{x_j x_k}{R} D_j \phi \overline{D_k \phi} d\sigma_R - \int_{B_R} |D_j \phi|^2 dx \\ & - \int_{B_R} \frac{1}{2} x_k \partial_k (|D_j \phi|^2) dx \\ & - \int_{B_R} x_k F_{jk} \text{Im}(\overline{\phi} D_j \phi) dx \\ &= \int_{\partial B_R} \frac{x_j x_k}{R} D_j \phi \overline{D_k \phi} d\sigma_R - \int_{\partial B_R} \frac{R}{2} |D_j \phi|^2 d\sigma_R \\ & + \int_{B_R} \frac{1}{2} |\phi|^2 x_j \partial_j (A_0^2) dx + \int_{B_R} \omega |\phi|^2 x_j \partial_j A_0 dx, \end{aligned} \quad (26)$$

where we used (2)–(4) in the following way:

$$\begin{aligned} & - \int_{B_R} x_1 F_{21} \text{Im}(\overline{\phi} D_2 \phi) + x_2 F_{12} \text{Im}(\overline{\phi} D_1 \phi) dx \\ &= \int_{B_R} x_j |\phi|^2 (\omega + A_0) \partial_j A_0 dx. \end{aligned} \quad (27)$$

Combining (23) and (26), we have from the identity (21)

$$\begin{aligned} & \int_{B_R} (\omega + A_0)^2 |\phi|^2 dx - \int_{B_R} V(|\phi|^2) dx \\ &= \int_{\partial B_R} \frac{x_j x_k}{R} \text{Re}(D_j \phi \overline{D_k \phi}) - \frac{R}{2} |D_j \phi|^2 \\ & - \frac{R}{2} V(|\phi|^2) + \frac{R}{2} (\omega + A_0)^2 |\phi|^2 d\sigma_R. \end{aligned} \quad (28)$$

Thus we have

$$\begin{aligned} & \left| \int_{B_R} (\omega + A_0)^2 |\phi|^2 - V(|\phi|^2) dx \right| \\ & \leq C \int_{\partial B_R} R (|D_j \phi|^2 + \omega^2 |\phi|^2 \\ & + |A_0|^2 |\phi|^2 + V(|\phi|^2)) d\sigma_R, \end{aligned} \quad (29)$$

where C is a positive constant. Considering the Sobolev embedding and the condition of Theorem 1, we know that $|D_j\phi|^2, \omega^2|\phi|^2, |A_0|^2|\phi|^2, V(|\phi|^2) \in L^1(\mathbb{R}^2)$. Applying the idea in [16], we know that there exists a sequence $\{R_n\} \rightarrow \infty$ such that

$$\int_{\partial B_{R_n}} R_n \left(|D_j\phi|^2 + \omega^2|\phi|^2 + |A_0|^2|\phi|^2 + V(|\phi|^2) \right) d\sigma_{R_n} \rightarrow 0, \tag{30}$$

and consequently

$$\begin{aligned} & \int_{\mathbb{R}^2} (\omega + A_0)^2|\phi|^2 - V(|\phi|^2) dx \\ &= \lim_{n \rightarrow \infty} \int_{B_{R_n}} (\omega + A_0)^2|\phi|^2 - V(|\phi|^2) dx = 0. \end{aligned} \tag{31}$$

On the other hand, we know from (1) that

$$\begin{aligned} 0 &= \int_{\mathbb{R}^2} \bar{\phi} \left(-(\omega + A_0)^2\phi - D_jD_j\phi + V'(|\phi|^2)\phi \right) dx \\ &= \int_{\mathbb{R}^2} -(\omega + A_0)^2|\phi|^2 + |D_j\phi|^2 + V'(|\phi|^2)|\phi|^2 dx, \end{aligned} \tag{32}$$

by taking care of the boundary integral terms as before. Combining (31) and (32), we obtain

$$\begin{aligned} & \int_{\mathbb{R}^2} d|D_j\phi|^2 + (1-d)(\omega + A_0)^2|\phi|^2 \\ &+ dV'(|\phi|^2)|\phi|^2 - V(|\phi|^2) dx = 0, \end{aligned} \tag{33}$$

where $0 \leq d \leq 1$ is a constant. We are ready to prove Theorem 1.

(1) For $0 < d \leq 1$, we have $D_j\phi \equiv 0$. If $\phi(x_0) \neq 0$, then there exists $\delta > 0$ such that $\phi(x) \neq 0$ for $B_{x_0}(\delta) = \{x \mid |x - x_0| < \delta\}$. In the region $B_{x_0}(\delta)$, we have $A_j = i(\partial_j\phi/\phi)$. Using (4) we have $A_0(x) + \omega = 0$ in $B_{x_0}(\delta)$. On the other hand, from (2) and (3), we deduce that $A_0(x) = \text{constant} = -\omega$. By (1), we obtain $V'(|\phi|^2)\phi = 0$ for all $x \in \mathbb{R}^2$. By the condition of V' and $\phi \in L^2$, we conclude that $\phi \equiv 0$.

(2) For $d = 0$, we have $V(|\phi|^2) = 0$. By the condition of V and $\phi \in L^2$, we have $\phi \equiv 0$.

3. Proof of Theorem 2

Repeating the similar argument to the proof of Theorem 1, we derive Derrick-Pohozaev type identities for (12)–(15). Suppose that (ϕ, A_0, A_1, A_2) is a solution of (12)–(15). Multiplying (12) by $x_k \overline{D_k\phi}$ and integrating over B_R , we obtain

$$\begin{aligned} & \int_{B_R} (\omega + A_0)\phi x_k \overline{D_k\phi} dx - \int_{B_R} D_jD_j\phi x_k \overline{D_k\phi} dx \\ &+ \int_{B_R} V'(|\phi|^2)\phi x_k \overline{D_k\phi} dx = 0. \end{aligned} \tag{34}$$

Now we set

$$\begin{aligned} \text{I} &= \int_{B_R} (\omega + A_0)\phi x_k \overline{D_k\phi} dx, \\ \text{II} &= \int_{B_R} D_jD_j\phi x_k \overline{D_k\phi} dx, \\ \text{III} &= \int_{B_R} V'(|\phi|^2)\phi x_k \overline{D_k\phi} dx. \end{aligned} \tag{35}$$

Then, integrating by parts and taking real parts, we have

$$\begin{aligned} \text{Re}\{\text{I}\} &= \int_{\partial B_R} \frac{R}{2} (\omega + A_0)|\phi|^2 d\sigma_x - \int_{B_R} (\omega + A_0)|\phi|^2 dx \\ &- \int_{B_R} \frac{1}{2} x_j \partial_j A_0 |\phi|^2 dx, \\ \text{Re}\{\text{II}\} &= \int_{\partial B_R} \frac{x_j x_k}{R} D_j\phi \overline{D_k\phi} d\sigma_R - \int_{\partial B_R} \frac{R}{2} |D_j\phi|^2 d\sigma_R \\ &- \int_{B_R} \frac{1}{2} x_j \partial_j A_0 |\phi|^2 dx, \\ \text{Re}\{\text{III}\} &= \int_{\partial B_R} \frac{R}{2} V(|\phi|^2) d\sigma_x - \int_{B_R} V(|\phi|^2) dx. \end{aligned} \tag{36}$$

Then we have from the identity (34)

$$\begin{aligned} & \int_{B_R} V(|\phi|^2) + (\omega + A_0)|\phi|^2 dx \\ &= \int_{\partial B_R} -\frac{x_j x_k}{R} \text{Re}(D_j\phi \overline{D_k\phi}) + \frac{R}{2} |D_j\phi|^2 \\ &+ \frac{R}{2} V(|\phi|^2) + \frac{R}{2} (\omega + A_0)|\phi|^2 d\sigma_R. \end{aligned} \tag{37}$$

Applying the same argument in Section 2, the right hand side of the above equality vanishes. Then we conclude that

$$\int_{\mathbb{R}^2} V(|\phi|^2) + (\omega + A_0)|\phi|^2 dx = 0. \tag{38}$$

On the other hand, we know from (12)

$$\int_{\mathbb{R}^2} (\omega + A_0)|\phi|^2 + |D_j\phi|^2 + V'(|\phi|^2)|\phi|^2 dx = 0. \tag{39}$$

Combining (38) and (39), we end up with

$$\int_{\mathbb{R}^2} |D_j\phi|^2 + V'(|\phi|^2)|\phi|^2 - V(|\phi|^2) dx = 0. \tag{40}$$

Following the reasoning in Theorem 1, we deduce $\phi \equiv 0$ from the fact $D_j\phi \equiv 0$.

For the proof of the second result in Theorem 2, we assume $\phi(x) = u(x) \in \mathbb{R}$. Then (13)–(15) can be rewritten by

$$\begin{aligned} \partial_1 A_0 &= A_2 u^2, \\ \partial_2 A_0 &= -A_1 u^2, \\ \partial_1 A_2 - \partial_2 A_1 &= -\frac{1}{2} u^2. \end{aligned} \tag{41}$$

It is easy to check the following identity:

$$\partial_1 (A_2 A_0) - \partial_2 (A_1 A_0) = \left(A_1^2 + A_2^2 - \frac{1}{2} A_0 \right) u^2, \quad (42)$$

from which we derive, with the condition $A_0 \in L^p$, $A_1, A_2 \in L^q$ for $1/p + 1/q = 1$, $2 < q < \infty$,

$$\int_{\mathbb{R}^2} \frac{1}{2} A_0 u^2 \, dx = \int_{\mathbb{R}^2} \left(A_1^2 + A_2^2 \right) u^2 \, dx. \quad (43)$$

Considering $|D_j u|^2 = |\nabla u|^2 + (A_1^2 + A_2^2) u^2$, we have from (38) and (39)

$$\begin{aligned} \int_{\mathbb{R}^2} V(|u|^2) + \omega |u|^2 + 2(A_1^2 + A_2^2) u^2 \, dx &= 0, \\ \int_{\mathbb{R}^2} |\nabla u|^2 + 3(A_1^2 + A_2^2) u^2 + \omega |u|^2 + V'(|u|^2) |u|^2 \, dx &= 0. \end{aligned} \quad (44)$$

Then we obtain, for a constant $h \geq 2/3$,

$$\begin{aligned} \int_{\mathbb{R}^2} h |\nabla u|^2 + (3h - 2)(A_1^2 + A_2^2) u^2 + \omega(h - 1) |u|^2 \\ + h V'(|u|^2) |u|^2 - V(|u|^2) \, dx = 0, \end{aligned} \quad (45)$$

which proves the second result in Theorem 2.

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