## ON RESOLVING EDGE COLORINGS IN GRAPHS

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We study the relationships between the resolving edge chromatic number and other graphical parameters and provide bounds for the resolving edge chromatic number of a connected graph.

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**1. Introduction.** For edges *e* and *f* in a connected graph *G*, the *distance* d(e, f) between *e* and *f* is the minimum nonnegative integer *a* for which there exists a sequence  $e = e_0, e_1, \dots, e_a = f$  of edges of *G* such that  $e_i$  and  $e_{i+1}$  are adjacent for  $i = 0, 1, \dots, a - 1$ . For an edge *e* of *G* and a subgraph *F* of positive size in *G*, the *distance* between *e* and *F* is defined as

$$d(e,F) = \min\{d(e,f) : f \in E(F)\}.$$
(1.1)

A *decomposition* of a graph *G* is a collection of subgraphs of *G*, none of which have isolated vertices, whose edge sets provide a partition of E(G). A decomposition of *G* into *k* subgraphs is a *k*-*decomposition*. A decomposition  $\mathfrak{D} = \{G_1, G_2, \ldots, G_k\}$  is *ordered* if the ordering  $(G_1, G_2, \ldots, G_k)$  has been imposed on  $\mathfrak{D}$ . For an ordered *k*-decomposition  $\mathfrak{D} = \{G_1, G_2, \ldots, G_k\}$  of a connected graph *G* and  $e \in E(G)$ , the  $\mathfrak{D}$ -*code* (or simply the *code*) of *e* is the *k*-vector

$$c_{\mathfrak{D}}(e) = (d(e, G_1), d(e, G_2), \dots, d(e, G_k)).$$
(1.2)

Hence exactly one coordinate of  $c_{\mathfrak{D}}(e)$  is 0, namely the *i*th coordinate if  $e \in E(G_i)$ . In [3], a decomposition  $\mathfrak{D}$  is defined to be a *resolving decomposition* for *G* if every two distinct edges of *G* have distinct  $\mathfrak{D}$ -codes. The minimum *k* for which *G* has a resolving *k*-decomposition is its *decomposition dimension* dim<sub>*d*</sub>(*G*). A resolving decomposition of *G* with dim<sub>*d*</sub>(*G*) elements is a *minimum resolving decomposition* for *G*.

A resolving decomposition  $\mathfrak{D} = \{G_1, G_2, ..., G_k\}$  of a connected graph *G* is defined in [5] to be *independent* if  $E(G_i)$  is independent for each i  $(1 \le i \le k)$  in *G*. This concept can be considered from an edge-coloring point of view. Recall that a *proper edge coloring* (or simply, an edge coloring) of a nonempty graph *G* is an assignment *c* of colors (positive integers) to the edges of *G* so that adjacent edges are colored differently, that is,  $c : E(G) \to \mathbb{N}$  is a mapping

such that  $c(e) \neq c(f)$  if e and f are adjacent edges of G. The minimum k for which there is an edge coloring of G using k distinct colors is called the *edge chromatic number*  $\chi_e(G)$  of G. If  $\mathfrak{D} = \{G_1, G_2, ..., G_k\}$  is an independent decomposition of a graph G, then by assigning color i to all edges in  $G_i$  for each i with  $1 \le i \le k$ , we obtain an edge coloring of G using k distinct colors. On the other hand, if c is an edge coloring of a connected graph G, using the colors 1, 2, ..., k for some positive integer k, then  $c(e) \neq c(f)$  for adjacent edges e and f in G. Equivalently, c produces a decomposition  $\mathfrak{D}$  of E(G) into color classes (independent sets)  $C_1, C_2, ..., C_k$ , where the edges of  $C_i$  are colored i for  $1 \le i \le k$ . Thus, for an edge e in a graph G, the k-vector

$$c_{\mathfrak{D}}(e) = (d(e, C_1), d(e, C_2), \dots, d(e, C_k))$$
(1.3)

is called the *color code* (or simply the *code*)  $c_{\mathfrak{D}}(e)$  of *e*. If distinct edges of *G* have distinct color codes, then *c* is called a *resolving edge coloring* (or *independent resolving decomposition*) of *G* in [5]. Thus a resolving edge coloring of *G* is an edge coloring that distinguishes all edges of *G* in terms of their distances from the resulting color classes. A *minimum resolving edge coloring* uses a minimum number of colors, and this number is the *resolving edge chromatic number*  $\chi_{re}(G)$  of *G*. Since every resolving edge coloring is an edge coloring and every resolving edge coloring is a resolving decomposition, it follows that

$$2 \le \max\left\{\dim_d(G), \chi_e(G)\right\} \le \chi_{re}(G) \le m \tag{1.4}$$

for each connected graph *G* of size  $m \ge 2$ .

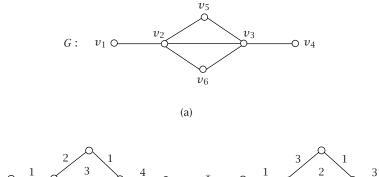
To illustrate these concepts, consider the graph *G* of Figure 1.1. Let  $\mathfrak{D}_1 = \{G_1, G_2, G_3\}$  be the decomposition of *G*, where  $E(G_1) = \{v_1v_2, v_2v_5\}$ ,  $E(G_2) = \{v_2v_3, v_2v_6, v_3v_6\}$ , and  $E(G_3) = \{v_3v_4, v_3v_5\}$ . Since  $\mathfrak{D}_1$  is a minimum resolving decomposition of *G*, it follows that  $\dim_d(G) = 3$ . Define an edge coloring *c* of *G* by assigning the color 1 to  $v_1v_2$  and  $v_3v_5$ , the color 2 to  $v_2v_5$  and  $v_3v_6$ , the color 3 to  $v_2v_3$ , and the color 4 to  $v_2v_6$  and  $v_3v_4$  (see Figure 1.1(b)). Since *c* is a minimum edge coloring of *G*, it follows that  $\chi_e(G) = 4$ . However, *c* is not a resolving edge color classes resulting from *c*, where the edges in  $C_i$  are colored *i* by *c*. Then  $c_{\mathfrak{D}_2}(v_2v_5) = (1,0,1,1) = c_{\mathfrak{D}_2}(v_3v_6)$ . On the other hand, define an edge color 3 to  $v_2v_3$ , the color 3 to  $v_2v_5$  and  $v_3v_4$ , the color 4 to  $v_2v_6$ , and the color 5 to  $v_2v_3$ , the color 3 to  $v_2v_5$ .

$$c_{\mathfrak{D}^{\ast}}(v_{1}v_{2}) = (0,1,1,1,2), \qquad c_{\mathfrak{D}^{\ast}}(v_{2}v_{3}) = (1,0,1,1,1),$$

$$c_{\mathfrak{D}^{\ast}}(v_{2}v_{5}) = (1,1,0,1,2), \qquad c_{\mathfrak{D}^{\ast}}(v_{2}v_{6}) = (1,1,1,0,1),$$

$$c_{\mathfrak{D}^{\ast}}(v_{3}v_{4}) = (1,1,0,2,1), \qquad c_{\mathfrak{D}^{\ast}}(v_{3}v_{5}) = (0,1,1,2,1),$$

$$c_{\mathfrak{D}^{\ast}}(v_{3}v_{6}) = (1,1,1,1,0).$$
(1.5)



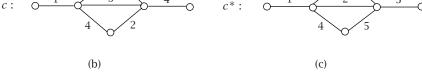


FIGURE 1.1. A graph *G* with dim<sub>d</sub>(*G*) = 3,  $\chi_e(G) = 4$ , and  $\chi_{re}(G) = 5$ .

Since the  $D^*$ -codes of the edges of G are all distinct, it follows that  $c^*$  is a resolving edge coloring. Moreover, G has no resolving edge coloring with 4 colors and so  $\chi_{re}(G) = 5$ .

The concept of resolvability in graphs has previously appeared in [7, 11, 12]. Slater [11, 12] introduced this concept and motivated by its application to the placement of a minimum number of sonar detecting devices in a network so that the position of every vertex in the network can be uniquely determined in terms of its distance from the set of devices. Harary and Melter [7] discovered these concepts independently as well. Resolving decompositions in graphs were introduced and studied in [3] and further studied in [6]. Resolving decompositions with prescribed properties have been studied in [5, 9, 10]. Resolving concepts were studied from the point of view of graph colorings in [1, 2]. We refer to [4] for graph theory notation and terminology not described here.

In [5], all nontrivial connected graphs of size m with resolving edge chromatic number 3 or m are characterized. Also, bounds have been established for  $\chi_{re}(G)$  of a connected graph G in terms of its size, diameter, or girth, as stated below.

**THEOREM 1.1.** If G is a connected graph of size  $m \ge 3$  and diameter d, then

$$2 \le \chi_{re}(G) \le m - d + 3.$$
 (1.6)

*Moreover,*  $\chi_{re}(G) = 2$  *if and only if*  $G = P_3$ *, and*  $\chi_{re}(G) = m - d + 3$  *if and only if*  $G = P_n$  *for*  $n \ge 4$ *.* 

**THEOREM 1.2.** *If G is a connected graph of size m and girth*  $\ell$ *, where*  $m \ge \ell \ge 3$ *, then* 

$$\chi_{re}(G) \le m - \ell + 4. \tag{1.7}$$

*Moreover,*  $\chi_{re}(G) = m - \ell + 4$  *if and only if*  $G = C_n$  *for some even*  $n \ge 4$ .

In this paper, we study the relationships among the resolving edge chromatic number, edge chromatic number, and decomposition dimension of a connected graph, and provide bounds for the resolving edge chromatic number of a connected graph in terms of other graphical parameters in Section 2. We investigate the resolving edge colorings of trees in Section 3.

**2. Bounds for resolving edge chromatic numbers.** In this section, we establish bounds for the resolving edge chromatic number of a connected graph in terms of (1) its order and edge chromatic number; (2) its decomposition dimension and edge chromatic number. In order to this, we need some additional definitions and preliminary results. Let  $\mathfrak{D}$  be a decomposition of a connected graph *G*. Then a decomposition  $\mathfrak{D}^*$  of *G* is called a *refinement* of  $\mathfrak{D}$  if every element in  $\mathfrak{D}^*$  is a subgraph of some element of  $\mathfrak{D}$ . First, we present two lemmas, the first of which appears in [9].

**LEMMA 2.1.** Let  $\mathfrak{D}$  be a resolving decomposition of a connected graph *G*. If  $\mathfrak{D}^*$  is a refinement of  $\mathfrak{D}$ , then  $\mathfrak{D}^*$  is also a resolving decomposition of *G*.

**LEMMA 2.2.** Let *G* be a connected graph of order  $n \ge 5$ , let *T* be a spanning tree of *G* with  $E(T) = \{e_1, e_2, \dots, e_{n-1}\}$ , and let H = G - E(T). Then the decomposition  $\mathfrak{D} = \{F_1, F_2, \dots, F_{n-1}, H\}$ , where  $E(F_i) = \{e_i\}$  for  $1 \le i \le n-1$ , is a resolving decomposition of *G*.

**PROOF.** Let *e* and *f* be two edges of *G*. If *e* and *f* belong to distinct elements of  $\mathfrak{D}$ , then  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ . Thus we may assume that *e* and *f* belong to the same element *H* in  $\mathfrak{D}$ . We show that  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ . Let e = uv, let *P* be the unique u - v path in *T*, and let u' and v' be the vertices on *P* adjacent to *u* and v, respectively. If *f* is adjacent to at most one of uu' and vv', then either  $d(e, uu') \neq d(f, uu')$  or  $d(e, vv') \neq d(f, vv')$ , and so  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ . Hence we may assume that *f* is adjacent to both uu' and vv'. We consider two cases according to whether u' = v' or  $u' \neq v'$ .

**CASE 1** (u' = v'). Then f is incident with the vertex u'. Since  $n \ge 5$  and T is a spanning tree, there is a vertex  $x \in V(G) - \{u, v, u'\}$  such that x is adjacent in T with exactly one of u, v, and u'. If  $u'x \in E(T)$ , then  $d(f, u'x) = 1 \neq 2 = d(e, u'x)$ ; otherwise,  $d(e, ux) = 1 \neq 2 = d(f, ux)$  or  $d(e, vx) = 1 \neq 2 = d(f, vx)$  according to whether ux or vx is an edge of T. So  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ .

**CASE 2**  $(u' \neq v')$ . Then we may assume that f is incident with u'. Let g be an edge of T distinct from uu' that is incident with u'. Then  $d(e,g) = 2 \neq 1 = d(f,g)$ . Thus  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ .

We now present bounds for the resolving edge chromatic number of a connected graph in terms of its order and edge chromatic number.

**THEOREM 2.3.** If G is a connected graph of order  $n \ge 5$ , then

$$\chi_e(G) \le \chi_{re}(G) \le n + \chi_e(G) - 1. \tag{2.1}$$

**PROOF.** The lower bound follows by (1.4). To verify the upper bound, let *m* be the size of *G*. If *G* is a tree of order *n*, then m = n - 1. Since  $\chi_{re}(G) \le m$ , the result is true for a tree. Thus we may assume that *G* is a connected graph that is not a tree. Let *T* be a spanning tree of *G* with  $E(T) = \{e_1, e_2, \dots, e_{n-1}\}$ . Let  $H = \langle E(G) - E(T) \rangle$  be the subgraph induced by E(G) - E(T). Then *H* is a nonempty subgraph of *G*. Let  $\chi_e(H) = k$  and let  $H_1, H_2, \dots, H_k$  be the decomposition of *H* into the color classes resulting from a minimum edge coloring of *H*. Now let

$$\mathfrak{D} = \{F_1, F_2, \dots, F_{n-1}, H\}, \qquad \mathfrak{D}^* = \{F_1, F_2, \dots, F_{n-1}, H_1, H_2, \dots, H_k\}, \qquad (2.2)$$

where  $E(F_i) = \{e_i\}$  for  $1 \le i \le n-1$ . Since  $\mathfrak{D}$  is a resolving decomposition of *G* by Lemma 2.2 and  $D^*$  is a refinement of  $\mathfrak{D}$ , it follows by Lemma 2.1 that  $D^*$  is a resolving decomposition of *G* as well. Thus  $\mathfrak{D}^*$  is a resolving independent decomposition of *G*, and so

$$\chi_{re}(G) \le |\mathfrak{D}^*| = n + k - 1 = n + \chi_e(H) - 1 \le n + \chi_e(G) - 1, \tag{2.3}$$

as desired.

Next, we present bounds for the resolving edge chromatic number of a connected graph in terms of its decomposition dimension and edge chromatic number.

**THEOREM 2.4.** For every connected graph G of order at least 3,

$$\dim_d(G) \le \chi_{re}(G) \le \chi_e(G) \dim_d(G). \tag{2.4}$$

**PROOF.** By (1.4), it suffices to verify the upper bound: let *G* be a nontrivial connected graph with  $\dim_d(G) = k$  and  $\chi_e(G) = c$ . Furthermore, let  $\mathfrak{D} = \{G_1, G_2, \ldots, G_k\}$  be a resolving decomposition of *G*. If  $\mathfrak{D}$  is independent, then  $\mathfrak{D}$  is a resolving independent decomposition of *G* and so  $\chi_{re}(G) \leq |\mathfrak{D}| = k = \dim_d(G) < \chi_e(G) \dim_d(G)$  since  $\chi_e(G) \geq 2$ . Thus we may assume that  $\mathfrak{D}$  is not independent. Without loss of generality, assume that  $E(G_i)$  is not independent in E(G) for  $1 \leq i \leq k_1 \leq k$  and  $E(G_i)$  is independent in E(G) for  $k_1 + 1 \leq i \leq k$  if  $k_1 < k$ . Let  $c_i = \chi_e(G_i)$  for  $1 \leq i \leq k$  and so  $1 \leq c_i \leq \chi_e(G)$ . Define a decomposition  $\mathfrak{D}'$  of *G* from  $\mathfrak{D}$  by (1) decomposing each  $G_i$  ( $1 \leq i \leq k_1$ ) into  $c_i$  color classes resulting from an edge coloring of  $G_i$ ; (2) retaining each  $G_i$  for  $k_1 + 1 \leq i \leq k$ . Let  $\sum_{i=1}^k c_i \leq c_k$  elements. Since  $\mathfrak{D}'$  is a refinement of  $\mathfrak{D}$ , it follows by virtue

of Lemma 2.1 that  $\mathfrak{D}'$  is also an independent resolving decomposition of *G*. Therefore,  $\chi_{re}(G) \leq |\mathfrak{D}'| \leq ck = \chi_e(G) \dim_d(G)$ .

**3.** On resolving edge chromatic numbers of trees. The decomposition dimension of a tree *T* was studied in [3, 6]. It was shown in [3] that  $P_n$  is the only connected graph of order *n* with decomposition dimension 2. Although there is no general formula for the decomposition dimension of a nonpath tree, several bounds have been established for  $\dim_d(T)$  for such trees in [3, 6]. In this section, we investigate the resolving edge chromatic number of trees. Since  $\chi_{re}(P_3) = 2$  and  $\chi_{re}(P_n) = 3$  for  $n \ge 4$ , we consider trees that are not paths. First, we need some additional definitions and notation.

A vertex of degree at least 3 in a graph *G* is called a *major vertex*. An endvertex *u* of *G* is said to be a *terminal vertex of a major vertex v* of *G* if d(u, v) < d(u, w) for every other major vertex *w* of *G*. The *terminal degree* ter(*v*) of a major vertex *v* is the number of terminal vertices of *v*. A major vertex *v* of *G* is an *exterior major vertex* of *G* if it has positive terminal degree. Let  $\sigma(G)$ denote the sum of the terminal degrees of the major vertices of *G* and let ex(*G*) denote the number of exterior major vertices of *G*. In fact,  $\sigma(G)$  is the number of end-vertices of *G*. For an ordered set  $W = \{e_1, e_2, ..., e_k\}$  of edges in a connected graph *G* and an edge *e* of *G*, let

$$c_W(e) = (d(e, e_1), d(e, e_2), \dots, d(e, e_k)).$$
(3.1)

The following two results are useful to us, the first of which appeared in [9] and the second of which is due to König [8].

**LEMMA 3.1.** Let *T* be a tree that is not a path, having order  $n \ge 4$  and *p* exterior major vertices  $v_1, v_2, ..., v_p$ . For  $1 \le i \le p$ , let  $u_{i1}, u_{i2}, ..., u_{ik_i}$  be the terminal vertices of  $v_i$ , let  $P_{ij}$  be the  $v_i - u_{ij}$  path  $(1 \le j \le k_i)$ , and let  $x_{ij}$  be a vertex in  $P_{ij}$  that is adjacent to  $v_i$ . Let

$$W = \{ v_i x_{ij} : 1 \le i \le p, \ 2 \le j \le k_i \}.$$
(3.2)

Then  $c_W(e) \neq c_W(f)$  for each pair e, f of distinct edges of T that are not edges of  $P_{ij}$  for  $1 \le i \le p$  and  $2 \le j \le k_i$ .

**KÖNIG'S THEOREM.** If G is a bipartite graph, then  $\chi_e(G) = \Delta(G)$ . In particular, if T is a tree, then  $\chi_e(T) = \Delta(T)$ .

For a cut-vertex v in a connected graph G and a component H of G - v, the subgraph H with the vertex v, together with all edges joining v and V(H) in G, is called a *branch of* G *at* v. For a bridge e in a connected graph G and a component F of G - e, the subgraph F, together with the bridge e, is called a *branch of* G *at* e. For two edges  $e = u_1u_2$  and  $f = v_1v_2$  in G, an e - f path in G is a path with its initial edge e and terminal edge f.

We are now prepared to present an upper bound for the resolving edge chromatic number of a tree that is not a path.

**THEOREM 3.2.** Let *T* be a tree that is not a path, having order  $n \ge 4$  and *p* exterior major vertices  $v_1, v_2, ..., v_p$ . For  $1 \le i \le p$ , let  $u_{i1}, u_{i2}, ..., u_{ik_i}$  be the terminal vertices of  $v_i$ , let  $P_{ij}$  be the  $v_i - u_{ij}$  path  $(1 \le j \le k_i)$ , and let  $x_{ij}$  be a vertex in  $P_{ij}$  that is adjacent to  $v_i$ . Let *W* be the set described in (3.2). Then

$$\chi_{re}(T) \le \Delta(T - W) + \sigma(T) - \exp(T). \tag{3.3}$$

**PROOF.** Let  $U = \{v_1, u_{11}, u_{21}, \dots, u_{p1}\}$  and let  $T_0$  be the subtree of T of smallest size that contains U. For each pair i, j of integers with  $1 \le i \le p$  and  $1 \le j \le k_i$ , let  $Q_{ij} = P_{ij} - v_i$  be the  $x_{ij} - u_{ij}$  path in T. Thus T - W is the union of the tree  $T_0$  and the paths  $Q_{ij}$  for all i, j with  $1 \le i \le p$  and  $2 \le j \le k_i$ . Since T - W is a forest, it follows by König's theorem that  $\chi_e(T - W) = \Delta(T - W)$ . We define an edge coloring c of T by assigning (1) the colors to the edges in T - W from the set  $\{1, 2, \dots, \Delta(T - W)\}$ ; (2) the color

$$c_{ij} = \Delta(T - W) + [k_1 + k_2 + \dots + k_{i-1} - (i-1)] + (j-1)$$
(3.4)

to the edge  $v_i x_{ij}$  in W for all i, j with  $1 \le i \le p$  and  $2 \le j \le k_i$ . Thus the maximum color assigned to the vertices of G by c is

$$c_{p,k_p} = c(v_p x_{p,k_p})$$
  
=  $\Delta(T - W) + [k_1 + k_2 + \dots + k_{p-1} - (p-1)] + (k_p - 1)$   
=  $\Delta(T - W) + (k_1 + k_2 + \dots + k_p - p)$   
=  $\Delta(T - W) + \sigma(T) - ex(T).$  (3.5)

Certainly, adjacent edges are colored differently by c and so c is an edge coloring of T. It remains to show that c is a resolving edge coloring of T. Let

$$k = \Delta(T - W) + \sigma(T) - \exp(T)$$
(3.6)

and let  $\mathfrak{D} = \{C_1, C_2, ..., C_k\}$  be the decomposition of *G* into the color classes resulting from *c*. Since all edges in *W* are colored differently, it suffices to show that if  $e, f \in E(T - W)$ , then  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ . We consider three cases.

**CASE 1**  $(e, f \in E(T_0))$ . By Lemma 3.1, it follows that  $c_W(e) \neq c_W(f)$ , which implies that  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ .

**CASE 2**  $(e, f \notin E(T_0))$ . There are two subcases.

**SUBCASE 2.1**  $(e, f \in E(Q_{ij}) \text{ for some } i, j \text{ with } 1 \le i \le p \text{ and } 2 \le j \le k_i)$ . Since  $v_i x_{ij} \in W$  and  $d(e, v_i x_{ij}) \ne d(f, v_i x_{ij})$ , this implies that  $c_W(e) \ne c_W(f)$  and so  $c_{\mathfrak{D}}(e) \ne c_{\mathfrak{D}}(f)$ .

**SUBCASE 2.2**  $(e \in E(Q_{ij}) \text{ and } f \in E(Q_{rs}), \text{ where } 1 \le i, r \le p, 2 \le j, \text{ and } s \le k_i)$ . Notice that if i = r, then  $j \ne s$ . Again,  $v_i x_{ij}, v_r x_{rs} \in W$ . If  $d(e, v_i x_{ij}) \ne d(f, v_i x_{ij}), \text{ then } c_{\mathfrak{D}}(e) \ne c_{\mathfrak{D}}(f)$ . On the other hand, if  $d(e, v_i x_{ij}) = d(f, v_i x_{ij}), \text{ then } d(f, v_r x_{rs}) < d(e, v_r x_{rs}), \text{ implying that } c_{\mathfrak{D}}(e) \ne c_{\mathfrak{D}}(f)$ .

**CASE 3** (exactly one of *e* and *f* belongs to  $T_0$ , say  $f \in E(T_0)$  and  $e \in E(Q_{ij})$  for some *i*, *j* with  $1 \le i \le p$  and  $2 \le j \le k_i$ ). If there is an edge  $w \in W$  such that *f* lies on the e - w path, then d(f, w) < d(e, w) and so  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ . Thus we may assume that every path between *e* and any edge  $w \in W$  does not contain *f*. Then *f* lies on some path  $P_{\ell 1}$  in *T* for some  $\ell$  with  $1 \le \ell \le p$ . We consider two subcases.

**SUBCASE 3.1**  $(i = \ell)$ . If  $d(e, v_i x_{ij}) \neq d(f, v_i x_{ij})$ , then  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ . Thus we may assume that  $d(e, v_i x_{ij}) = d(f, v_i x_{ij})$ . Since  $v_i$  is an exterior vertex of T, it follows that deg  $v_i \geq 3$  and so there exists a branch B at  $v_i$  that does not contain  $v_i x_{ij}$ . Necessarily, B must contain an edge w of W. Then d(f, w) < d(e, w) and so  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ .

**SUBCASE 3.2**  $(i \neq \ell)$ . Since  $v_i$  and  $v_\ell$  are exterior major vertices, it follows that deg  $v_i \ge 3$  and deg  $v_\ell \ge 3$ . Thus there exists a branch  $B_1$  at  $v_i$  that does not contain  $v_i x_{ij}$  and a branch  $B_2$  at  $v_\ell$  that does not contain  $v_\ell x_{\ell 1}$ . Necessarily, each of  $B_1$  and  $B_2$  must contain an edge of W. Let  $w_1$  and  $w_2$  be two edges of T such that  $w_i$  belongs to  $B_i$  for i = 1, 2. If  $d(e, w_2) \neq d(f, w_2)$ , then  $c_W(e) \neq c_W(f)$  and so  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ . Thus we may assume that  $d(e, w_2) = d(f, w_2)$ . However, then,  $d(e, w_1) < d(f, w_1)$ , implying that  $c_W(e) \neq c_W(f)$  and so  $c_{\mathfrak{D}}(e) \neq c_W(f)$ .

Thus, in any case,  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$  and so  $\mathfrak{D}$  is a resolving edge coloring of *G*. Therefore,  $\chi_{re}(T) \leq \Delta(T - W) + \sigma(T) - \operatorname{ex}(T)$ .

The upper bound in Theorem 3.2 is sharp. To see this, let  $K_{1,n}$ ,  $n \ge 3$ , be the star with  $V(K_{1,n}) = \{v, v_1, v_2, ..., v_n\}$ , where v is the central vertex of  $K_{1,n}$ , and let T be the tree obtained from  $K_{1,n}$  by subdividing each edge  $vv_i$  into  $vx_i$ and  $x_iv_i$  for  $2 \le i \le n$ . Let  $W = \{vx_i : 2 \le i \le n\}$ . Then it can be verified that  $\chi_{re}(T) = \Delta(T - W) + \sigma(T) - \exp(T) = n$ .

Next, we present another upper bound for  $\chi_{re}(T)$  in terms of the maximum degree of a tree *T*. A major vertex of a tree *T* is a *superior major vertex* of *T* if its terminal degree is at least 2. Let  $\sup(T)$  denote the number of superior major vertices of *T*. Thus every superior major vertex of *T* is also an exterior major vertex. Hence, if *T* is a tree that is not a path, then  $1 \leq \sup(T) \leq \exp(T)$ .

**THEOREM 3.3.** If T is a tree that is not a path, then

$$\chi_{re}(T) \le \Delta(T) + \sup(T). \tag{3.7}$$

**PROOF.** Suppose that *T* contains  $q \ge 1$  superior major vertices  $v_1, v_2, ..., v_q$ . For  $1 \le i \le q$ , let  $u_{i1}, u_{i2}, ..., u_{ik_i}$  be the terminal vertices of  $v_i$ , where  $k_i \ge 2$ . For each *i*, *j* with  $1 \le i \le q$  and  $1 \le j \le k_i$ , let  $P_{ij}$  be the  $v_i - u_{ij}$  path in *T*, let  $x_{ij}$  be the vertex in  $P_{ij}$  that is adjacent to  $v_i$ , and let  $Q_{ij} = P_{ij} - v_i$  be the  $x_{ij} - u_{ij}$  path in *T*. Furthermore, let

$$W^* = \{ v_i x_{i2} : 1 \le i \le q \}$$
(3.8)

and let  $T_1$  be the subgraph of T obtained by removing all vertices in each set  $V(Q_{ij}) - \{x_{ij}\}$  from T for all i, j with  $1 \le i \le q$  and  $1 \le j \le k_i$ ; that is,

$$T_1 = T - \left( \cup \{ V(Q_{ij}) - \{ x_{ij} \} : 1 \le i \le q, \ 1 \le j \le k_i \} \right).$$
(3.9)

Let *Q* be the linear forest whose components are the paths  $Q_{ij}$  ( $1 \le i \le q$  and  $1 \le j \le k_i$ ) in *T*; that is,

$$Q = \bigcup \{ Q_{ij} : 1 \le i \le q, \ 1 \le j \le k_i \}.$$
(3.10)

Let

$$T_0 = T_1 - \{ x_{i2} : 1 \le i \le q \}.$$
(3.11)

Then  $E(T_0) = E(T_1) - W^*$  and

$$E(T) = E(T_0) \cup W^* \cup E(Q).$$
 (3.12)

Hence E(T) is partitioned into  $E(T_0)$ ,  $W^*$ , and E(Q). We define an edge coloring c of T by coloring the edges in each of the sets  $E(T_0)$ ,  $W^*$ , and E(Q) in the following three steps:

- (1) if *T* has only one exterior major vertex, then this exterior major vertex is also a superior major vertex since *T* is not a path. Thus  $\Delta(T_0) = \Delta(T) 1$  and so  $\chi_e(T_0) = \Delta(T) 1$ . Let  $c_1$  be an edge coloring of  $T_0$  using  $\Delta(T) 1$  colors and define  $c(e) = c_1(e)$  for all  $e \in E(T_0)$ . If *T* has more than one exterior major vertex, then  $\Delta(T_0) \leq \Delta(T)$  and so  $\chi_e(T_0) \leq \Delta(T)$ . Let  $c'_1$  be an edge coloring of  $T_0$  using  $\Delta(T)$  colors and define  $c(e) = c'_1(e)$  for all  $e \in E(T_0)$ ;
- (2) define  $c(v_i x_{i2}) = \Delta(T) + i$  for each edge  $v_i x_{i2}$  in  $W^*$ , where  $1 \le i \le q$ ;
- (3) define c(e) for each edge e in Q. For each pair i, j with  $1 \le i \le q$  and  $1 \le j \le k_i$ , let  $m_{ij} = |E(Q_{ij})|$  and

$$E(Q_{ij}) = \left\{ e_{ij}^1, e_{ij}^2, \dots, e_{ij}^{m_{ij}} \right\},$$
(3.13)

where (1)  $e_{ij}^1$  is incident with  $x_{ij}$ , (2)  $e_{ij}^{m_{ij}}$  is incident with  $u_{ij}$ , (3)  $e_{ij}^s$  is adjacent to  $e_{ij}^{s+1}$  in  $Q_{ij}$  for all s with  $1 \le s \le m_{ij} - 1$ . Let

$$T_0^* = T_1 - \{ x_{ij} : 1 \le i \le q, \ 1 \le j \le k_i \}.$$
(3.14)

For each *i* with  $1 \le i \le q$ , let  $d_i = \deg_{T_0^*} v_i$ , and so the degree of  $v_i$  in *T* is

$$\deg v_i = d_i + k_i \le \Delta(T). \tag{3.15}$$

We consider two cases according to whether  $d_i = 0$  or  $d_i > 0$ .

**CASE 1**  $(d_i = 0)$ . Thus  $N_{T_0^*}(v_i) = \emptyset$ . This implies that *T* has only one exterior major vertex that is also a superior major vertex. Notice that if  $j_1, j_2 \in \{1, 3, 4, ..., k_1\}$  and  $j_1 \neq j_2$ , then  $v_1 x_{1j_1}$  and  $v_1 x_{1j_2}$  are adjacent edges in  $T_0$  and so  $c(v_1 x_{1j_1}) \neq c(v_1 x_{1j_2})$ . There are two subcases.

**SUBCASE 1.1** ( $k_1 = 3$ ). Define

$$c(e_{11}^s) = c(v_1 x_{13})$$
 if s is odd,  $1 \le s \le m_{11}$ , (3.16)

$$c(e_{11}^s) = c(v_1 x_{11})$$
 if s is even,  $2 \le s \le m_{11}$ , (3.17)

$$c(e_{12}^s) = \Delta(T)$$
 if s is odd,  $1 \le s \le m_{12}$ ,  
(3.18)

$$c(e_{12}^s) = c(v_1 x_{11})$$
 if s is even,  $2 \le s \le m_{12}$ ,

$$c(e_{13}^s) = \Delta(T) \quad \text{if } s \text{ is odd, } 1 \le s \le m_{13}, c(e_{13}^s) = c(v_1 x_{13}) \quad \text{if } s \text{ is even, } 2 \le s \le m_{13}.$$
(3.19)

**SUBCASE 1.2**  $(k_1 \ge 4)$ . For *s* is even and  $2 \le s \le m_{11}$ , define  $c(e_{11}^s)$  as in (3.17); for  $1 \le s \le m_{12}$ , define  $c(e_{12}^s)$  as in (3.18); for  $1 \le s \le m_{13}$ , define  $c(e_{13}^s)$  as in (3.19). Furthermore, define

$$c(e_{11}^{s}) = c(v_1 x_{1k_1}) \quad \text{if } s \text{ is odd, } 1 \le s \le m_{11},$$
  

$$c(e_{1j}^{s}) = c(v_1 x_{1,j-1}) \quad \text{if } s \text{ is odd, } 1 \le s \le m_{1j}, 4 \le j \le k_1,$$
  

$$c(e_{1j}^{s}) = c(v_1 x_{1j}) \quad \text{if } s \text{ is even, } 2 \le s \le m_{1j}, 4 \le j \le k_1.$$
  
(3.20)

**CASE 2**  $(d_i > 0)$ . Thus  $N_{T_0^*}(v_i) \neq \emptyset$ . Let  $x \in N_{T_0^*}(v_i)$ . Then  $v_i x$  and  $v_i x_{ij}$   $(1 \le j \le k_1)$  are adjacent edges in  $T_0$  and so all colors  $c(v_i x)$  and  $c(v_i x_{ij})$ ,  $1 \le j \le k_1$ , are distinct. There are three subcases.

**SUBCASE 2.1** ( $k_i = 2$ ). Define

$$c(e_{i1}^s) = c(v_i x)$$
 if s is odd,  $1 \le s \le m_{i1}$ , (3.21)

$$c(e_{i1}^s) = c(v_i x_{i1})$$
 if *s* is even,  $2 \le s \le m_{i1}$ , (3.22)

$$c(e_{i2}^s) = c(v_i x)$$
 if s is odd,  $1 \le s \le m_{i2}$ ,  
(3.23)

$$\mathcal{C}(e_{i2}^s) = \mathcal{C}(v_i x_{i1})$$
 if s is even,  $2 \le s \le m_{i2}$ .

**SUBCASE 2.2** ( $k_i = 3$ ). For *s* is even and  $2 \le s \le m_{i1}$ , define  $c(e_{i1}^s)$  as in (3.22); for  $1 \le s \le m_{i2}$ , define  $c(e_{i2}^s)$  as in (3.23), and define

$$c(e_{i1}^s) = c(v_i x_{i3})$$
 if s is odd,  $1 \le s \le m_{i1}$ , (3.24)

$$c(e_{i3}^s) = c(v_i x)$$
 if *s* is odd,  $1 \le s \le m_{i3}$ ,  
(3.25)

$$c(e_{i3}^s) = c(v_i x_{i3})$$
 if *s* is even,  $2 \le s \le m_{i3}$ .

**SUBCASE 2.3** ( $k_i \ge 4$ ). For *s* is even and  $2 \le s \le m_{i1}$ , define  $c(e_{i1}^s)$  as in (3.22); for  $1 \le s \le m_{i2}$ , define  $c(e_{i2}^s)$  as in (3.23); for  $1 \le s \le m_{i3}$ , define  $c(e_{i3}^s)$  as in (3.25). Furthermore, define

$$c(e_{i1}^{s}) = c(v_{i}x_{ik_{i}}) \quad \text{if } s \text{ is odd, } 1 \le s \le m_{i1},$$
  

$$c(e_{ij}^{s}) = c(v_{i}x_{i,j-1}) \quad \text{if } s \text{ is odd, } 1 \le s \le m_{ij}, 4 \le j \le k_{i}, \qquad (3.26)$$
  

$$c(e_{ij}^{s}) = c(v_{i}x_{ij}) \quad \text{if } s \text{ is even, } 2 \le s \le m_{ij}, 4 \le j \le k_{i}.$$

Since adjacent edges of *T* are colored differently by *c*, it follows that *c* is an edge coloring of *T* using  $\Delta(T) + q$  colors. It remains to show that *c* is a resolving edge coloring of *T*. Let  $\mathfrak{D} = \{C_1, C_2, \dots, C_{\Delta(T)+q}\}$  be the decomposition of *T* into the color classes of *c*. Since all edges in  $W^*$  are colored differently by *c*, it suffices to show that if  $e, f \in E(T - W^*)$ , then  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ . We consider two cases.

**CASE 1** (there is some exterior major vertex *z* of *T* and a terminal vertex *x* of *z* such that *e* lies on the z - x path of *T*). Let *y* be a vertex in the z - x path that is adjacent to *z*. There are two subcases.

**SUBCASE 1(a)** ( $yz \in W$ ). First, assume that f lies on some  $z - x^*$  path of T for some terminal vertex  $x^*$  of z. If  $x = x^*$ , then either d(e, yz) < d(f, yz) or d(f, yz) < d(e, yz), implying that  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ . Thus we may assume that  $x \neq x^*$ . If  $d(e, yz) \neq d(f, yz)$ , then  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ . If d(e, yz) = d(f, yz), then  $c(e) \neq c(f)$  by the definition of c and so  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ .

Next, assume that f does not lie on any  $z - x^*$  path of T for all terminal vertices  $x^*$  of z. If there is an edge  $w \in W^*$  such that either f lies on the e - w path or e lies on the f - w path, then d(f, w) < d(e, w) or d(e, w) < d(f, w), respectively. In either case,  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ . Thus, we may assume that every path between e and an edge of  $W^*$  does not contain f and every path between f and an edge of  $W^*$  does not contain f and every path between f and an edge of  $W^*$  does not contain e. Necessarily, then, there exist an exterior major vertex z' and a terminal vertex x' of z' such that f lies on the z' - x' path of T. Since f does not lie on any  $z - x^*$  path of T for all terminal vertices  $x^*$  of z, it follows that  $z \neq z'$ . Since z' is an exterior major vertex of T, it follows that contain an edge of  $W^*$ . Let  $w^*$  be an edge of  $W^*$  that belongs to B. If  $d(e, yz) \neq d(f, yz)$ , then  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ . Thus we may assume that d(e, yz) = d(f, yz). This implies that  $d(f, w^*) < d(e, w^*)$  and so  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ .

**SUBCASE 1(b)**  $(yz \notin W)$ . By the argument used in Subcase 1.1, we may assume that every path between e and an edge of  $W^*$  does not contain f and every path between f and an edge of  $W^*$  does not contain e. Thus there exist an exterior major vertex z' and a terminal vertex x' of z' such that f lies on the z' - x' path of T. If z = z', then there exists  $w \in W^*$  such that w is incident with z. If  $d(e,w) \neq d(f,w)$ , then  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ , while if d(e,w) = d(f,w), then  $c(e) \neq c(f)$  by the definition of c and so  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ . Thus we may

assume that  $z \neq z'$ . Since the degrees of z and z' are at least 3, there exists a branch  $B_1$  at z that does not contain e and a branch  $B_2$  at z' that does not contain f. Necessarily,  $B_1$  must contain an edge  $w_1$  of  $W^*$  and  $B_2$  must contain an edge  $w_2$  of  $W^*$ . If  $d(e, w_1) \neq d(f, w_1)$ , then  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ , while if  $d(e, w_1) = d(f, w_1)$ , then  $d(f, w_2) < d(e, w_2)$  and so  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ .

**CASE 2** (for every exterior major vertex *z* of *T* and every terminal vertex *x* of *z*, *e* does not lie on the *z* – *x* path of *T*). Then there are at least two branches at *e*, say  $B'_1$  and  $B'_2$ , each of which contains some superior major vertex. Therefore, each of  $B'_1$  and  $B'_2$  contains an edge of  $W^*$ . Let  $w'_1$  and  $w'_2$  be the edges of  $W^*$  in  $B'_1$  and  $B'_2$ , respectively. First assume that  $f \in E(B'_1)$ . Then the  $f - w'_2$  path of *T* contains *e*, so  $d(e, w'_2) < d(f, w'_2)$  and  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ . We now assume that  $f \notin E(B'_1)$ . Then the  $f - w'_1$  path of *T* contains *e*. Hence  $d(e, w'_1) < d(f, w'_1)$ , so  $c_{\mathfrak{D}}(e) \neq c_{\mathfrak{D}}(f)$ .

Therefore,  $\mathfrak{D}$  is a resolving edge coloring of T and so  $\chi_{re}(T) \leq |\mathfrak{D}| = \Delta(T) + \sup(T)$ , as desired.

In the proof of Theorem 3.3, if *T* is a tree with  $\sup(T) \ge 2$  such that deg  $v \le \Delta(T) - 1$  for every major vertex v of *T* that is not a superior major vertex, then  $\Delta(T_0) \le \Delta(T) - 1$ . Hence  $\chi_e(T_0) \le \Delta(T) - 1$ . Thus,  $T_0$  has an edge coloring  $c^*$  using  $\Delta(T) - 1$  colors. Define an edge coloring c such that  $c(e) = c^*(e)$  for all  $e \in E(T_0)$  and define c(e) for each  $e \in V(T) - E(T_0)$  as described in the proof of Theorem 3.3. Then an argument similar to the one used in the proof of Theorem 3.3 shows that c is a resolving edge coloring of *T*. Thus, we have the following corollary.

**COROLLARY 3.4.** Let *T* be a tree with  $\sup(T) \ge 2$ . If every major vertex *v* of *T* that is not a superior major vertex has  $\deg v < \Delta(T)$ , then

$$\chi_{re}(T) \le \Delta(T) + \sup(T) - 1. \tag{3.27}$$

The upper bound in Corollary 3.4 is sharp. To see this, let *T* be a tree having two superior major vertices  $v_1$  and  $v_2$  with deg  $v_1 = \deg v_2 = \Delta(T)$  and deg  $v < \Delta(T)$  for every major vertex v of *T* that is not a superior major vertex. By Corollary 3.4,  $\chi_{re}(T) \le \Delta(T) + \sup(T) - 1 = \Delta(T) + 1$ . Assume, to the contrary, that  $\chi_{re}(T) = \Delta(T)$ . Let *c* be a resolving edge coloring of *T* with  $\Delta(T)$  colors and let  $\mathfrak{D} = \{C_1, C_2, \dots, C_{\Delta(T)}\}$  be the decomposition of *T* into the color classes of *c*. Let  $N(v_i) = \{x_{i1}, x_{i2}, \dots, x_{i\Delta(T)}\}$  for i = 1, 2. Without loss of generality, assume that  $x_{ij} \in C_j$  for i = 1, 2 and  $1 \le j \le \Delta(T)$ . However, then,  $c_{\mathfrak{D}}(v_1x_{11}) = (0, 1, 1, \ldots) = c_{\mathfrak{D}}(v_2x_{21})$ , which is a contradiction. Therefore,  $\chi_{re}(T) = \Delta(T) + 1 = \Delta(T) + \sup(T) - 1$ .

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