

TWO EXAMPLES OF Q-TOPOLOGIES

Rade Živaljević

Abstract. A pair (Y, τ) , where Y is an internal set, whereas τ is a topology (usually external) on Y , is called a $*$ -topological space if τ has an internal base. The main example is $({}^*X, \bar{\tau})$ where (X, τ) is a standard topological space and $\bar{\tau}$ the topology generated by $*$ τ . This is the so called Q -topology on $*X$ induced by (X, τ) , a notion introduced by A. Robinson in [4]. This note contains negative answers to some questions of R. W. Butten, [1], who asked whether the following implications

$$\begin{aligned}({}^*X, \bar{\tau}) \text{ normal} &\Rightarrow (X, \tau) \text{ normal} \\(X, \tau) \text{ scattered} &\Rightarrow ({}^*X, \bar{\tau}) \text{ scattered}\end{aligned}$$

hold in some enlargement.

1. The first question

THEOREM 1.1. *Let us assume that the nonstandard model $*\mathcal{M}$ has the property $\text{cof}({}^*\omega) = |{}^*(2^\omega)|$; in other words the external cofinality of $*\omega$ is equal to the external cardinality of $*\omega$. Then there exists a counterexample for the first question.*

It seems natural to conjecture that every non-standard model contains a counterexample for the first question.

To prove the theorem one should start with a non-normal space (X, τ) . A standard example is the Niemytzki plane (see R. Engelking [2]). The proof could be carried on for the Niemytzki plane but we shall use another (similar) example which is easier to handle.

Let T be the tree of finite 0,1 – sequences and B a family of maximal branches in this tree of the maximal cardinality, i.e., $|B| = c$. The space (X, τ) is defined as follows: $X = T \cup B$, T is a set of isolated points and a typical neighborhood of $d \in B$, $d : \omega \rightarrow 2$, is $N_{d,m} = \{d\} \cup \{d|n : n \geq m\}$, where $d|n$ denotes the restriction of d on the natural number n .

Recall the well-known argument that this space is not normal. (X, τ) is separable, T being the dense set, consequently there exists at most c continuous

functions on X . Observing that B is a closed, discrete set of cardinality $c = 2^{\aleph_0}$ we see that any function $f : B \rightarrow R$ is continuous. If (X, τ) were normal, then by Titz-Urisohn theorem f can be extended to the whole set X which is a contradiction, for there would exist at least 2^c different continuous functions.

Let us prove now that $(*X, \bar{\tau})$ is not only normal but also paracompact in a very strong way.

Looking from outside $*X = *T \cup *B$ is a pseudo-tree (non-wellordered) with set $*B$ of maximal branches. The topology $\bar{\tau}$ on $*X$ is described as follows. $*T$ is a set of isolated points while a typical neighborhood of $d \in *B$, $d : *\omega \rightarrow 2$ is $*N_{d,m} = \{d\} \cup \{a \mid n : n \geq m\}$ where m is a nonstandard natural number.

A simple consequence of our cardinality assumption, $\text{cof}(*\omega) = k = |*(2^\omega)|$, is that any intersection of less than k open sets in $(*X, \bar{\tau})$ is an open set.

Remark. Let us note that a model $*\mathcal{M}$ with the property $\text{cof}(*2) = |*(2^\omega)|$ can be constructed under various set theoretical assumptions. For example the existence of 2^{\aleph_0} -scale (see [3, p. 260]) implies this equality if the nonstandard model is a D -ultrapower where E is any nontrivial ultrafilter on ω . Recall that a 2^{\aleph_0} -scale exists under CH or $MA + \neg CH$. On the other hand under GCH one can get a nonstandard model $*\mathcal{M}$ which is $|*\mathcal{M}|$ -saturated which implies the equality $\text{cof}(*\omega) = *(2^\omega) = |*\mathcal{M}|$. Indeed, if $A \subset *\omega$ and $|A| < |*\mathcal{M}|$ then $\mathcal{A} = \{[m, \infty) : m \in A\}$ is a family of internal sets of cardinality less than $|*\mathcal{M}|$ which means that $\bigcap \mathcal{A} \neq \infty$ and A is not cofinal in $*\omega$.

To finish the proof of the theorem it is enough to prove the following lemma.

LEMMA. *Let (Y, τ) be a nulldimensional topological space such that $|Y| = k$ and the intersection of $< k$ open sets is again an open set. Then every open cover of Y contains a disjoint open refinement. In particular, this space is paracompact (or strongly paracompact).*

Proof of Lemma. We can assume that the cardinality of the cover \mathcal{U} is $\leq k$ and that its members are clopen. If $\{V_\alpha \mid \alpha < \lambda\}$ is a well-ordering of \mathcal{U} , $\lambda \leq k$, then a required refinement is defined by $U_\alpha = V_\alpha \setminus \bigcup \{U_\beta \mid \beta < \alpha\}$, $\alpha < \lambda$. This completes the proof of the theorem.

The example above also serves to provide the following consequence.

COROLLARY 2.1. *The implication $(*X, \tau)$ paracompact $\Rightarrow (X, \tau)$ paracompact cannot unconditionally hold in any nonstandard model.*

2. The second question

Recall that a topological space (Y, τ) is called scattered if each nonempty subspace $A \subset Y$ contains an isolated point. Iterating the Cantor-Bendixon derivative it is possible to define an ordinal-valued function $r : Y \rightarrow \alpha$ such that $r^{-1}(0) = \text{ip}(Y)$, where $\text{ip}(A)$ denotes the set of isolated points in A , and $r^{-1}(\beta) = \text{ip}(Y \setminus \bigcup \{A_\gamma \mid \gamma < \beta\})$, $\beta < \alpha$, whereas $Y = \bigcup_{\beta < \alpha} r^{-1}(\beta)$. The function r^{-1} will be called the rank function of the space Y .

THEOREM 2. *Get (X, τ) be a scattered topological space and r the corresponding rank function. Then $({}^*X, \bar{\tau})$ is scattered if and only if $\sup r(X)$ is a finite ordinal.*

Proof. If $\sup r(X)$ is finite, then *r is the rank function of $({}^*X, \bar{\tau})$, which proves that the last space is scattered. Let us assume that $r(X)$ contains infinite ordinals. By the definition of the rank function r one has

$$(\forall x \in X)(\forall O \text{ open set})(x \in O \Rightarrow r(x) \leq \sup r(O \setminus \{x\}) + 1),$$

since otherwise the rank of x would be smaller. Let $A = \{x \in {}^*X \mid {}^*r(x) \text{ is infinite}\}$. By the Transfer Principle one has that every internal neighborhood of a point $x \in A$ contains a point $y \neq x$ of infinite rank, $y \in A$, which means that A has no isolated points because internal neighborhoods make a base of the Q -topology. Hence, $({}^*X, \bar{\tau})$ is not scattered. Let us note that A is actually perfect, because the function ${}^*r : {}^*X \rightarrow {}^*r(X)$ is upper semicontinuous.

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Matematički Institut
Knez Mihailova 35
11000 Beograd
Jugoslavija

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