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REAL GELFAND–MAZUR ALGEBRAS

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Abstract: Several classes of real Gelfand–Mazur algebras are described. Conditions, when the trace $M \cap B$ of a closed maximal left (right) ideal M of a real topological algebra A would be a maximal ideal in a subalgebra B of the center of A are given.

1 – Introduction

1. Let \mathbb{K} be one of the fields \mathbb{R} of real numbers or \mathbb{C} of complex numbers, A a topological algebra over \mathbb{K} with associative separately continuous multiplication (in short, topological algebra) and m(A) the set of all closed regular (or modular) two-sided ideals of A, which are maximal as left or right ideals. If the quotient algebra A/M (in the quotient topology) is topologically isomorphic to \mathbb{K} for each $M \in m(A)$, then A is called a *Gelfand-Mazur algebra* (see [1], [2], [3] or [4]). Herewith, A is a real *Gelfand-Mazur algebra* if $\mathbb{K} = \mathbb{R}$ and is a complex *Gelfand-Mazur algebra* if $\mathbb{K} = \mathbb{C}$.

Moreover, a unital topological algebra A is a Q-algebra if the set InvA of all invertible elements of A is open in A; is a Waelbroeck algebra or a topological algebra with continuous inverse if A is a Q-algebra in which the inversion $a \to a^{-1}$ is continuous in InvA; is a Fréchet algebra if the underlying linear topological space

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of A is complete and metrizable; is a topological algebra with bounded elements if every $a \in A$ is bounded in A that is, there is a nonzero complex number λ_a such that the set

$$\left\{ \left(\frac{a}{\lambda_a}\right)^n : n \in \mathbb{N} \right\}$$

is bounded in A; is an exponentially galbed algebra if its underlying topological vector space is an exponentially galbed space that is, for each neighbourhood O of zero in A there exists another neighbourhood U of zero in A such that

$$\left\{\sum_{k=0}^{n} \frac{a_k}{2^k}: a_0, ..., a_n \in U\right\} \subset O$$

for each $n \in \mathbb{N}$ and is a *locally pseudoconvex algebra* if A has a base $\mathcal{B} = \{U_{\alpha} : \alpha \in \mathcal{A}\}$ of neighbourhoods of zero consisting of *balanced* (i.e. $\lambda U_{\alpha} \in U_{\alpha}$, whenever $|\lambda| \leq 1$) and *pseudoconvex* (i.e. $U_{\alpha} + U_{\alpha} \subset \mu U_{\alpha}$ for some $\mu \geq 2$) sets. Moreover, a locally pseudoconvex algebra A is *locally* A-pseudoconvex if for each $U_{\alpha} \in \mathcal{B}$ and $a \in A$ there is a number $\mu_a > 0$ such that aU_{α} , $U_{\alpha}a \subset \mu_a U_{\alpha}$ and is *locally* m-pseudoconvex if $U_{\alpha}^2 \subset U_{\alpha}$ for each $U_{\alpha} \in \mathcal{B}$.

2. Let now A be a real topological algebra,

$$Z(A) = \left\{ z \in A \colon za = az \text{ for each } a \in A \right\}$$

the center of A and B a closed subalgebra of Z(A) in the subset topology. An ideal $M \in m(B)$ is called *extendible to* A if

$$I(M) = cl_A \left\{ \sum_{k=1}^n a_k \, m_k \colon n \in \mathbb{N}, \ a_1, ..., a_n \in A; \ m_1, ..., m_n \in M \right\} \neq A,$$

where $cl_A(M)$ denotes the closure of M in the topology of A. We denote by $m_e(B)$ the set of all ideals $M \in m(B)$, which are extendible to A.

3. Let A be a (real or complex) topological algebra, M a maximal regular left (right) ideal of A and $P_M = \{a \in A : aA \subset M\}$ ($P_M = \{a \in A : Aa \subset M\}$, respectively) the primitive ideal of A defined by M. If $\{\theta_A\}$ is a primitive ideal of A, then A is called a primitive algebra and if there is a closed maximal regular left (right) ideal M of A such that $P_M = \{\theta_A\}$, then A is called a topologically primitive algebra (see [2], p. 21).

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4. Properties of real Banach algebras have been studied in several books and articles (see, for example, [7], [8], [9] and [10]); of real k-normed and real k-Banach algebras in [6]; of real Waelbroeck algebras in [6] and in [12]; of real locally m-convex algebras in [11] and of real locally pseudoconvex division algebras in [5]. Properties of several classes of real Gelfand-Mazur algebras are studied and conditions for a real topological algebra A that the trace $M \cap B$ of a closed maximal left (right) ideal M of A in a subalgebra B of the center Z(A) to be a closed maximal ideal in B are given in the present paper.

2 – Properties of the center and of the quotient algebra

Let (A, τ) be a real topological algebra, I a closed two-sided ideal of A and π_I the canonical homomorphism of A onto A/I. By $\tau_{A/I}$ we denote the quotient topology on A/I, defined by τ and π_I , and by τ_I the subset topology on Z(A/I) defined by $\tau_{A/I}$. Similarly as in the complex case (see [2], pp. 26–28) we have the following result.

Proposition 1. Let A be a real topological algebra and I a closed two-sided ideal of A. If there exists a topology τ on A such that

- **a**) (A, τ) is locally pseudoconvex, then $(A/I, \tau_{A/I})$ and $(Z(A/I), \tau_I)$ are real locally pseudoconvex algebras;
- **b**) (A, τ) is locally A-pseudoconvex (in particular, locally m-pseudoconvex), then $(A/I, \tau_{A/I})$ and $(Z(A/I), \tau_I)$ are real locally A-pseudoconvex (respectively, locally m-pseudoconvex) algebras;
- c) (A, τ) is an exponentially galbed algebra with bounded elements, then $(A/I, \tau_{A/I})$ and $(Z(A/I), \tau_I)$ are real exponentially galbed algebras with bounded elements;
- d) (A, τ) is a locally pseudoconvex Fréchet algebra, then $(A/I, \tau_{A/I})$ and $(Z(A/I), \tau_I)$ are real locally pseudoconvex Fréchet algebras;
- e) (A, τ) is a real topological algebra with jointly continuous multiplication, then $(A/I, \tau_{A/I})$ and $(Z(A/I), \tau_I)$ are real topological algebras with jointly continuous multiplication.

Moreover, if I is a regular ideal, u a right modular unit for I and for each $a \in A$ there is a $\lambda \in \mathbb{R}$ such that $a - \lambda u \in I$, then $\operatorname{sp}_{A/I}(x)$ is not empty for each $x \in A/I$ and $\operatorname{sp}_{Z(A/I)}(y) = \operatorname{sp}_{A/I}(y)$ for each $y \in Z(A/I)$.

3 – Complexification of real algebras

1. Let A be a (not necessarily topological) real algebra and let $\tilde{A} = A + iA$ be the comlexification of A. Then every element \tilde{a} of \tilde{A} is representable in the form $\tilde{a} = a + ib$, where $a, b \in A$ and $i^2 = -1$. If we define the addition in \tilde{A} , the multiplication over \mathbb{C} and the multiplication in \tilde{A} by

$$(a+ib) + (c+id) = (a+c) + i(b+d),$$
$$(\alpha+i\beta)(a+ib) = (\alpha a - \beta b) + i(\alpha b + \beta a),$$

and

$$(a+ib)(c+id) = (ac-bd) + i(ad+bc)$$

for all $a, b, c, d \in A$ and $\alpha, \beta \in \mathbb{R}$, then \tilde{A} is a complex algebra with zero element $\theta_{\tilde{A}} = \theta_A + i\theta_A$ (here and later on θ_A denotes the zero element of A). If A is an algebra with unit element e_A , then $e_{\tilde{A}} = e_A + i\theta_A$ is the unit element of \tilde{A} . Herewith, \tilde{A} is an associative (commutative) algebra if A is an associative (respectively, commutative) algebra. We can consider A as a real subalgebra of \tilde{A} under the imbedding ν from A into \tilde{A} defined by $\nu(a) = a + i\theta_A$ for each $a \in A$.

2. Let A be an algebra over \mathbb{K} with unit e_A and

$$\operatorname{sp}_A(a) = \{\lambda \in \mathbb{K} : a - \lambda e_A \notin \operatorname{Inv} A\}$$

for each $a \in A$. Then $\operatorname{sp}_A(a)$ is the *spectrum* of a. Herewith, elements of $\operatorname{sp}_A(a)$ are complex numbers if A is a complex algebra and real numbers if A is a real algebra.

A real (not necessarily topological) algebra A is formally real if from $a, b \in A$ and $a^2 + b^2 = \theta_A$ follows that $a = b = \theta_A$ and is strictly real if $\operatorname{sp}_{\tilde{A}}(a + i\theta_A) \subset \mathbb{R}$. It is known (see, for example, [6], Proposition 1.9.14) that every formally real division algebra is strictly real and every commutative strictly real division algebra is formally real. Moreover, the complexification \tilde{A} of a commutative real division algebra A is division algebra if and only if A is formally normal (see [6], Proposition 1.6.20).

Lemma 1. Let A be a real algebra and I a two-sided ideal of A. Then the quotient algebra A/I is formally real if and only if I satisfies the condition

(α) from $a, b \in A$ and $a^2 + b^2 \in I$ follows that $a, b \in I$.

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Proof: Let A be a real algebra, I a two-sided ideal in A, π_I the quotient map of A onto A/I and let $a, b \in A$ be such that $a^2 + b^2 \in I$. Then

$$\pi_I(a)^2 + \pi_I(b)^2 = \pi_I(a^2 + b^2) = \theta_{A/I}.$$

If A/I is formally real, then $\pi_I(a) = \pi_I(b) = \theta_{A/I}$ or $a \in I$ and $b \in I$.

Let now a two-sided ideal I satisfy the condition (α) and $x, y \in A/I$ be such that $x^2 + y^2 = \theta_{A/I}$. Then there are $a, b \in A$ such that $x = \pi(a), y = \pi(b)$ and

$$\pi_I(a^2 + b^2) = x^2 + y^2 = \theta_{A/I}.$$

Hence, from $a^2 + b^2 \in I$ follows that $x = y = \theta_{A/I}$ by the condition (α).

3. Let now (A, τ) be a real topological algebra and $\{U_{\alpha} : \alpha \in \mathcal{A}\}$ a base of neigbourhoods of zero of (A, τ) . As usual (see [6] or [12]), we endow \tilde{A} with the topology $\tilde{\tau}$ in which $\{U_{\alpha} + i U_{\alpha} : \alpha \in \mathcal{A}\}$ is a base of neighbourhoods of zero. It is known that $(\tilde{A}, \tilde{\tau})$ is a complex topological algebra and the multiplication in $(\tilde{A}, \tilde{\tau})$ is jointly continuous if the multiplication in (A, τ) is jointly continuous (see [6], Proposition 2.2.10). Moreover, the underlying topological space of $(\tilde{A}, \tilde{\tau})$ is a Hausdorff space if (A, τ) is a Hausdorff algebra.

Let M be a maximal regular left (right or two-sided) ideal of A. Then (see [6], Proposition 1.6.12, p. 46) $\widetilde{M} = M + iM$ is a maximal regular left (right or two-sided) ideal in \widetilde{A} .

Proposition 2. Let A be a real topological algebra, M a closed maximal regular left (right) ideal of A and P_M the primitive ideal of A defined by M. Then

a) the primitive ideal $\widetilde{P}_{\widetilde{M}}$ of \widetilde{A} defined by \widetilde{M} is representable in the form $\widetilde{P}_{\widetilde{M}} = P_M + iP_M;$

b)
$$\widetilde{A}/\widetilde{P}_{\widetilde{M}} = A/P_M + iA/P_M;$$

c) $Z(\widetilde{A}) = Z(A) + iZ(A).$

Proof: a) Let A be a real topological algebra, $a, b \in P_M$ and $v + iw \in \widetilde{A}$. Since

$$(a+ib)(v+iw) = av - bw + i(aw + bv) \in \widetilde{M}$$

then $P_M + iP_M \subset \widetilde{P}_{\widetilde{M}}$. Let now $a + ib \in \widetilde{P}_{\widetilde{M}}$ and $v + i\theta_A \in \widetilde{A}$. Then

$$(a+ib)(v+i\theta_A) = av + ibv \in \widetilde{M}$$

if and only if $av, bv \in M$ or $a, b \in P_M$. Thus $\widetilde{P}_{\widetilde{M}} \subset P_M + iP_M$.

b) Let $a, b \in A$. Then

$$a + P_M + i(b + P_M) = (a + ib) + (P_M + iP_M) = (a + ib) + \widetilde{P}_{\widetilde{M}} \in \widetilde{A}/\widetilde{P}_{\widetilde{M}}.$$

Hence, $A/P_M + iA/P_M \subset \widetilde{A}/\widetilde{P}_{\widetilde{M}}$ and similarly $\widetilde{A}/\widetilde{P}_{\widetilde{M}} \subset A/P_M + iA/P_M$.

c) It is clear that $Z(A) + iZ(A) \subset Z(\widetilde{A})$. Let now $a_0 + ib_0 \in Z(\widetilde{A})$. Since

$$aa_0 + iab_0 = (a + i\theta_A)(a_0 + ib_0) = (a_0 + ib_0)(a + i\theta_A) = a_0a + ib_0a$$

for each $a \in A$, then $a_0, b_0 \in Z(A)$.

Corollary 1. If A is a real topologically primitive topological algebra, then the complexification of A is a complex topologically primitive topological algebra.

Proof: Let A be a real topologically primitive topological algebra. Then there exists a closed maximal regular left (right) ideal M in A such that $P_M = \{\theta_A\}$. Since

$$\widetilde{P}_{\widetilde{M}} = P_M + iP_M = \{\theta_A + i\theta_A\} = \{\theta_{\widetilde{A}}\}$$

and \widetilde{M} is a closed maximal regular left (right) ideal of \widetilde{A} , then \widetilde{A} is a complex topologically primitive topological algebra.

4 – Commutative real Gelfand–Mazur algebras

To describe real Gelfand–Mazur algebras, we need the following result proved in [5], Corollary 5.5:

Proposition 3. Let A be a commutative strictly real division algebra. If A has a topology⁽¹⁾ τ such that (A, τ) is

- a) a locally pseudoconvex Hausdorff algebra with continuous inversion;
- **b**) a locally A-pseudoconvex (in particular, locally m-pseudoconvex) Hausdorff algebra;
- c) a locally pseudoconvex Fréchet algebra;
- **d**) an exponentially galbed Hausdorff algebra with jointly continuous multiplication and bounded elements;

 $^(^{1})$ Which can be different from the preliminary topology of A.

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e) a topological Hausdorff algebra for which the spectrum $sp_A(a)$ is not empty for each $a \in A$,

then A is a commutative real Gelfand–Mazur division algebra. \blacksquare

Now we prove

Theorem 1. Let A be a commutative real topological algebra. If A has a topology⁽²⁾ τ such that (A, τ) satisfies the condition (α) for each $I \in m((A, \tau))$ and belongs in one of the following classes of topological algebras:

- a) locally pseudoconvex Waelbroeck algebras;
- **b**) locally A-pseudoconvex (in particular, locally m-pseudoconvex) algebras;
- c) locally pseudoconvex Fréchet algebras;
- **d**) exponentially galbed algebras with jointly continuous multiplication and bounded elements;
- e) topological algebras in which for any element $a \in A$ and $M \in m((A, \tau))$ there is a $\lambda \in \mathbb{R}$ such that $a - \lambda u \in M$ (here u is a modular unit for M),

then A is a commutative real Gelfand–Mazur algebra.

Proof: Let (A, τ) be a commutative real topological algebra which satisfies the condition (α) for each $I \in m((A, \tau))$ and M a fixed element of $m((A, \tau))$. Then $(A/M, \tau_{A/M})$ is a commutative strictly real topological division Hausdorff algebra by Lemma 1. If now (A, τ) satisfies

1) the condition a), then $(A/M, \tau_{A/M})$ is a commutative strictly real locally pseudoconvex Waelbroeck division algebra by the statement a) of Proposition 1 and Corollary 3.6.27 from [6];

2) the condition b), then $(A/M, \tau_{A/M})$ is a commutative strictly real locally *A*-pseudoconvex (in particular, *m*-pseudoconvex) Hausdorff division algebra by the statement b) of Proposition 1;

3) the condition c), then $(A/M, \tau_{A/M})$ is a commutative strictly real locally pseudoconvex Fréchet division algebra by the statement d) of Proposition 1;

4) the condition d), then $(A/M, \tau_{A/M})$ is a commutative strictly real exponentially galbed Hausdorff division algebra with jointly continuous multiplication and bounded elements by the statements c) and f) of Proposition 1;

 $^(^2)$ See the footnote 1.

5) the condition e), then $(A/M, \tau_{A/M})$ is a commutative strictly real topological Hausdorff algebra for which the spectrum $\operatorname{sp}_{A/M}(x)$ is not empty for each $x \in A/M$ by Proposition 1.

Hence, in all these cases A/M (in the quotient topology defined by the preliminary topology of A) is topologically isomorphic to \mathbb{R} for each $M \in m(A)$ by Proposition 3. Therefore A is a commutative real Gelfand–Mazur algebra.

5 – Maximality of traces of ideals

Let A be a unital real topological algebra, B a subalgebra of Z(A) and M a closed maximal left (right) ideal of A. It is easy to see that the trace $M \cap B$ of M is a closed ideal in B. To find the conditions for A that the trace $M \cap B$ of M to be maximal in B, we need

Proposition 4. Let A be a real locally A-pseudoconvex algebra (or a real locally pseudoconvex Fréchet algebra) with a unit element e_A , M a closed maximal left (right) ideal of A and P_M a primitive ideal of A defined by M. If P_M satisfies the condition

(β) from $a, b \in A$ and $a^2 + b^2 \in P_M$ follows that $a, b \in P_M$,

then $Z(A/P_M)$ is topologically isomorphic to \mathbb{R} .

Proof: Let (A, τ) be a unital real locally A-pseudoconvex (locally pseudoconvex Fréchet) algebra, M a closed maximal regular left (right) ideal of A, P_M a primitive ideal in A defined by M, π_M the canonical homomorphism of A onto A/P_M and τ_M the quotient topology on A/P_M defined by τ and π_M . Then $(A/P_M, \tau_M)$ is a unital real locally A-pseudoconvex Hausdorff (respectively, locally pseudoconvex Fréchet) algebra by Proposition 1. Since the complexification of A/P_M is $\tilde{A}/\tilde{P}_{\tilde{M}}$, where $\tilde{P}_{\tilde{M}}$ is a closed primitive ideal in \tilde{A} by Proposition 2, then $(\tilde{A}/\tilde{P}_{\tilde{M}}, \tilde{\tau}_M)$ is a unital complex locally A-pseudoconvex Hausdorff (respectively, locally pseudoconvex Fréchet) algebra by Theorem 3.3 and Corollary 3.2 from [5]. Hence, $Z(\tilde{A}/\tilde{P}_{\tilde{M}})$ is topologically isomorphic to \mathbb{C} by Theorem 1 from [1] or by Theorem 2.17 from [2]. Therefore, $Z(\tilde{A}/\tilde{P}_{\tilde{M}})$ is a complex division algebra. As $Z(\tilde{A}/\tilde{P}_{\tilde{M}}) = Z(A/P_M) + iZ(A/P_M)$ by Proposition 2, then $Z(A/P_M)$ is formally real by Proposition 1.6.20 from [6] (by condition (β) the quotient algebra A/P_M is formally real by Lemma 1, hence $Z(A/P_M)$ is formally real too).

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Now, every element $x \in Z(A/P_M)$ is representable in the form $x = \lambda_x e_A$ for some $\lambda_x \in \mathbb{R}$. Therefore, $Z(A/P_M)$ is isomorphic to \mathbb{R} . In the same way as in the complex case (see, e.g. [2], p. 47) it is easy to show that this isomorphism is a topological isomorphism because $Z(A/P_M)$ is a Hausdorff space in the subset topology.

Corollary 2. Let A be a real locally m-pseudoconvex topological algebra with unit, P_M a primitive ideal of A defined by a closed maximal regular left (right) ideal M of A. If P_M satisfies the condition (β), then $Z(A/P_M)$ is topologically isomorphic to \mathbb{R} .

Proof: Since every locally *m*-pseudoconvex algebra is locally *A*-pseudoconvex, then $Z(A/P_M)$ is topologically isomorphic to \mathbb{R} by Proposition 4.

Corollary 3. Let A be a unital strictly real topologically primitive locally A-pseudoconvex Hausdorff algebra or a unital real topologically primitive locally pseudoconvex Fréchet algebra. Then Z(A) is topologically isomorphic to \mathbb{R} .

Theorem 2. $Let(^3)$ A be a real locally A-pseudoconvex (in particular, a locally m-pseudoconvex) algebra with unit e_A or a real locally pseudoconvex Fréchet algebra with unit e_A , M a closed maximal left (right or two-sided) ideal of A, P_M the primitive ideal in A defined by M and B a closed subalgebra of Z(A), containing e_A . If P_M satisfies the condition (β), then

- **1**) every $b \in B$ defines a number $\lambda \in \mathbb{R}$ such that $b \lambda e_A \in M$;
- **2**) $M \cap B \in m_e(B)$.

Proof: Similarly as in [1], the proof of Corollary 1, or in [2], the proof of Proposition 3.1, it is easy to show that Theorem 2 holds by Proposition 4 and Corollary 2. \blacksquare

Corollary 4. Let A be a real locally A-pseudoconvex (in particular, a locally m-pseudoconvex) algebra with unit e_A or a real locally pseudoconvex Fréchet algebra with unit e_A , M a closed maximal left (right or two-sided) ideal of A and P_M the primitive ideal in A defined by M. If P_M satisfies the condition (β), then

- 1) every $z \in Z(A)$ defines a number $\lambda \in \mathbb{R}$ such that $z \lambda e_A \in M$;
- **2**) $M \cap Z(A) \in m_e(Z(A))$.

 $^(^{3})$ For complex locally A-pseudoconvex (in particular, locally *m*-pseudoconvex) algebras with unit and for complex locally pseudoconvex Fréchet algebras with unit similar result has been published in [1], Corollary 1, and in [2], Proposition 3.1.

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