A REFINEMENT OF A PARTITION THEOREM OF SELLERS

Kuo-Jye Chen

Department of Mathematics, National Changhua University of Education, Changhua 500, Taiwan kjc@math.ncue.edu.tw

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Abstract

Abel's identity

$$a_1b_1 + a_2b_2 + \dots + a_mb_m = (b_1 + b_2 + \dots + b_m)a_m + (b_1 + \dots + b_{m-1})(a_{m-1} - a_m) + \dots + b_1(a_1 - a_2)$$

is used to give a refinement of a recent theorem of Sellers.

1. Introduction

Recently Sellers ([1], [2]) proved via partition analysis the following theorem.

Theorem 1. Let $K = (k_2, k_3, k_4, \cdots)$ be an infinite vector of nonnegative integers with $k_2 \geq 1$. Define p(n; K) as the number of partitions of n of the form $p_1 + p_2 + p_3 + p_4 + \cdots$ with $p_1 \geq p_2 \geq p_3 \geq p_4 \cdots \geq 0$ and $p_1 \geq k_2 p_2 + k_3 p_3 + k_4 p_4 + \cdots$. Then, for all $n \geq 0$, p(n; K) equals the number of partitions of n whose parts must be 1's or of the form $(\sum_{i=2}^m k_i) + (m-1)$ for some integer $m \geq 2$.

The main result of this note is a refinement of Sellers's theorem. To state the theorem, we will first introduce some notation.

Definition 1. Let j be a nonnegative integer and let $K = (k_2, k_3, k_4, \cdots)$ be a sequence of nonnegative integers with $k_2 \geq 1$.

Define

- (1) $K_1 := \emptyset$ and $K_m := (k_2, k_3, \dots, k_m)$ for $m \ge 2$.
- (2) $S_j(n; K_1) :=$ the set of all partitions of n with exactly the part j, and for m > 2

$$S_j(n; K_m) :=$$
the set of all partitions of n with $n = p_1 + p_2 + \dots + p_m$, $p_1 \ge p_2 \ge \dots \ge p_m \ge 1$, and $p_1 = j + k_2 p_2 + k_3 p_3 + \dots + k_m p_m$.

(3) $T_j(n; K_1) :=$ the set of all partitions of n with 1 appearing exactly j times and with largest part ≤ 1 ,

and for $m \geq 2$

- $T_j(n;K_m):=$ the set of all partitions in which the only parts appeaing are $1,k_2+1,$ $k_2+k_3+2,\,\cdots,\,k_2+k_3+\cdots+k_m+m-1,$ the largest part equals $k_2+k_3+\cdots+k_m+m-1,$ and 1 appears exactly j times.
- (4) $S(n; K_m) := \bigcup_{j \geq 0} S_j(n; K_m),$ $T(n; K_m) := \bigcup_{j \geq 0} T_j(n; K_m),$ $S(n; K) := \bigcup_{m \geq 1} T(n; K_m),$ $T(n; K) := \bigcup_{m \geq 1} T(n; K_m).$
- (5) We will use the following notation for a partition $p_1f_1 + p_2f_2 + \cdots + p_mf_m$ of n:

$$n = p_1 \cdot (f_1) + p_2 \cdot (f_2) + \dots + p_m \cdot (f_m),$$

where f_i denotes the multiplicity of the part p_i and $p_1 \geq p_2 \geq \cdots \geq p_m$.

With the notation in Definition 1, we can now state our main result:

Theorem 2. We have

$$|S_i(n; K_m)| = |T_i(n; K_m)|,$$

for integers $j, n \ge 0$ and $m \ge 1$.

Remark. Sellers's Theorem 1 says that

$$\sum_{j\geq 0} \sum_{m\geq 1} |S_j(n; K_m)| = \sum_{j\geq 0} \sum_{m\geq 1} |T_j(n; K_m)|,$$

and this follows immediately from Theorem 2.

In Section 2, Abel's transform will be defined. In Section 3, Abel's transform will be used to give a short proof of the main result. In Section 4, two examples will be given.

2. Abel's Identity, Abel's Transform, and the Conjugation of Partitions

Abel's identity states that, for $a_i, b_i \in \mathbb{R}$, one has

$$a_1b_1 + a_2b_2 + \dots + a_mb_m = (b_1 + b_2 + \dots + b_m)a_m + (b_1 + b_2 + \dots + b_{m-1})(a_{m-1} - a_m)$$
(1)
+ \dots + b_1(a_1 - a_2).

which can be readily verified by induction.

Let $p_1 \cdot (f_1) + p_2 \cdot (f_2) + \cdots + p_m \cdot (f_m)$ be a partition of n.

Define Abel's transform α by

$$\alpha: p_{1} \cdot (f_{1}) + p_{2} \cdot (f_{2}) + \dots + p_{m} \cdot (f_{m}) \to (f_{1} + f_{2} + \dots + f_{m}) \cdot (p_{m}) + (f_{1} + f_{2} + \dots + f_{m-1}) \cdot (p_{m-1} - p_{m}) + \dots + f_{1} \cdot (p_{1} - p_{2})$$
(2)

It follows from (1) that α^2 is the identity map. Hence α is a bijection. Indeed, α is the conjugation of partitions. This bijection, together with other two, will provide a bijection between the two sets $S_j(n; k_m)$ and $T_j(n; k_m)$ in the next section.

3. Proof of Theorem 2

By use of Abel's transform α , we are in a position to prove Theorem 2.

Proof of Theorem 2. Let $n = p_1 + p_2 + \cdots + p_m$ be a partition in $S_j(n; k_m)$. Write $n = j + p_2 \cdot (k_2 + 1) + p_3 \cdot (k_3 + 1) + \cdots + p_m \cdot (k_m + 1)$. Combining the sequence of bijections

$$n = j + p_{2} \cdot (k_{2} + 1) + p_{3} \cdot (k_{3} + 1) + \dots + p_{m} \cdot (k_{m} + 1)$$

$$\rightarrow n - j = p_{2} \cdot (k_{2} + 1) + p_{3} \cdot (k_{3} + 1) + \dots + p_{m} \cdot (k_{m} + 1)$$

$$\stackrel{\alpha}{\rightarrow} n - j = (k_{2} + k_{3} + \dots + k_{m} + (m - 1)) \cdot p_{m} + (k_{2} + k_{3} + \dots + k_{m-1} + (m - 2)) \cdot (p_{m-1} - p_{m}) + \dots + (k_{2} + 1) \cdot (p_{2} - p_{3})$$

$$\rightarrow n = (k_{2} + k_{3} + \dots + k_{m} + (m - 1)) \cdot p_{m} + (k_{2} + k_{3} + \dots + k_{m-1} + (m - 2)) \cdot (p_{m-1} - p_{m}) + \dots + (k_{2} + 1) \cdot (p_{2} - p_{3}) + 1 \cdot (j),$$

we see that the last partition is in $T_j(n; k_m)$. Hence $|S_j(n; k_m)| = |T_j(n; k_m)|$.

4. Examples

Example 1. The case $K = (2, 1, 1, 1, \cdots)$ and n = 12. In this case, we have $(k_2 + 1, k_2 + k_3 + 2, k_2 + k_3 + k_4 + 3, \cdots) = (3, 5, 7, 9, 11, \cdots)$,

$$S(12; K) = \left\{ \begin{array}{l} 12\\ 11+1, 10+2, 9+3, 8+4\\ 10+1+1, 9+2+1, 8+3+1, 8+2+2\\ 9+1\cdot(3), 8+2+1+1, 7+2+2+1\\ 8+1\cdot(4), 7+2+1\cdot(3)\\ 7+1\cdot(5) \end{array} \right\},\,$$

and

$$T(12; K) = \left\{ \begin{array}{l} 1 \cdot (12) \\ 3 + 1 \cdot (9), 3 + 3 + 1 \cdot (6), 3 \cdot (3) + 1 \cdot (3), 3 \cdot (4) \\ 5 + 1 \cdot (7), 5 + 3 + 1 \cdot (4), 5 + 3 + 3 + 1, 5 + 5 + 1 + 1 \\ 7 + 1 \cdot (5), 7 + 5, 7 + 3 + 1 + 1 \\ 9 + 1 \cdot (3), 9 + 3 \\ 11 + 1 \end{array} \right\}.$$

We have the following partition of S(12; K) and T(12; K) into corresponding subsets.

$$\begin{cases} S(12; K_1) = \{12\}, \\ T(12; K_1) = \{1 \cdot (12)\}, \\ S(12; K_2) = \{11 + 1, 10 + 2, 9 + 3, 8 + 4\}, \\ T(12; K_2) = \{3 + 1 \cdot (9), 3 + 3 + 1 \cdot (6), 3 \cdot (3) + 1 \cdot (3), 3 \cdot (4)\}, \\ S(12; K_3) = \{10 + 1 + 1, 9 + 2 + 1, 8 + 3 + 1, 8 + 2 + 2\}, \\ T(12; K_3) = \{5 + 1 \cdot (7), 5 + 3 + 1 \cdot (4), 5 + 3 + 3 + 1, 5 + 5 + 1 + 1\}, \\ S(12; K_4) = \{9 + 1 \cdot (3), 8 + 2 + 1 + 1, 7 + 2 + 2 + 1\}, \\ T(12; K_4) = \{7 + 1 \cdot (5), 7 + 5, 7 + 3 + 1 + 1\}, \\ S(12; K_5) = \{8 + 1 \cdot (4), 7 + 2 + 1 \cdot (3)\}, \\ T(12; K_5) = \{9 + 1 \cdot (3), 9 + 3\}, \\ S(12; K_6) = \{7 + 1 \cdot (5)\}, \\ T(12; K_6) = \{11 + 1\}. \end{cases}$$

We further partition these subsets. For example, in $S(12; K_3)$ and $T(12; K_3)$ we have

$$S_7(12; K_3) = \{10 + 1 + 1\}, \quad T_7(12; K_3) = \{5 + 1 \cdot (7)\},$$

$$S_4(12; K_3) = \{9 + 2 + 1\}, \quad T_4(12; K_3) = \{5 + 3 + 1 \cdot (4)\},$$

$$S_1(12; K_3) = \{8 + 3 + 1\}, \quad T_1(12; K_3) = \{5 + 3 + 3 + 1\},$$

$$S_2(12; K_3) = \{8 + 2 + 2\}, \quad T_2(12; K_3) = \{5 + 5 + 1 + 1\}.$$

and all other $S_j(12; K_3)$ and $T_j(12; K_3)$ are empty. We demonstrate, for example, $|S_1(12; K_3)| = |T_1(12; K_3)|$. Take 12 = 8+3+1, a partition

in
$$S_1(12; K_3)$$
. Since $8 = 1 + 3 \cdot (2) + 1 \cdot (1)$, we have

$$12 = 8 + 3 + 1 = 8 + 3 \cdot (1) + 1 \cdot (1) = 1 + 3 \cdot (3) + 1 \cdot (2) \longrightarrow 11 = 3 \cdot (3) + 1 \cdot (2)$$

$$\stackrel{\alpha}{\rightarrow} 11 = (3 + 2) \cdot 1 + 3 \cdot (3 - 1)$$

$$= 5 \cdot (1) + 3 \cdot (2)$$

$$\rightarrow 12 = 5 \cdot (1) + 3 \cdot (2) + 1 \cdot (1)$$

$$= 5 + 3 + 3 + 1$$

The corresponding Ferrers graph is

$$12 = 8 + 3 + 1 = 8 + 3 \cdot (1) + 1 \cdot (1) = 1 + 3 \cdot (3) + 1 \cdot (2)$$

$$since 8 = 1 + 3 \cdot (2) + 1 \cdot (1)$$

$$\downarrow$$

$$11 = 3 \cdot (3) + 1 \cdot (2)$$

$$\downarrow$$

$$\alpha$$

$$11 = 5 + 3 + 3$$

$$\downarrow$$

$$12 = 5 + 3 + 3 + 1$$

Example 2. The case $K = (1, 1, 1, \cdots)$ and n = 11. In this case, we have

$$(k_2 + 1, k_2 + k_3 + 2, k_2 + k_3 + k_4 + 3, \cdots) = (2, 4, 6, 8, \cdots),$$

 $S_3(11, K_3) = \{7 + 3 + 1, 7 + 2 + 2\},$

and

$$T_3(11, K_3) = \{4 + 4 + 1 \cdot (3), 4 + 2 + 2 + 1 \cdot (3)\}.$$

We demonstrate $|S_3(11, K_3)| = |T_3(11, K_3)|$.

Since
$$7 = 3 + 3 \cdot (1) + 1 \cdot (1)$$
, we have
$$11 = 7 + 3 + 1 = 7 + 3 \cdot (1) + 1 \cdot (1) = 3 + 3 \cdot (2) + 1 \cdot (2)$$

$$\rightarrow 8 = 3 \cdot (2) + 1 \cdot (2)$$

$$\stackrel{\alpha}{\rightarrow} 8 = (2 + 2) \cdot 1 + 2 \cdot (3 - 1)$$

$$= 4 \cdot (1) + 2 \cdot (2)$$

$$\rightarrow 11 = 4 \cdot (1) + 2 \cdot (2) + 1 \cdot (3).$$

The corresponding Ferrers graph is

Next, since $7 = 3 + 2 \cdot (1) + 2 \cdot (1)$, we have

$$11 = 7 + 2 + 2 = 7 + 2 \cdot (1) + 2 \cdot (1) = 3 + 2 \cdot (2) + 2 \cdot (2)$$

$$\rightarrow 8 = 2 \cdot (2) + 2 \cdot (2)$$

$$\stackrel{\alpha}{\rightarrow} 8 = (2 + 2) \cdot (2) + 2 \cdot (2 - 2)$$

$$= 4 \cdot (2)$$

$$\rightarrow 11 = 4 \cdot (2) + 1 \cdot (3).$$

The corresponding Ferrers graph is

$$11 = 7 + 2 + 2 = 7 + 2 \cdot (1) + 2 \cdot (1) = 3 + 2 \cdot (2) + 2 \cdot (2)$$

$$\sin \cot 7 = 3 + 2 \cdot (1) + 2 \cdot (1)$$

$$0 = 8 = 2 \cdot (2) + 2 \cdot (2)$$

$$0 = 0 = 8 = 4 \cdot (2)$$

$$0 = 0 = 11 = 4 \cdot (2) + 1 \cdot (3)$$

Therefore, $|S_3(11, K_3)| = |T_3(11, K_3)|$.

References

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