FIXED POINT THEOREMS FOR COMPATIBLE MAPPINGS WITH APPLICATIONS TO THE SOLUTIONS OF FUNCTIONAL EQUATIONS ARISING IN DYNAMIC PROGRAMMINGS

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ABSTRACT. Some common fixed point theorems for compatible mappings are shown As an application, the existence and uniqueness of common solutions for a class of functional equations arising in dynamic programmings are discussed.

KEY WORDS AND PHRASES: Common fixed point, compatible mapping, dynamic programming 1991 AMS SUBJECT CLASSIFICATION CODES: 54H25, 47H10

1. INTRODUCTION

In [1] the concept of compatible mappings was introduced as a generalization of commuting mappings and further investigation was given in [2-9]

The purpose of this paper is to prove some common fixed point theorems for compatible mappings, which generalized some recent results of [4, 10-13] As an application, we use the results presented to study the existence and uniqueness problem of a common solution for a class of functional equations arising in dynamic programmings, which generalized the corresponding results of [14,15].

2. FIXED POINT THEOREMS

DEFINITION 2.1. Self mappings A and S of a metric space (X, d) are called compatible, if $\lim_n d(ASx_n, SAx_n) = 0$ whenever $\{x_n\}$ is a sequence in X such that $\lim_n Ax_n = \lim_n Sx_n = t$ for some t in X

It is clear that commuting mappings and weakly commuting mappings are all compatible mappings, but the converse is false (see [1, 4]).

LEMMA 2.2 [1,4] If A and S are compatible self mappings of a metric space (X, d) and $\lim_n Sx_n = \lim_n Ax_n = t$ for some t in X, then $\lim_n ASx_n = St$ if S is continuous.

The following theorem can be obtained from Theorem 8 in [16].

THEOREM 2.3. Let (X, d) be a complete metric space and A, B, S and T are self mappings of X. Suppose that S and T are continuous, $A(X) \subset T(X)$, $B(X) \subset S(X)$, and that A, S and B, T are compatible and satisfy the following condition:

$$\begin{aligned} d(Ax, By) &\leq \Phi(\max\{d(Sx, Ty), d(Sx, Ax), d(Ty, By), \\ & \frac{1}{2} \left[d(Sx, By) + d(Ty, Ax) \right] \}), \, \forall x, y \in X, \end{aligned} \tag{2 1}$$

where $\Phi: [0,\infty) \to [0,\infty)$ is nondecreasing, upper semicontinuous and $\Phi(t) < t$ for all t > 0

Then A, B, S and T have a unique common fixed point in XWe merely state the proof for convenience

PROOF. Since $A(X) \subset T(X)$ and $B(X) \subset S(X)$, we can choose a sequence $\{x_n\}$ in X such that $Sx_{2n} = Bx_{2n-1}$ and $Tx_{2n-1} = Ax_{2n-2}$ for all n in the set N of all positive integers Let

$$y_{2n-1} = Tx_{2n-1} = Ax_{2n-2} y_{2n} = Sx_{2n} = Bx_{2n-1}$$
 $(n \in \mathbb{N}).$ (2.2)

As in [10], we can prove that $\{y_n\}$ is a Cauchy sequence in X Letting $y_n \to y_* \in X (n \to \infty)$, we know that $\{y_{2n}\}$ and $\{y_{2n-1}\}$ converge to y_* too.

Since A and S, B and T are both compatible, it follows from the continuity of S and T, (2.2) and Lemma 2.2 that

$$Ty_{2n-1} \to Ty_*, \quad By_{2n-1} \to Ty_*, \quad Sy_{2n} \to Sy_*, \quad Ay_{2n} \to Sy_*.$$
 (2.3)

By (2.1) and (2.2) we have

$$\begin{split} d(Ay_{2n},By_{2n-1}) &\leq \Phi(\max\{d(Sy_{2n},Ty_{2n-1}),d(Sy_{2n},Ay_{2n}),\\ &\quad d(Ty_{2n-1},By_{2n-1}),\frac{1}{2}\left[d(Sy_{2n},By_{2n-1})+d(Ty_{2n-1},Ay_{2n})\right]\}). \end{split}$$

By the upper semicontinuity of $\Phi(t)$ and (2.3) we have

$$d(Sy_*, Ty_*) \le \Phi(\max\{d(Sy_*, Ty_*), 0, 0, d(Sy_*, Ty_*)\}) \\ = \Phi(d(Sy_*, Ty_*)).$$

This implies that $Sy_* = Ty_*$

Similarly, from (2.1), (2.2) and (2.3) we can obtain

$$Sy_* = By_*, \quad Ty_* = Ay_*.$$

Hence we have

$$Ay_* = By_* = Sy_* = Ty_*. \tag{24}$$

From (2.1) and (2.2) we have

$$\begin{aligned} d(Ax_{2n}, By_{\star}) &\leq \Phi(\max\{d(Sx_{2n}, Ty_{\star}), d(Sx_{2n}, Ax_{2n}), \\ d(Ty_{\star}, By_{\star}), \frac{1}{2} [d(Sx_{2n}, By_{\star}) + d(Ty_{\star}, Ax_{2n})]\}), \end{aligned}$$

and then

$$d(y_*, By_*) \leq \Phi(d(y_*, By_*)).$$

Hence we have $y_* = By_* = Ay_* = Sy_* = Ty_*$

The uniqueness is obvious. This completes the proof.

DEFINITION 2.4. A metric space (X, d) is (metrical) convex, if for each $x, y \in X$ with $x \neq y$, there exists a $z \in X$, $x \neq z \neq y$, such that

$$d(x,z) + d(z,y) = d(x,y).$$

LEMMA 2.5 [17]. Let K be a closed subset of a complete convex metric space X. If $x \in K$ and $y \notin K$, then there exists a point $z \in \partial K$ such that

$$d(x,z) + d(z,y) = d(x,y).$$

DEFINITION 2.6. Let (X, d) be a metric space, $K \subset X$ and $A, S : K \to X$. The pair of mappings A and S is called compatible, if $\lim_{n \to \infty} d(ASx_n, SAx_n) = 0$ whenever $\{x_n\}$ is a sequence in K such that $Ax_n, Sx_n \in K$ and $\lim_{n \to \infty} Ax_n = \lim_{n \to \infty} Sx_n = t \in K$

LEMMA 2.7. Let (X, d) be a metric space, $K \subset X$ and $A, S : K \to X$ If A and S are compatible mappings, $Ax_n, Sx_n \in K$ and $\lim_n Ax_n = \lim_n Sx_n = t$ for some $t \in K$, then $\lim_n ASx_n = St$ if S is continuous.

PROOF. It is obvious from Definition 2 6

THEOREM 2.8. Let (X, d) be a complete convex metric space and K a nonempty closed subset of X Suppose that S and T are continuous mappings from X into X with $\partial K \subset S(K) \cap T(K)$ and that $A, B: K \to X$ are continuous mappings with $A(K) \cap K \subset S(K), B(K) \cap K \subset T(K)$ Suppose further that the pairs of mappings A, T and B, S are compatible and satisfying

$$d(Ax, By) \le \Phi(d(Tx, Sy)), \forall x, y \in K,$$
(2.5)

where $\Phi: [0,\infty) \to [0,\infty)$ is nondecreasing upper semi-continuous and $\sum \Phi^n(t) < \infty$ for all $t \ge 0$

If for $x \in K$, $Tx \in \partial K$ implies $Ax, Bx \in K$ and $Sx \in \partial K$ implies $Ax, Bx \in K$, then there exists a $z \in K$ such that

$$z = Tz = Sz = Az = Bz.$$

If Tv = Sv = Av = Bv, then Tz = Tv

PROOF. Let $p \in \partial K$. Using Lemma 2.5 and the proof of [11] we can choose two sequences $\{p_n\}_{n \in \mathbb{N}}$ and $\{p'_n\}_{n \in \mathbb{N}}$ such that for any $n \in \mathbb{N}$, $p_n \in K$, $p'_{2n-1} = Ap_{2n}$, $p'_{2n} = Bp_{2n-1}$ and the following implications hold

(i) If
$$p'_{2n} \in K$$
, then $p'_{2n} = Tp_{2n}$, if $p'_{2n} \notin K$, then $Tp_{2n} \in \partial K$ and
 $d(Sp_{2n-1}, Tp_{2n}) + d(Tp_{2n}, Bp_{2n-1}) = d(Sp_{2n-1}, Bp_{2n-1})$

If
$$p'_{2n+1} \in K$$
, then $p'_{2n+1} = Sp_{2n+1}$, if $p'_{2n+1} \notin K$, then $Sp_{2n+1} \in \partial K$ and

$$d(Tp_{2n}, Sp_{2n+1}) + d(Sp_{2n+1}, Ap_{2n}) = d(Tp_{2n}, Ap_{2n})$$

Further, as in [3] we can prove that

(ii)

$$\frac{d(Tp_{2n}, Sp_{2n+1}) \le \Phi^{n-1}(r)}{d(Sp_{2n+1}, Tp_{2n+2}) \le \Phi^n(r)} (n \in \mathbb{N}),$$

$$(2.6)$$

where $r = \max\{d(Tp_2, Sp_3), d(Tp_2, Sp_1)\}$.

This implies that for any $n \in \mathbb{N}$,

$$d(Tp_{2n}, Tp_{2n+2}) \le \Phi^{n-1}(r) + \Phi^n(r)$$

Hence the sequence $\{Tp_{2n}\}_{n \in \mathbb{N}}$ is a Cauchy sequence. Since X is complete and K is closed, it follows that there exists a $z \in K$ such that $z = \lim_{n \to \infty} Tp_{2n}$ From (2.6) we have

$$z=\lim_n Tp_{2n}=\lim_n Sp_{2n+1}.$$

Now we prove that z = Tz = Sz = Az = Bz It is obvious that there exists a sequence $\{n_k\} \subset \mathbb{N}$ such that $Tp_{2n_k} = Bp_{2n_k-1}$, or $Sp_{2n_k-1} = Ap_{2n_k-2}$, $\forall k \in \mathbb{N}$. Without loss of generality, we can suppose that $Tp_{2n_k} = Bp_{2n_k-1}$, $\forall k \in \mathbb{N}$ From (2.5) we have

$$\begin{split} d(STp_{2n_k},Az) &\leq d(SBp_{2n_k-1},BSp_{2n_k-1}) + d(BSp_{2n_k-1},Az) \\ &\leq d(SBp_{2n_k-1},BSp_{2n_k-1}) + \Phi(d(SSp_{2n_k-1},Tz)) \end{split}$$

Since B, S are compatible and S is continuous, we have

$$d(Sz, Az) \le \Phi(d(Sz, Tz)). \tag{27}$$

From (2.5) we have

$$d(Ap_{2n_k}, Tp_{2n_k}) = d(Ap_{2n_k}, Bp_{2n_k-1}) \\ \leq \Phi(d(Sp_{2n_k-1}, Tp_{2n_k})).$$

By the upper semi-continuity of $\Phi(t)$, it follows that

$$\lim_{k} A p_{2n_k} = z. (28)$$

Using (2.5) we have

$$d(Ap_{2n_k}, BSp_{2n_k-1}) \leq \Phi(d(Tp_{2n_k}, SSp_{2n_k-1})).$$

Since B, S are compatible and S is continuous, it follows from (2.8) and Lemma 2.7 that

 $d(z, Sz) \leq \Phi(d(z, Sz)).$

This implies that d(z, Sz) = 0, i.e. z = Sz.

Since A, T are compatible and A and T are continuous, from (2.8) and Lemma 2.7 we have

$$Az = \lim_{k} AT p_{2n_{k}} = Tz$$

In view of (2.7) we have

$$d(Sz,Tz) \leq \Phi(d(Sz,Tz))$$

and so

$$z = Sz = Tz = Az$$

Besides, from (2.5) we have

$$d(Az, Bz) \le \Phi(d(Sz, Tz)) = \Phi(0) = 0$$

Hence

$$z = Tz = Sz = Az = Bz.$$

Finally, if Tv = Sv = Av = Bv, then

$$d(Tv, Sz) = d(Av, Bz) \le \Phi(d(Sz, Tv))$$

and so Tv = Sz = Tz.

This completes the proof of Theorem 28.

As an immediate consequence we can obtain the following result.

THEOREM 2.9. Let (X, d) be a complete convex metric space, K a nonempty closed subset of X, and S and T continuous mappings from X into X such that $\partial K \subset S(K) \cap T(K)$. Suppose that for every $n \in \mathbb{N}$, $A_n : K \to X$ is a continuous mapping with $A_{2n}(K) \cap K \subset T(K)$ and $A_{2n-1}(K) \cap K \subset S(K)$, and that the pairs of mappings A_{2n-1}, T and A_{2n}, S are compatible such that for any $n \in \mathbb{N}$

$$d(A_nx, A_{n+1}y) \leq \Phi(d(Tx, Sy)), \forall x, y \in K,$$

where $\Phi(t)$ is the same as in Theorem 2.8.

If for every $n \in \mathbb{N}$ and $x \in K$,

 $Tx \in \partial K$ implies $A_n x \in K$ and $Sx \in \partial K$ implies $A_n x \in K$,

then there exists a $z \in K$ such that

$$z = Tz = Sz = A_n z, \quad \forall n \in \mathbb{N}$$

and if $Tv = Sv = A_nv$ for every $n \in \mathbb{N}$, then Tz = Tv.

REMARK 2.10. Theorem 2.9 is a generalization of Theorem 1 in [11]

3. APPLICATIONS

Throughout this section we assume that X and Y are Banach spaces, $S \subset X$ is a state space, $D \subset Y$ a decision space and $\mathbb{R} = (-\infty, +\infty)$ We denote by B(S) the set of all bounded real-valued functions defined on S.

As suggested in Bellman and Lee [18], the basic form of the functional equations of dynamic programming is

$$f(x) = \operatorname{opt}_{y} H(x, y, f(T(x, y))),$$

where x and y represent the state and decision vectors respectively, T represents the transformation of the process, and f(x) represents the optimal return function with initial state x (here opt denotes max or min)

In this section, we shall study the existence and uniqueness of a common solution of the following functional equations arising in dynamic programmings

$$f(x) = \sup_{y \in D} H_1(x, y, f(T(x, y))), \quad x \in S,$$
(3 1)

$$g(x) = \sup_{y \in D} H_2(x, y, g(T(x, y))), \quad x \in S,$$
(3.2)

$$p(x) = \sup_{y \in D} F_1(x, y, p(T(x, y))), \quad x \in S,$$
(3.3)

$$q(x) = \sup_{y \in D} F_2(x, y, q(T(x, y))), \quad x \in S,$$
(3.4)

where $T: S \times D \rightarrow S$, H_i and $F_i: S \times D \times \mathbb{R} \rightarrow \mathbb{R}$, i = 1, 2.

THEOREM 3.1. Suppose that the following conditions are satisfied

- (i) H_i and F_i are bounded, i = 1, 2.
- (ii) $|H_1(x, y, h(t)) H_2(x, y, k(t))| \le \Phi(\max\{|T_1h(t) T_2k(t)|, |T_1h(t) A_1h(t)|, |T_2k(t) A_2k(t)|, \frac{1}{2}[|T_1h(t) A_2k(t)| + |T_2k(t) A_1h(t)|]\}),$

for all $(x, y) \in S \times D$, $h, k \in B(S)$ and $t \in S$, where Φ is the same as in Theorem 2.3, and the mappings A_t and T_t are defined as follows:

$$A_{\iota}h(x) = \sup_{y \in D} H_{\iota}(x, y, h(T(x, y))), \quad x \in S, \quad h \in B(S) \text{ and}$$

$$T_ik(x) = \sup_{y \in D} F_i(x, y, k(T(x, y))), \quad x \in S, \quad k \in B(S), \ i = 1, 2$$

(iii) For any $\{k_n\} \subset B(S)$ and $k \in B(S)$,

$$\limsup_n \sup_{x \in S} |k_n(x) - k(x)| = 0 \quad \text{implies} \quad \limsup_n \sup_{x \in S} |T_i k_n(x) - T_i k(x)| = 0, \quad i = 1, 2.$$

(iv) For any $h \in B(S)$, there exist $k_1, k_2 \in B(S)$ such that

$$A_1h(x) = T_2k_1(x), \quad A_2h(x) = T_1k_2(x), \quad x \in S.$$

(v) For any $\{k_n\} \subset B(S)$, if there exists $h \in B(S)$ such that

$$\limsup_n \sup_{x \in S} |A_i k_n(x) - h(x)| = \limsup_n \sup_{x \in S} |T_i k_n(x) - h(x)| = 0,$$

then

$$\lim_{n} \sup_{x \in S} |A_{i}T_{i}k_{n}(x) - T_{i}A_{i}k_{n}(x)| = 0, \quad i = 1, 2.$$

Then the system of functional equations (3.1)-(3.4) has a unique common solution in B(S).

PROOF. For any $h, k \in B(S)$, let

$$d(h,k) = \sup \{ |h(x) - k(x)| : x \in S \},\$$

then (B(S), d) is a complete metric space From (i)-(v) we know that A_i and T_i are self mappings of $B(S), T_i$ are continuous, $A_1(B(S)) \subset T_2(B(S)), A_2(B(S)) \subset T_1(B(S))$, and the pair of mappings A_i, T_i are compatible, i = 1, 2.

Let h_1, h_2 be any two points of B(S), let $x \in S$ and η be any positive number, there exist y_1 , and y_2 in D such that

$$\begin{array}{l} A_{i}h_{i}(x) < H_{i}(x,y_{i},h_{i}(x_{i})) + \eta \\ \text{where } x_{i} = T(x,y_{i}) \end{array} \right\} \ (i = 1,2). \eqno(3.5)$$

Also we have

$$A_1h_1(x) \ge H_1(x, y_2, h_1(x_2)),$$
 (3.6)

$$A_2h_2(x) \ge H_2(x, y_1, h_2(x_1)).$$
 (3.7)

From (3.5), (3 7) and (ii) we have

$$\begin{split} A_1h_1(x) - A_2h_2(x) &< H_1(x, y_1, h_1(x_1)) - H_2(x, y_1, h_2(x_1)) + \eta \\ &\leq |H_1(x, y_1, h_1(x_1)) - H_2(x, y_1, h_2(x_1))| + \eta \\ &\leq \Phi(\max\{|T_1h_1(x_1) - T_2h_2(x_1)|, |T_1h_1(x_1) - A_1h_1(x_1)|, \\ &|T_2h_2(x_1) - A_2h_2(x_1)|, \frac{1}{2} \left[|T_1h_1(x_1) - A_2h_2(x_1)| \right. \\ &+ |T_2h_2(x_1) - A_1h_1(x_1)|\right] \}) + \eta \\ &\leq \Phi(\max\{d(T_1h_1, T_2h_2), d(T_1h_1, A_1h_1), \\ &d(T_2h_2, A_2h_2), \frac{1}{2} \left[d(T_1h_1, A_2h_2) \right. \\ &+ d(T_2h_2, A_1h_1)\right] \}) + \eta. \end{split}$$

Similarly from (3.5), (3.6) and (ii) we have

$$\begin{split} A_1h_1(x) - A_2h_2(x) &\geq - \Phi(\max\{d(T_1h_1, T_2h_2), d(T_1h_1, A_1h_1), \\ d(T_2h_2, A_2h_2), \frac{1}{2} \left[d(T_1h_1, A_2h_2) \right. \\ &+ d(T_2h_2, A_1h_1) \right]) - \eta. \end{split}$$

Hence we have

$$\begin{aligned} |A_1h_1(x) - A_2h_2(x)| &\leq \Phi(\max\{d(T_1h_1, T_2h_2), d(T_1h_1, A_1h_1), \\ d(T_2h_2, A_2h_2), \frac{1}{2} [d(T_1h_1, A_2h_2) \\ &+ d(T_2h_2, A_1h_1)]\}) + \eta. \end{aligned}$$
(3.8)

Since (3.8) is true for any $x \in S$ and η is any positive number, we have

$$\begin{split} d(A_1h_1,A_2h_2) &\leq \Phi(\max\{d(T_1h_1,T_2h_2),d(T_1h_1,A_1h_1),\\ &d(T_2h_2,A_2h_2),\frac{1}{2}\left[d(T_1h_1,A_2h_2),\right.\\ &+ d(T_2h_2,A_1h_1)]\}). \end{split}$$

Therefore by Theorem 2.3, A_1, A_2, T_1 and T_2 have a unique common fixed point $h^* \in B(S)$, i.e. $h^*(x)$ is a unique common solution of functional equations (3.1) - (3.4). This completes the proof.

The following result is an immediate consequence of Theorem 2.3 and Theorem 3 1

THEOREM 3.2. Suppose that the following conditions are satisfied:

- (i) H_i is bounded, i = 1, 2;
- (ii) $|H_1(x, y, h(t)) H_2(x, y, k(t))| \le \Phi(\max\{|h(t) k(t)|, |h(t) A_1h(t)|, |k(t) A_2k(t)|, \frac{1}{2}[|h(t) A_2k(t)| + |k(t) A_1h(t)|]\})$

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for all $(x, y) \in S \times D$, $h, k \in B(S)$ and $t \in S$, where Φ is the same as in Theorem 2.3 and A_i is defined by

$$A_ih(x) = \sup_{y \in D} H_i(x, y, h(T(x, y))), \quad x \in S, \quad h \in B(S), \quad i = 1, 2$$

Then the functional equations (3.1) and (3.2) have a unique common solution in B(S)

REMARK 3.3. Theorem 3.2 is a generalization of Theorem 2.1 in [15].

THEOREM 3.4. Suppose that the following conditions are satisfied.

(i) H_i and F_i are bounded, i = 1, 2,

(ii) $|H_1(x, y, h(t)) - H_2(x, y, k(t))| \le \Phi(|T_1h(t) - T_2k(t)|)$ for all $(x, y) \in S \times D$, $h, k \in B(S)$ and $t \in S$, where Φ is the same as in Theorem 2.8 and T_i is defined as in Theorem 3.1, i = 1, 2;

(iii) For any $\{k_n\} \subset B(S)$ and $k \in B(S)$,

$$\limsup_{n} \sup_{x \in S} |k_n(x) - k(x)| = 0 \quad \text{implies} \quad \limsup_{n} \sup_{x \in S} |T_i k_n(x) - T_i k(x)| = 0$$

and

$$\lim_{n} \sup_{x \in S} |A_{i}k_{n}(x) - A_{i}k(x)| = 0, \quad i = 1, 2,$$

where A_i is defined as in Theorem 3.1, i = 1, 2;

(iv) For any
$$h \in B(S)$$
 such that $\sup_{x \in S} |h(x)| = 1$, there exist $k_1, k_2 \in B(S)$ such that
 $\sup_{x \in S} |k_i(x)| \le 1$ and $T_i k_i(x) = h(x), x \in S, i = 1, 2;$

- (v) For any $h \in B(S)$ such that $\sup_{x \in S} |h(x)| \le 1$, there exist $k_1, k_2 \in B(S)$ such that $\sup_{x \in S} |k_i(x)| \le 1$, i = 1, 2, $A_1h(x) = T_2k_1(x)$ and $A_2h(x) = T_1k_2(x)$, $x \in S$;
- (vi) For any $h \in B(S)$ such that $\sup_{x \in S} |h(x)| \le 1$, $\sup_{x \in S} |T_i h(x)| = 1$ implies $\sup_{x \in S} |A_j h(x)| \le 1$, i, j = 1, 2;

(vii) For any $\{k_n\} \subset B(S)$, if there exists $h \in B(S)$ such that $\sup_{x \in S} |T_i k_n(x)| \leq 1$ and

$$\lim_n \sup_{x \in S} |A_i k_n(x) - h(x)| = \lim_n \sup_{x \in S} |T_i k_n(x) - h(x)| = 0,$$

then

$$\lim_n \sup_{x \in S} |A_i T_i k_n(x) - T_i A_i k_n(x)| = 0, \quad i = 1, 2.$$

Then the system of functional equations (3 1) - (3.4) have a unique common solution $h^* \in B(S)$ and $\sup_{x \in S} |h^*(x)| \le 1$.

PROOF. Let us consider B(S) as a Banach space of all bounded real-valued functions defined on S with a supremum norm, and K the closed unit ball in B(S). By conditions (i)-(vii) we know that $A_i: K \to B(S)$ and $T_i: B(S) \to B(S)$, i = 1, 2, satisfy all of the conditions of Theorem 2.8 and have a unique common fixed point $h^* \in K$, i.e., $h^*(x)$ is a unique common solution of functional equations (3.1) - (3.4).

REMARK 3.5. Theorem 3 4 is a generalization of Theorem 3.2 in [14].

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