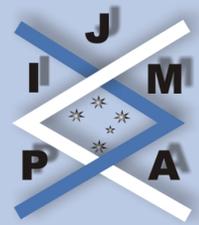


ON CLASS $wF(p, r, q)$ OPERATORS AND QUASISIMILARITY



Class $wF(p, r, q)$ Operators
and Quasimilarity

Changsen Yang and Yuliang Zhao

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Abstract: Let T be a bounded linear operator on a complex Hilbert space H . In this paper, we show that if T belongs to class $wF(p, r, q)$ operators, then we have (i) $T^*X = XN^*$ whenever $TX = XN$ for some $X \in B(H)$, where N is normal and X is injective with dense range. (ii) T satisfies the property $(\beta)_\varepsilon$, i.e., T is subscalar, moreover, T is subdecomposable. (iii) Quasimimilar class $wF(p, r, q)$ operators have the same spectra and essential spectra.

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1. Introduction

Let X denote a Banach space, $T \in B(X)$ is said to be generalized scalar ([3]) if there exists a continuous algebra homomorphism (called a spectral distribution of T) $\Phi : \varepsilon(\mathcal{C}) \rightarrow B(X)$ with $\Phi(1) = I$ and $\Phi(z) = T$, where $\varepsilon(\mathcal{C})$ denotes the algebra of all infinitely differentiable functions on the complex plane \mathcal{C} with the topology defined by uniform convergence of such functions and their derivatives ([2]). An operator similar to the restriction of a generalized scalar (decomposable) operator to one of its closed invariant subspaces is said to be subscalar (subdecomposable). Subscalar operators are subdecomposable operators ([3]). Let H, K be complex Hilbert spaces and $B(H), B(K)$ be the algebra of all bounded linear operators in H and K respectively, $B(H, K)$ denotes the algebra of all bounded linear operators from H to K . A capital letter (such as T) means an element of $B(H)$. An operator T is said to be positive (denoted by $T \geq 0$) if $(Tx, x) \geq 0$ for any $x \in H$. An operator T is said to be p -hyponormal if $(T^*T)^p \geq (TT^*)^p$, $0 < p \leq 1$.

Definition 1.1 ([10]). For $p > 0, r \geq 0$, and $q \geq 1$, an operator T belongs to class $wF(p, r, q)$ if

$$(|T^*|^r |T|^{2p} |T^*|^r)^{\frac{1}{q}} \geq |T^*|^{\frac{2(p+r)}{q}}$$

and

$$|T|^{2(p+r)(1-\frac{1}{q})} \geq (|T|^p |T^*|^{2r} |T|^p)^{1-\frac{1}{q}}.$$

Let $T = U|T|$ be the polar decomposition of T . We define

$$\widetilde{T}_{p,r} = |T|^p U |T|^r \quad (p + r = 1).$$

The operator $\widetilde{T}_{p,r}$ is known as the generalized Aluthge transform of T . We define

$$(\widetilde{T}_{p,r})^{(1)} = \widetilde{T}_{p,r}, \quad (\widetilde{T}_{p,r})^{(n)} = \widetilde{[(\widetilde{T}_{p,r})^{(n-1)}]}_{p,r}, \quad \text{where } n \geq 2.$$

The following Fuglede-Putnam's theorem is famous. We extend this theorem for class $wF(p, r, q)$ operators.

Theorem 1.2 (Fuglede-Putnam's Theorem [7]). *Let A and B be normal operators and X be an operator on a Hilbert space. Then the following hold and follow from each other:*

- (i) (Fuglede) *If $AX = XA$, then $A^*X = XA^*$.*
- (ii) (Putnam) *If $AX = XB$, then $A^*X = XB^*$.*



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2. Preliminaries

Lemma 2.1 ([9]). *If N is a normal operator on H , then we have*

$$\bigcap_{\lambda \in \mathbb{C}} (N - \lambda)\mathcal{H} = \{0\}.$$

Lemma 2.2 ([5]). *Let $T = U|T|$ be the polar decomposition of a p -hyponormal operator for $p > 0$. Then the following assertions hold:*

- (i) $\tilde{T}_{s,t} = |T|^s U |T|^t$ is $\frac{p+\min(s,t)}{s+t}$ -hyponormal for any $s > 0$ and $t > 0$ such that $\max\{s, t\} \geq p$.
- (ii) $\tilde{T}_{s,t} = |T|^s U |T|^t$ is hyponormal for any $s > 0$ and $t > 0$ such that $\max\{s, t\} \leq p$.

Lemma 2.3 ([8]). *Let $T \in B(H)$, $D \in B(H)$ with $0 \leq D \leq M(T - \lambda)(T - \lambda)^*$ for all λ in \mathbb{C} , where M is a positive real number. Then for every $x \in D^{\frac{1}{2}}H$ there exists a bounded function $f : \mathbb{C} \rightarrow H$ such that $(T - \lambda)f(\lambda) \equiv 0$.*

Lemma 2.4 ([10]). *If $T \in wF(p, r, q)$, then $|\tilde{T}_{p,r}|^{2m} \geq |T|^{2m} \geq |(\tilde{T}_{p,r})^*|^{2m}$, where $m = \min\left\{\frac{1}{q}, \max\left\{\frac{p}{p+r}, 1 - \frac{1}{q}\right\}\right\}$, i.e., $\tilde{T}_{p,r} = |T|^p U |T|^r$ is m -hyponormal operator.*

Lemma 2.5 ([11]). *Let $A, B \geq 0$, $\alpha_0, \beta_0 > 0$ and $-\beta_0 \leq \delta \leq \alpha_0$, $-\beta_0 \leq \bar{\delta} \leq \alpha_0$, if $0 \leq \delta \leq \alpha_0$ and $\left(B^{\frac{\beta_0}{2}} A^{\alpha_0} B^{\frac{\beta_0}{2}}\right)^{\frac{\beta_0+\delta}{\alpha_0+\beta_0}} \geq B^{\beta_0+\delta}$, then*

$$\left(B^{\frac{\beta}{2}} A^{\alpha} B^{\frac{\beta}{2}}\right)^{\frac{\beta+\delta}{\alpha+\beta}} \geq B^{\beta+\delta},$$



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and

$$A^{\alpha-\bar{\delta}} \geq \left(A^{\frac{\alpha}{2}} B^{\beta} A^{\frac{\alpha}{2}} \right)^{\frac{\alpha-\bar{\delta}}{\alpha+\beta}}$$

hold for each $\alpha \geq \alpha_0, \beta \geq \beta_0$ and $0 \leq \bar{\delta} \leq \alpha$.

Lemma 2.6 ([6]). Let $A \geq 0, B \geq 0$, if $B^{\frac{1}{2}} A B^{\frac{1}{2}} \geq B^2$ and $A^{\frac{1}{2}} B A^{\frac{1}{2}} \geq A^2$ then $A = B$.

Lemma 2.7. Let $A, B \geq 0, s, t \geq 0$, if $B^s A^{2t} B^s = B^{2s+2t}, A^t B^{2s} A^t = A^{2s+2t}$ then $A = B$.

Proof. We choose $k > \max\{s, t\}$. Since $B^s A^{2t} B^s = B^{2s+2t}, A^t B^{2s} A^t = A^{2s+2t}$ it follows from Lemma 2.5 that:

$$(B^k A^{2k} B^k)^{\frac{2k+2t}{4k}} \geq B^{2k+2t},$$

$$A^{2k-2t} \geq (A^k B^{2k} A^k)^{\frac{2k-2t}{4k}},$$

and

$$(A^k B^{2k} A^k)^{\frac{2k+2s}{4k}} \geq A^{2k+2s},$$

$$B^{2k-2s} \geq (B^k A^{2k} B^k)^{\frac{2k-2s}{4k}}.$$

So

$$A^k B^{2k} A^k = A^{4k}, \quad B^k A^{2k} B^k = B^{4k},$$

by Lemma 2.6

$$A = B.$$

□

Lemma 2.8 ([11]). Let T be a class $wF(p, r, q)$ operator, if $\tilde{T}_{p,r} = |T|^p U |T|^r$ is normal, then T is normal.



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The following theorem have been shown by T. Huruya in [3], here we give a simple proof.

Theorem 2.9 (Furuta inequality [4]). *If $A \geq B \geq 0$, then for each $r > 0$,*

$$(i) \quad (B^{\frac{r}{2}} A^p B^{\frac{r}{2}})^{\frac{1}{q}} \geq (B^{\frac{r}{2}} B^p B^{\frac{r}{2}})^{\frac{1}{q}} \text{ and}$$

$$(ii) \quad (A^{\frac{r}{2}} A^p A^{\frac{r}{2}})^{\frac{1}{q}} \geq (A^{\frac{r}{2}} B^p A^{\frac{r}{2}})^{\frac{1}{q}}$$

hold for $p \geq 0$ and $q \geq 1$ with $(1+r)q \geq p+r$.

Theorem 2.10. *Let T be a p -hyponormal operator on H and let $T = U|T|$ be the polar decomposition of T , if $\tilde{T}_{s,t} = |T|^s U |T|^t$ ($s+t=1$) is normal, then T is normal.*

Proof. First, consider the case $\max\{s, t\} \geq p$. Let $A = |T|^{2p}$ and $B = |T^*|^{2p}$, p -hyponormality of T ensures $A \geq B \geq 0$. Applying Theorem 2.9 to $A \geq B \geq 0$, since

$$\left(1 + \frac{t}{p}\right) \frac{s+t}{p + \min(s, t)} \geq \frac{s}{p} + \frac{t}{p} \quad \text{and} \quad \frac{s+t}{p + \min(s, t)} \geq 1,$$

we have

$$\begin{aligned} (\tilde{T}_{s,t}^* \tilde{T}_{s,t})^{\frac{p+\min(s,t)}{s+t}} &= (|T|^t U^* |T|^{2s} U |T|^t)^{\frac{p+\min(s,t)}{s+t}} \\ &= (U^* U |T|^t U^* |T|^{2s} U |T|^t U^* U)^{\frac{p+\min(s,t)}{s+t}} \\ &= (U^* |T^*|^t |T|^{2s} |T^*|^t U)^{\frac{p+\min(s,t)}{s+t}} \\ &= U^* (|T^*|^t |T|^{2s} |T^*|^t)^{\frac{p+\min(s,t)}{s+t}} U \\ &= U^* (B^{\frac{t}{2p}} A^{\frac{s}{p}} B^{\frac{t}{2p}})^{\frac{p+\min(s,t)}{s+t}} U \\ &\geq U^* B^{\frac{p+\min(s,t)}{p}} U = U^* |T^*|^{2(p+\min(s,t))} U = |T|^{2(p+\min(s,t))}. \end{aligned}$$



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Similarly, we also have

$$(\tilde{T}_{s,t} \tilde{T}_{s,t}^*)^{\frac{p+\min(s,t)}{s+t}} \leq |T|^{2(p+\min(s,t))}.$$

Therefore, we have

$$(\tilde{T}_{s,t}^* \tilde{T}_{s,t})^{\frac{p+\min(s,t)}{s+t}} \geq |T|^{2(p+\min(s,t))} \geq (\tilde{T}_{s,t} \tilde{T}_{s,t}^*)^{\frac{p+\min(s,t)}{s+t}}.$$

If

$$\tilde{T}_{s,t} = |T|^s U |T|^t \quad (s+t=1)$$

is normal, then

$$(\tilde{T}_{s,t}^* \tilde{T}_{s,t})^{\frac{p+\min(s,t)}{s+t}} = |T|^{2(p+\min(s,t))} = (\tilde{T}_{s,t} \tilde{T}_{s,t}^*)^{\frac{p+\min(s,t)}{s+t}},$$

which implies

$$|T^*|^t |T|^{2s} |T^*|^t = |T^*|^{2(s+t)} \quad \text{and} \quad |T|^s |T^*|^{2t} |T|^s = |T|^{2(s+t)},$$

then $|T^*| = |T|$ by Lemma 2.7. Next, consider the case $\max\{s, t\} \leq p$. Firstly, p -hyponormality of T ensures $|T|^{2s} \geq |T^*|^{2s}$ and $|T|^{2t} \geq |T^*|^{2t}$ for $\max\{s, t\} \leq p$ by the Löwner-Heinz theorem. Then we have

$$\begin{aligned} \tilde{T}_{s,t}^* \tilde{T}_{s,t} &= |T|^t U^* |T|^{2s} U |T|^t \geq |T|^t U^* |T^*|^{2s} U |T|^t \\ &= |T|^{2(s+t)} \end{aligned}$$

$$\begin{aligned} \tilde{T}_{s,t} \tilde{T}_{s,t}^* &= |T|^s U |T|^{2t} U^* |T|^s \\ &\leq |T|^{2(s+t)}. \end{aligned}$$

If $\tilde{T}_{s,t} = |T|^s U |T|^t$ ($s+t=1$) is normal, then

$$\tilde{T}_{s,t}^* \tilde{T}_{s,t} = |T|^{2((s+t))} = \tilde{T}_{s,t} \tilde{T}_{s,t}^*,$$

which implies

$$|T^*|^t |T|^{2s} |T^*|^t = |T^*|^{2(s+t)} \quad \text{and} \quad |T|^s |T^*|^{2t} |T|^s = |T|^{2(s+t)},$$

then $|T^*| = |T|$ by Lemma 2.7.

□



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3. Main Theorem

Theorem 3.1. Assume that T is a class $wF(p, r, q)$ operator with $\text{Ker}(T) \subset \text{Ker}(T^*)$, and N is a normal operator on H and K respectively. If $X \in B(K, H)$ is injective with dense range which satisfies $TX = XN$, then $T^*X = XN^*$.

Proof. $\text{Ker}(T) \subset \text{Ker}(T^*)$ implies $\text{Ker}(T)$ reduces T . Also $\overline{\text{Ker}(N)}$ reduces N since N is normal. Using the orthogonal decompositions $H = \overline{\text{Ran}(|T|)} \oplus \text{Ker}(T)$ and $H = \overline{\text{Ran}(N)} \oplus \text{Ker}(N)$, we can represent T and N as follows.

$$T = \begin{pmatrix} T_1 & 0 \\ 0 & 0 \end{pmatrix},$$

$$N = \begin{pmatrix} N_1 & 0 \\ 0 & 0 \end{pmatrix},$$

where T_1 is an injective class $wF(p, r, q)$ operator on $\overline{\text{Ran}(|T|)}$ and N_1 is injective normal on $\overline{\text{Ran}(N)}$. The assumption $TX = XN$ asserts that X maps $\text{Ran}(N)$ to $\text{Ran}(T) \subset \overline{\text{Ran}(|T|)}$ and $\text{Ker}(N)$ to $\text{Ker}(T)$, hence X is of the form:

$$X = \begin{pmatrix} X_1 & 0 \\ 0 & X_2 \end{pmatrix},$$

where $X_1 \in B(\overline{\text{Ran}(N)}, \overline{\text{Ran}(|T|)})$, $X_2 \in B(\text{Ker}(N), \text{Ker}(T))$. Since $TX = XN$, we have that $T_1X_1 = X_1N_1$. Since X is injective with dense range, X_1 is also injective with dense range. Put $W_1 = |T_1|^p X_1$, then W_1 is also injective with dense range and satisfies $(\widetilde{T}_1)_{p,r} W_1 = W_1 N$. Put $W_n = \left| (\widetilde{T}_1)_{p,r}^{(n)} \right|^p W_{(n-1)}$, then W_n is also injective with dense range and satisfies $(\widetilde{T}_1)_{p,r}^{(n)} W_n = W_n N$. From Lemma 2.2 and Lemma 2.4, if there is an integer α_0 such that $(\widetilde{T}_1)_{p,r}^{(\alpha_0)}$ is a hyponormal operator, then



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$(\tilde{T}_1)_{p,r}^{(n)}$ is a hyponormal operator for $n \geq \alpha_0$. It follows from Lemma 2.3 that there exists a bounded function $f : \mathcal{C} \rightarrow H$ such that

$$\left(\left(\left(\tilde{T}_1 \right)_{p,r}^{(n)} \right)^* - \lambda \right) f(\lambda) \equiv x, \text{ for every}$$

$$x \in \left(\left(\left(\tilde{T}_1 \right)_{p,r}^{(n)} \right)^* \left(\tilde{T}_1 \right)_{p,r}^{(n)} - \left(\tilde{T}_1 \right)_{p,r}^{(n)} \left(\left(\tilde{T}_1 \right)_{p,r}^{(n)} \right)^* \right)^{\frac{1}{2}} H.$$

Hence

$$W_n^* x = W_n^* \left(\left(\left(\tilde{T}_1 \right)_{p,r}^{(n)} \right)^* - \lambda \right) f(\lambda)$$

$$= (N_1^* - \lambda) W_n^* f(\lambda) \in \text{Ran}(N_1^* - \lambda) \text{ for all } \lambda \in \mathcal{C}$$

By Lemma 2.1, we have $W_n^* x = 0$, and hence $x = 0$ because W_n^* is injective. This implies that $(\tilde{T}_1)_{p,r}^{(n)}$ is normal. By Lemma 2.8 and Theorem 2.10, T_1 is normal and therefore $T = T_1 \oplus 0$ is also normal. The assertion is immediate from Fuglede-Putnam's theorem. \square

Let X be a Banach space, U be an open subset of \mathcal{C} . $\varepsilon(U, X)$ denotes the Fréchet space of all X -valued \mathcal{C}^∞ -functions, i.e., infinitely differentiable functions on U ([3]). $T \in B(X)$ is said to satisfy property $(\beta)_\varepsilon$ if for each open subset U of \mathcal{C} , the map

$$T_z : \varepsilon(U, X) \rightarrow \varepsilon(U, X), \quad f \mapsto (T - z)f$$

is a topological monomorphism, i.e., $T_z f_n \rightarrow 0$ ($n \rightarrow \infty$) in $\varepsilon(U, X)$ implies $f_n \rightarrow 0$ ($n \rightarrow \infty$) in $\varepsilon(U, X)$ ([3]).

Lemma 3.2 ([1]). *Let $T \in B(X)$. T is subscalar if and only if T satisfies property $(\beta)_\varepsilon$.*



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Lemma 3.3. Let $T \in B(X)$. T satisfies property $(\beta)_\varepsilon$ if and only if $\tilde{T}_{p,r}$ satisfies property $(\beta)_\varepsilon$.

Proof. First, we suppose that T satisfies property $(\beta)_\varepsilon$, U is an open subset of \mathcal{C} , $f_n \in \varepsilon(U, X)$ and

$$(3.1) \quad (\tilde{T}_{p,r} - z)f_n \rightarrow 0 \quad (n \rightarrow \infty),$$

in $\varepsilon(U, X)$, then

$$(T - z)U|T|^r f_n = U|T|^r (\tilde{T}_{p,r} - z)f_n \rightarrow 0 \quad (n \rightarrow \infty).$$

Since T satisfies property $(\beta)_\varepsilon$, we have $U|T|^r f_n \rightarrow 0$ ($n \rightarrow \infty$). and therefore

$$(3.2) \quad \tilde{T}_{p,r} f_n \rightarrow 0 \quad (n \rightarrow \infty).$$

(3.1) and (3.2) imply that

$$(3.3) \quad z f_n = \tilde{T}_{p,r} f_n - (\tilde{T}_{p,r} - z)f_n \rightarrow 0 \quad (n \rightarrow \infty)$$

in $\varepsilon(U, X)$. Notice that $T = 0$ is a subscalar operator and hence satisfies property $(\beta)_\varepsilon$ by Lemma 3.2. Now we have

$$(3.4) \quad f_n \rightarrow 0 \quad (n \rightarrow \infty).$$

(3.1) and (3.4) imply that $\tilde{T}_{p,r}$ satisfies property $(\beta)_\varepsilon$. Next we suppose that $\tilde{T}_{p,r}$ satisfies property $(\beta)_\varepsilon$, U is an open subset of \mathcal{C} , $f_n \in \varepsilon(U, X)$ and

$$(3.5) \quad (T - z)f_n \rightarrow 0 \quad (n \rightarrow \infty),$$

in $\varepsilon(U, X)$. Then

$$(\tilde{T}_{p,r} - z)|T|^p f_n = |T|^p (T - z)f_n \rightarrow 0 \quad (n \rightarrow \infty).$$



Since $\tilde{T}_{p,r}$ satisfies property $(\beta)_\varepsilon$, we have $|T|^p f_n \rightarrow 0$ ($n \rightarrow \infty$), and therefore

$$(3.6) \quad T f_n \rightarrow 0 \quad (n \rightarrow \infty).$$

(3.5) and (3.6) imply

$$z f_n = T f_n - (T - z) f_n \rightarrow 0 \quad (n \rightarrow \infty).$$

So $f_n \rightarrow 0$ ($n \rightarrow \infty$). Hence T satisfies property $(\beta)_\varepsilon$. □

Lemma 3.4 ([1]). *Suppose that T is a p -hyponormal operator, then T is subscalar.*

Theorem 3.5. *Let $T \in wF(p, r, q)$ and $p + r = 1$, then T is subdecomposable.*

Proof. If $T \in wF(p, r, q)$, then $\tilde{T}_{p,r}$ is a m -hyponormal operator by Lemma 2.4, and it follows from Lemma 3.4 that $\tilde{T}_{p,r}$ is subscalar. So we have T is subscalar by Lemma 3.2 and Lemma 3.3. It is well known that subscalar operators are subdecomposable operators ([3]). Hence T is subdecomposable. □

Recall that an operator $X \in B(H)$ is called a quasiaffinity if X is injective and has dense range. For $T_1, T_2 \in B(H)$, if there exist quasiaffinities $X \in B(H_2, H_1)$ and $Y \in B(H_1, H_2)$ such that $T_1 X = X T_2$ and $Y T_1 = T_2 Y$ then we say that T_1 and T_2 are quasimimilar.

Lemma 3.6 ([2]). *Let $S \in B(H)$ be subdecomposable, $T \in B(H)$. If $X \in B(K, H)$ is injective with dense range which satisfies $XT = SX$, then $\sigma(S) \subseteq \sigma(T)$; if T and S are quasimimilar, then $\sigma_e(S) \subseteq \sigma_e(T)$.*

Theorem 3.7. *Let $T_1, T_2 \in wF(p, r, q)$. If T_1 and T_2 are quasimimilar then $\sigma(T_1) = \sigma(T_2)$ and $\sigma_e(T_1) = \sigma_e(T_2)$.*

Proof. Obvious from Theorem 3.5 and Lemma 3.6. □

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