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# Embedding, compression and berwise homotopy theory

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**Abstract** Given Poincare spaces M and X, we study the possibility of compressing embeddings of M / in X / down to embeddings of M in X. This results in a new approach to embedding in the metastable range both in the smooth and Poincare duality categories.

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## 1 Introduction

Let M and X be compact n-manifolds. The word compression of the title refers to a situation in which one is presented with an embedding of M / in the interior of X / and then tries to decide whether it arises from an embedding of M in X, up to isotopy. If so, then the original embedding *compresses*. One aim of the present paper is to decide when this is possible.

The compression problem is mirrored in the Poincare duality category. From now on, let M and X be Poincare duality spaces of dimension n. One says that M (*Poincare*) *embeds* in X with *complement* C if there exists a decomposition  $X' M[_{@M}C$  in which @Mq@X is identified with a Poincare duality boundary for C (we also assume a compatibility of fundamental classes | see 2.4 below.)

It will be convenient to have separate notation for intervals of di erent lengths. Let I = [0,1] and J = [1=3,2=3]. For a subspace S = I set  $M_S := M = S$ . We start with the following data: an embedding of the (n+1)-dimensional Poincare space  $M_J$  in  $X_I$  with complement W. This gives us a map  $: M \neq W$  by taking the composition

Let R(X) denote the category of *retractive spaces* over X. An object of R(X) is a space Y equipped with maps  $s_Y \colon X \not Y$  and  $r_Y \colon Y \not Y$  (called respectively *inclusion* and *retraction*) such that  $r_Y \quad s_Y$  is the identity (objects

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are usually specified without reference to their structure maps). A morphism  $Y \not Z$  is a map of spaces which is compatible with the structure maps. According to Quillen [16], R(X) is a model category in which a *weak equivalence* is a morphism  $Y \not Z$  which when considered as a map of spaces is a weak homotopy equivalence (for the remaining structure, see 2.1 below). Hence, it makes sense to speak of its *homotopy category* hoR(X).

The inclusion  $X_0$  W and the composite  $W ! X_1 ! X$  equip the space W with the structure of an object of R(X). Let  $M^+$  denote the object of R(X) given by taking the disjoint union of M with X; the inclusion  $X ! M^+$  is evident and the retraction  $M^+ ! X$  is defined to be the composite

$$M q X = M_{1=3} q X_0$$
  $M_J q X_0 ! X_I \xrightarrow{\text{project}} X :$ 

With respect to these conventions, the map : M ! W induces a morphism

+: M+ ! W

of R(X). Then <sup>+</sup> determines a berwise homotopy class

 $[ ^{+}] 2 [M^{+}; W]_{X}$ :

**Remark 1.1** This will be the primary obstruction to compression. Informally, it should be thought of as measuring the self-linking of M in  $X_I$ . Several authors have studied non- berwise versions of this construction (see Hirsch [7], Levitt [14] and Williams [22]).

Following Goodwillie [4], the *homotopy codimension* of *M* is *q*, if

*M* is homotopy equivalent to a CW complex of dim n-q, and

the inclusion @M ! M is (q-1)-connected.

In what follows, we write  $\operatorname{codim} M$  *q*. By a result of Wall [19], the rst condition is a consequence of the second whenever *q* 3.

**Examples 1.2** (1) If M is regular neighborhood of p-dimensional complex in an n-dimensional manifold, then codim M n-p.

(2) Let  $V^p$  be a closed Poincare space of dimension p equipped with an (n-p-1)-spherical bration : S() ! V. Let D() be the mapping cylinder of . Then (D(); S()) is a Poincare pair of dimension n with codim D() = n-p.

We now state the main result.

**Theorem A** Assume codim M n-p 3 and 3p+4 2n. Then there exists an embedding of M in X which induces the given embedding of  $M_J$  in  $X_I$  (up to \concordance") if and only if  $[ + ] 2 [M^+; W]_X$  is trivial.

We remark that this is valid in both the smooth and Poincare cases (the smooth case follows by application of the surgery machine | see below). In the special case  $X = D^n$  is a disk, Theorem A reduces to a non- berwise result which is implicit in the work of Williams [23]. In fact, our proof of Theorem A is a berwisation of one of Williams' arguments.

With respect to the numerical assumptions of Theorem A, we have

Addendum 1.3 The map of berwise homotopy classes

 $X: [M^+; W]_X ! [XM^+; XW]_X$ 

is an isomorphism, where  $\chi$  denotes berwise suspension. Consequently, the obstruction to compression [ <sup>+</sup>] is stable.

This is proved in x7 using the Freudenthal suspension theorem for hoR(X) (cf. 2.3 below).

#### 1.1 Unstable berwise normal invariants

Let M and X be n-dimensional Poincare spaces, and let f: M ! X be a map. These data de ne an object

M = @M 2 R(X)

whose underlying space is  $X [_{fj@M} M$  (note: collapsing X to a point gives the quotient M=@M). Similarly, we have  $X=@X \ 2 \ R(X)$  which turns out to be the *double*  $X [_{@X} X$  (which gives  $X^+$  if @X is empty.)

If  $f: M \mid X$  is the underlying map of an embedding of M in X with complement C, then there is an associated berwise homotopy class

de ned by taking

 $X [_{@X} X \xrightarrow{'} -- X [_{@X} (C [_{@M} M) ---! X [_{X} (X [_{@M} M) = M = @M : This is the$ *berwise (Thom-Pontryagin) collapse*of the embedding.

By analogy with Smale-Hirsch theory, a map f: M ! X is said to (*Poincare*) *immerse* if there exists an integer j = 0 such that f id:  $M = D^j ! X = D^j$  is the underlying map of some embedding.

**Remark 1.4** A fact we won't need, but which is nevertheless true, is that f Poincare immerses if and only if there is a stable ber homotopy equivalence  $f \times M$ , where X and M denote the Spivak normal brations of X and M respectively. For a proof of this, see [12].

Taking the berwise collapse of the embedding  $M D^{j} ! X D^{j}$  enables us to associate a berwise *stable* homotopy class

called the *berwise (stable) normal invariant* of the immersion (this is independent of the choice of embedding.)

Obviously, a necessary obstruction to compressing the given embedding to an embedding of M in X is that  ${}_{f}^{st}$  should desuspend to an element  ${}_{f} 2$   $[X=@X; M=@M]_X$ . Call any such desuspension a *berwise unstable normal invariant* of the immersion.

**Theorem B** Assume f: M ! X immerses. Again, suppose that  $\operatorname{codim} M$ n-p = 3 and 3p+4 = 2n. Then f embeds (inducing the given immersion) if and only if there exists a berwise unstable normal invariant  $_f$ . Moreover, the embedding can be chosen so that its collapse induces  $_f$ .

In the case @X = ;, Richter has also proved Theorem B using berwise Hopf invariants and berwise *S*-duality. By contrast, we will deduce Theorem B from Theorem A (in fact, the theorems are equivalent).

A consequence of the above is a Whitney embedding theorem for immersions in the Poincare duality category:

**Corollary C** Assume  $f: M^p ! X^n$  immerses, where  $\operatorname{codim} M n - p 3$  and 2p+1 *n*. Then *f* embeds (inducing the given immersion up to concordance).

This follows because the berwise stable normal invariant destabilizes by 2.3.

### **1.2** A Levine style embedding theorem

When X is 'highly' connected, Theorem B simpli es to a non-berwise statement. Here is its formulation: given an immersion of f: M ! X as above, there is an associated stable (Thom-Pontryagin) collapse

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Any homotopy class

2 [X=@X;M=@M]

which suspends to st is called an unstable normal invariant.

**Theorem D** Assume  $\operatorname{codim} M$  n-p 3, X is [p=2]-connected and 3p+4 2*n*. Then there exists an embedding inducing the given immersion of M in X if and only if there exists an unstable normal invariant . Moreover, the embedding can be chosen so that its collapse coincides with .

For example, if we take  $X = D^n$  then we recover the Williams-Richter embedding theorem [23], [17]. Levine's embedding theorem [13, Thm. 4] amounts to the case when X is a smooth *n*-manifold and M = D() is the unit disk bundle of a vector bundle over a smooth *p*-manifold *V*.

#### 1.3 Embedding spheres in the middle dimension

In applications to surgery on Poincare spaces, one of the main issues is whether or not homotopy classes in the middle dimension are represented by 'framed' embedded spheres.

Let  $X^n$  be a Poincare space, and suppose that n = 2p. Set

$$P := S^p D^p$$

and suppose that  $f: P \mid X$  is a map which immerses. Let  $\hat{X}$  be the universal cover of X, and let be the group of deck transformations. A map  $Y \mid X$  then induces a -covering of Y which we denote by  $\hat{Y}$ . Note that  $\hat{X} = @\hat{X}$  is a based -space, which is free in the based sense.

The immersion f gives rise to an equivariant stable homotopy class

called the *equivariant stable collapse*. This is constructed as follows: choose a representative embedding for f id<sub> $D^j$ </sub>: P  $D^j$  ! X  $D^j$ . The diagram for this embedding can then be pulled-back along  $\Re$ . The Thom-Pontryagin collapse of the resulting diagram of -spaces then yields <sup> $\Theta$ st</sup>.

**Theorem E** Assume p > 2. An immersion f: P ! X is represented by an embedding if and only if the equivariant stable collapse desuspends to an element e 2 [X = @X; P = @P]. Furthermore, the embedding can be chosen so that its equivariant collapse is e.

### 1.4 Embedded thickenings

Up until now, we have discussed embedding theorems between Poincare spaces having the same dimension. In a previous paper [11], we studied the following related problem: suppose that K is the homotopy type of a nite complex,  $X^n$  is a Poincare space, and  $f: K \mid X$  is a map. Does there exist a 'Poincare boundary' for K, say  $A \mid K$ , such that  $f: K \mid X$  embeds? (More precisely, we should really replace K by the mapping cylinder of the map  $A \mid K$  to get a Poincare pair.) Additionally, one assumes a codimensional restriction:  $k \quad n-3$ , where k is the *homotopy dimension* of K (an integer such that K is homotopy equivalent to a CW complex of that dimension).

This is the notion of Poincare embedding in which the 'normal data' are not *a priori* chosen. In [11] we termed this notion a *PD embedding*. In this paper, we will call it an *embedded thickening*, since the choice of Poincare boundary is a 'Poincare thickening' of K.

An important special case of this concept is when K itself is a closed Poincare space. In this instance, the homotopy ber of the map A ! K is a sphere, and one recovers the notion of Poincare embedding used by Wall [21, Chap. 11].

In [11], we proved that  $f: K^k ! X^n$  embedded thickens whenever f is (2k - n+2)-connected. It was expected that this is not the sharpest result, for in the smooth case, this result can be improved by one dimension. We show that the result can be improved by one dimension in the range of Theorem A:

**Theorem F** Assume f: K ! X is (2k-n+1)-connected, k = n-3 and 3k+4 = 2n. Then there exists an embedded thickening of f.

Note that this immediately implies the Poincare versions of the 'easy' and 'hard' Whitney embedding theorems: let f: K ! X be a map with k n-3.

**Corollary G** (1) If 2k+1 *n*, then *f* embedded thickens.

(2) If 2k *n* and and *f* is 1-connected, then *f* embedded thickens with the possible exception of the case k=3 and n=6.

**Remark 1.5** The rst part of the corollary settles an issue raised by Levitt [14, p. 402].

Another application yields an extension of [11, Cor. C], which concerns the existence of the unstable homotopy tangent bundle for Poincare spaces:

**Corollary H** Let  $X^n$  be a 1-connected closed Poincare space. Then the diagonal  $X \mid X X$  has an embedded thickening.

This follows by Theorem F if n = 4, and is trivial if n < 4.

### 1.5 Smooth embeddings

If M and X are compact smooth manifolds, then the Browder-Casson-Sullivan-Wall theorem [21, Chap. 11] shows that all of the above results imply smooth embedding results, (some new, some known). We leave it to the reader to make sense of this translation.

The inequality 3p+4 2n can be improved to 3p+3 2n in the smooth case: in proving Theorem A we make use of the relative embedding theorem of [10], which is the Poincare variant of a result of Hodgson [8] with a loss of one dimension. In the smooth case, Hodgson's result may be directly substituted in the appropriate part of the proof of Theorem A to yield the sharper result.

### 1.6 History

The concept of Poincare embedding surfaced in an attempt to understand smooth embeddings within the framework of surgery theory. The Browder-Casson-Sullivan-Wall theorem asserts that the smooth embedding problem of  $M^n$  in  $X^n$  is equivalent to the corresponding Poincare embedding problem as long as n = 6 and codim M = 3. Consequently, the problem of smooth embedding is reduced to homotopy theory.

The inequality 3p+3 2n is called the *metastable* range. Roughly, it is the place where triple point obstructions don't arise for dimensional reasons.

From 1960-1975 there emerged (at least) three di erent strategies to (smooth) embedding in the metastable range. Firstly, there was the school of Haefliger, which reduced the problem to a question about isovariant maps  $M^2 ! X^2$  (an equivariant map such that the inverse image of the diagonal of X coincides with the diagonal of M). Secondly, there was the bordism theoretic approach, as seen in the papers of Dax [3] and Hatcher-Quinn [6]. Both of these schools relied heavily on the Whitney trick and/or engul ng methods.

Lastly, there was the surgery school | most notably the works of Browder [1], [2] and Wall [21] | which reduced the problem of smooth embedding to that of Poincare embedding. This approach began with Levine [13], who, using surgery,

constructed embeddings from unstable normal invariants when the source M is the total space of a disk bundle over a smooth manifold and the ambient space X is an *n*-sphere, or more generally when X is a su-ciently highly connected manifold. Here, the role of the normal bundle is prominent.

Later, Williams [23], [22], Rigdon-Williams [18] and Richter [17], extended Levine's work to the case when M is a Poincare space and  $X = D^n$ . The work of Williams *et. al.* used smooth manifold techniques to deduce results about Poincare embeddings. Richter gave the rst manifold-free proof of Williams' results using homotopy theory.

It was only recently observed [11] that berwise homotopy theory technology was to play a role in extending the surgery approach to an arbitrary ambient Poincare space X. This connection was discovered by Shmuel Weinberger and the author (independently). The present work is an attempt to complete the thread begun by the surgery school.

### 1.7 Outline

Section 2 is mostly language; the reader should be familiar with the majority of material in this section. In x3 we show that the existence of a berwise normal invariant is su cient to give an embedding of  $M_J$  in  $X_I$  whose obstruction to compression is trivial, so Theorem A implies the rst part of Theorem B. x4 concerns the proof of Theorems D and E, which are a consequence of Theorem B and Milgram's EHP sequence. In x5 we prove Theorem A. The main tool in the proof is the relative embedded thickening theorem of [10]. In x6 we show that the embedding constructed in x3 has the correct collapse, thereby completing the proof of Theorem B. In x7 we prove the stability of the obstruction [<sup>+</sup>]. In x8 we prove Theorem F.

#### **1.8** Acknowledgments

This paper could not have been written were it not for discussions I had with Tom Goodwillie and Bill Richter. The proof of Theorem A was in part motivated by techniques employed by Goodwillie to study the stability map in relative pseudoisotopy theory. As I mentioned above, the rst proof of Theorem B is due to Richter. Also, the idea of the proof of 8.2 was aided by interaction with Richter. Thanks to Andrew Ranicki for improvements in the exposition. Lastly, I've bene ted from the papers of Bruce Williams.

# 2 Preliminaries

Our ground category is **Top**, the category of compactly generated Hausdor spaces. This comes equipped with the structure of a Quillen model category:

The *weak equivalences* are the weak homotopy equivalences (i.e., maps X ! Y such that the associated realization of its singular map jS Xj ! jS Yj is a homotopy equivalence). Weak equivalences are denoted !.

The *brations*, denoted  $\rightarrow$ , are the Serre brations.

The *co brations*, denoted  $\rightarrow$ , are the 'Serre co brations', i.e., inclusion maps given by a sequence of cell attachments (i.e., relative cellular inclusions) or retracts thereof.

Every object is brant. The co brant objects are the retracts of iterated cell attachments built up from the empty space. Every object Y comes equipped with a functorial co brant approximation  $Y^c \rightarrow Y$ .

A non-empty space is always (-1)-connected. A connected space is 0-connected, and is *r*-connected for some r > 0 if its homotopy groups vanish up through degree *r*, for any choice of basepoint. A map of non-empty spaces  $X \not = Y$  is called *r*-connected if its homotopy ber with respect to any choice of basepoint in *Y* is an (r-1)-connected space. An  $\mathcal{T}$ -connected map is a weak equivalence.

A space is *homotopy nite* if it is homotopy equivalent to a nite CW complex.

A commutative square of co brant spaces

is *r*-cocartesian if the evident map  $C_0 [A_{[0:1]} [B_1 ! D]$  (whose source is a double mapping cylinder) is *r*-connected. More generally, a square of not necessarily co brant spaces is *r*-cocartesian if it is after applying co brant approximation. An 1-cocartesian square is *cocartesian*. Dually, the square is *r*-cartesian if the map A ! holim (B ! D C) is *r*-connected. An 1-cartesian square is *cartesian*.

We introduce one last non-standard notation: given a map of spaces A ! B, if no confusion arises we will often let (B; A) denote the pair given by the mapping cylinder  $B_0 [A_1]$  with the inclusion of  $A_1$ .

#### 2.1 Fiberwise spaces

For  $X \ge \mathbf{Top}$  an object, R(X) will denote the category of retractive spaces, as in the introduction (in another notation, not to be used here,  $Xn \mathbf{Top} = X$ ). We will assume in what follows that X is a co brant object of  $\mathbf{Top}$ .

According to Quillen [16], R(X) inherits a model category structure arising from the one on **Top**. Weak equivalences and brations are de ned using the forgetful functor R(X) ! **Top**. Co brations are those maps satisfying the left lifting property with respect to the acyclic brations (the word 'acyclic' is synonymous with weak equivalence).

Any object  $Y \ge R(X)$  comes equipped with a functorial co brant approximation  $Y^c \twoheadrightarrow Y$  and similarly, a functorial brant approximation  $Y \rightarrowtail Y^f$ .

Given an object Y 2 R(X), de ne its *berwise suspension*  $_XY$  to be the object whose underlying space is obtained by collapsing the subspace  $X_I$ 

 $_XY$  to X (via the rst factor projection) in the double mapping cylinder  $X_0 [Y_1 [X_1]$ . If Y is co brant, then so is its berwise suspension. We use the notation  $\int_X^j Y$  to denote the *j*-fold iterated application of X to Y.

The homotopy category of R(X), denoted hoR(X), is the category whose objects are those of R(X) and in which the hom-set from an object Y to an object Z is given by homotopy classes of morphisms  $Y^{c} ! Z^{f}$ . This is denoted  $[Y; Z]_{X}$ ; it is a pointed set. The corresponding *stable* hom-set is  $fY; Zg_{X} := \lim_{j \in I} [\int_{X}^{j} Y; \int_{X}^{j} Z]_{X}$ .

Obstruction theory in **Top** gives rise to an obstruction theory in R(X). Let  $Z \ 2 \ R(X)$  be an object. A commutative diagram

de nes another object  $Z [ D_X^j$ , whose underlying space is  $Z [_{S^{j-1}} D^j$ . This operation is called *attaching a j -cell* to Z.

**De nition 2.1** An object  $P \ge R(X)$  has *dimension* s if its brant approximation admits a factorization  $X \rightarrow P^{\emptyset}$ !  $P^{f}$  such that  $P^{\emptyset}$  is obtained from X by attaching cells of dimension s.

A morphism  $Y \not Z$  is *r*-connected if it is *r*-connected as a map of spaces. In particular, an object *Y* is *r*-connected if its structure map  $X \not Z$  is.

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**Lemma 2.2** Let  $Y \not = Z$  be *r*-connected morphism of R(X) and suppose that *P* has dimension *r*. Then the induced map of homotopy sets

$$[P;Y]_X ! [P;Z]_X$$

is surjective. It is also injective if P has dimension r-1.

This is essentially [9, 9.2].

### 2.2 The stable range

The Freudenthal theorem measures the extent to which berwise suspension is an isomorphism on the level of berwise homotopy classes.

**Lemma 2.3** (James [9, 9.3]). If Y;  $Z \ge R(X)$  co brant objects such that Z r-connected and Y has dimension 2r+1, then berwise suspension gives a surjection of pointed sets

 $[Y;Z]_X ! [XY; XZ]_X :$ 

This surjection is an isomorphism whenever Y has dimension 2r.

### 2.3 Poincare spaces

In this paper, a *Poincare space* X of dimension n is a pair (X; @X) such that X and @X are co brant and homotopy nite, @X ! X is a co bration, and X satis es *Poincare duality*:

there exists a local system of abelian groups *L* of rank one de ned on *X*, and a fundamental class  $[X] \ge H_n(X; @X; L)$  such that the cap product homomorphisms

$$X[X]: H(X; M) ! H_{n-}(X; @X; L M)$$

and

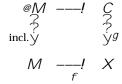
 $\mathbb{Q}[@X]: H (@X; N) ! H_{n--1}(@X; L_{j@X} N)$ 

are isomorphisms, where  $[@X] \ 2 \ H_{n-1}(@X; L_{j@X})$  is the image of [X] under the connecting homomorphism in the homology exact sequence of the pair (X;@X), and M(N) is any local system on X (resp. on @X) (compare [11], [20]).

If (X; @X) is a pair such that @X ! X is 2-connected, then the rst duality isomorphism implies the second one (cf. [11, 2.1]). In these circumstances, X is *n*-dimensional Poincare if and only if  $X_I$  is (n+1)-dimensional Poincare.

#### 2.4 Embeddings

Let M and X a Poincare spaces of dimension n, where X is connected. An *embedding* of M in X is a commutative cocartesian square of co brant homotopy nite spaces



together with a factorization of the inclusion @X ! C ! X, such that (M;@M) and (C;@M q @X) satisfy Poincare duality with respect to the fundamental classes obtained by taking the image of a fundamental class for X under the homomorphisms

$$H_n(X;@X;L) ! H_n(X;C;L) = H_n(M;@M;f L)$$

and

$$H_n(X; @X; L) ! H_n(X; M q @X; L) = H_n(C; @M q @X; g L) :$$

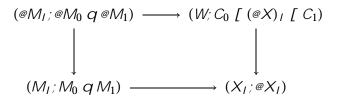
If  $\operatorname{codim} M$  3 then one only need verify the compatibility of fundamental classes for M (see [11, 2.3]).

The space C is called the *complement*, and f: M ! X is the *underlying map* of the embedding.

The *decompression* of an embedding of M in X is the embedding of  $M_I$  in  $X_I$  de ned by the diagram

where  $W = X_0 [C_1 [X_1 \text{ is } (unreduced)]$  betwise suspension, and the factorization  $@X_1 ! W ! X_1$  is evident.

Two embeddings from M to X with complements  $C_0$  and  $C_1$  are *elementary concordant* if there exists a diagram of pairs



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in which each associated diagram of spaces

is cocartesian (the latter of these is obtained from the disjoint union of the embedding diagrams using the inclusion  $@X_0 q @X_1 @X_1)$ . Moreover, the maps  $C_i ! W$  are required to be weak equivalences. More generally, *concordance* is the equivalence relation generated by elementary concordance.

#### 2.5 Embedded thickenings

Suppose that K is a co brant space which is homotopy equivalent to a nite connected CW complex of dimension k. Let f: K ! X be a map, where  $X^n$  is a connected Poincare space of dimension n. A cocartesian square

$$\begin{array}{ccc} A & --- I & C \\ \widehat{\gamma} & & \widehat{\gamma} \\ \widehat{\gamma} & & & \widehat{\gamma} \end{array}$$

$$K & -\frac{f}{-I} X$$

(in which A and C are co brant and homotopy nite), together with a factorization @X ! C ! X is called an *embedded thickening* of f if

(K; A) gives an *n*-dimensional Poincare space such that codim K = n-k, and

Replacing K by K in the diagram yields an embedding in the sense of 2.4.

An embedded thickening is what was called a *PD embedding* in the terminology of [11]. In order to avoid confusion, we have changed the name to distinguish between the embeddings appearing in this paper (where the boundary data are *a priori* given) and the ones of [11] (embeddings of complexes in Poincare spaces).

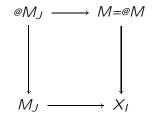
## **3 Proof of Theorem B ( rst part)**

We show how Theorem A can be used to construct an embedding of M in X from an unstable berwise normal invariant.

Let  $f \ 2 \ [X = @X; M = @M]_X$  be an unstable berwise normal invariant associated to an immersion  $f: M \ X$ . Based on a construction of Browder [2] we will associate a Poincare embedding of  $M_J$  in  $X_I$ .

For this section only, let us agree that M = @M now means the object of R(X) whose underlying space is  $X_0 [ (@M)_I [ M_1 (the formulation provided in the introduction di ers from this description by a canonical weak equivalence). Similarly, let <math>X = @X$  now mean  $X_0 [ (@X)_I [ X_1. Let h: J ! I be the homeomorphism <math>t \ V \ 3t - 1$ .

Then there is a commutative diagram of spaces



in which the top arrow is de ned by

 $\mathcal{M}_{1=3} \left[ (@\mathcal{M})_{\mathcal{J}} \left[ \mathcal{M}_{2=3} \ \frac{\mathrm{id}}{-} \ \frac{h}{2} \ \mathcal{M}_{0} \left[ (@\mathcal{M})_{\mathcal{I}} \left[ \mathcal{M}_{1} \ \frac{f[\mathrm{id}[\mathrm{id}]}{-} \ \mathcal{X}_{0} \left[ (@\mathcal{M})_{\mathcal{I}} \left[ \mathcal{M}_{1} \ \mathcal{H}_{1} \right] \right] \right] \right]$ 

the bottom arrow is f h, and the vertical arrows are evident. This diagram is cocartesian. In what follows, we must replace M = @M in the diagram with its brant approximation  $(M = @M)^{f}$ . Assume that this has been done.

The Poincare boundary for  $X_I$  is X = @X; it factors through  $(M = @M)^f$  via a representative for f. This de nes the embedding of  $M_J$  in  $X_I$ . In particular, the complement of this embedding is  $(M = @M)^f$ .

Applying Theorem A, we see that the given embedding compresses to a embedding of M in X if and only if  $[ + ] 2 [M^+; M = @M]_X$  is the trivial element. But by construction, [ + ] is the berwise homotopy class determined by making the composite ( berwise) map

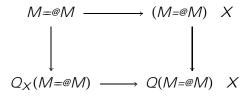
 $M_{1=3}$  !  $M_{1=3}$  [ (@M) ] [  $M_{2=3}$  !  $X_0$  [ (@M) ] [  $M_1$ 

\based" (i.e., add on a disjoint copy of X to  $M_{1=3}$ ). The composite clearly factors through the \basepoint"  $X_0 = X_0 [(@M)_1 [M_1, so [^+])$  is the trivial element.

It remains to check that the collapse of the embedding of M in X equals f. This is not a formal consequence of Theorem A, but rather, a consequence of the construction of the particular embedding in the proof of Theorem A contained in x5 below. For this reason, we defer the proof of this until x6.

## 4 **Proof of Theorems D and E**

**Proof of Theorem D** We rst explain the idea of the proof while ignoring technical details. There is a commutative diagram of R(X)



in which

(M=@M) X has structure maps given by the second factor projection and the inclusion X (M=@M) X.

The morphism M = @M ! (M = @M) X is given by the quotient map M = @M ! M = @M together with the retraction M = @M ! X.

 $Q_X$  means the berwise version of stable homotopy, and the bottom map of the diagram is de ned in a way similar to the top map.

The vertical maps are de ned by means of the natural transformation from the identity to ( berwise) stable homotopy.

Ignoring for the moment the issue of homotopy invariance of the terms in the diagram, it will follow by an argument sketched below that the diagram is *n*-cartesian. Assuming this the argument proceeds as follows:

The berwise stable homotopy class <sup>st</sup> is represented by a morphism X = @X ! $Q_X(M = @M)$  and the homotopy class is represented by a morphism X = @X !(M = @M) X. Up to berwise homotopy the maps are compatible with the diagram. By 2.2 applied to the *n*-connected morphism

M = @M ! holim  $(Q_X(M = @M) ! Q(M = @M) X (M = @M) X)$ 

there is an unstable berwise normal invariant  $2[X=@X;M=@M]_X$ . Theorem D now follows by application of Theorem B.

We now proceed to establish the degree to which the square is cartesian. First of all, we replace the square by an equivalent one which is homotopy invariant (for the extent to which  $Q_X$  is a homotopy invariant functor is unclear, even for objects which are brant and co brant).

Choose a basepoint for X. Since X is a connected co brant space, there is a homotopy equivalence X' BG where G is the geometric realization of the

simplicial set given which is the Kan loop group of the total singular complex of X. Here, we think of G as a topological group object in **Top**. In what follows, we will assume X is BG.

Let  $R^G()$  denote the category of based *G*-spaces. This admits the structure of a model category in which a morphism  $Y \not Z$  is a weak equivalence if (and only if) it is a weak homotopy equivalence of spaces. Every object is brant and the co brant objects are the retracts of free based *G*-CW complexes. In fact, the homotopy categories of  $R^G()$  and R(BG) are equivalent (but we will not require this.)

Let M denote the pullback of M ! BG EG. Then M =@M is an object of  $R^G()$ . We recover M =@M 2 R(BG) up to weak equivalence by taking the Borel construction (M =@M)  $_GEG$ . We recover M =@M as the *homotopy orbits* (i.e., reduced Borel construction) (M =@M) $_{hG} := (M =@M) \wedge_G EG_+$ . In its homotopy invariant formulation, the square is now given by the diagram of morphisms of R(BG)

(here, for an object  $Y \ 2 \ R^G($ ), the object  $Y^c$  denotes its co brant approximation).

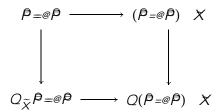
Finally, we calculate the degree to which the square is cartesian. In what follows, set N := M = @M, and note that N is (n-p-1)-connected. The homotopy ber of the left vertical map is the same thing as the homotopy ber of the map N ! QN. Denote this ber by  $F_1$ . By Milgram's EHP-sequence [15, 1.11], there is a (3n-3p-3)-connected map  $(N \land N)_{h\mathbb{Z}=2} ! F_1$ . On the other hand the homotopy ber of the right vertical map is the same as the homotopy ber of the map  $N_{hG} ! Q(N_{hG})$ . If we denote this homotopy ber by  $F_2$ , it again follows by Milgram's EHP-sequence that there is a (3n-3p-3)-connected map  $(N_{hG} \land N_{hG})_{h\mathbb{Z}=2} ! F_2$ . Moreover, the square

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is commutative. The top map of the latter square is induced by the evident map  $N \wedge N$  !  $(N \wedge N)_{hG}$  . This last map is easily checked to be (2n-2p+[p=2])-connected. Assembling this information, it follows that the map  $F_1$  !  $F_2$  is min(3n-3p-4;2n-2p+[p=2]-1)-connected. By hypothesis, 3p+4 *n*, so this connectivity is at least *n*. Consequently, the square (1) is *n*-cartesian, as claimed.

**Proof of Theorem E** The proof is similar to the proof of Theorem D (where here P plays the role of M). Therefore, we will only sketch the argument and leave it to the reader to ll in the details.

As above, there is a diagram



which one checks (by essentially the same argument) to be (2p)-cartesian. The berwise stable normal invariant can be lifted to a berwise equivariant map  $\hat{X} = @\hat{X} ! Q_{\tilde{X}} \hat{P} = @\hat{P}$ . The rest of the argument follows as in the proof of Theorem B, substituting obstruction theory by equivariant obstruction theory, and using the fact that the equivariant homotopy dimension of  $\hat{X} = @\hat{X}$  is 2p.

# 5 Proof of Theorem A

Our main tool will be the relative embedded thickening theorem of [10] (see also [11] for the absolute version). The statement of this result will require some preparation.

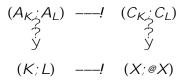
Let (K; L) be a co bration pair in **Top**. We assume for simplicity that K and L are co brant spaces which are homotopy nite. Write

 $\dim(K;L) = k$ 

if there exists a factorization  $L \, ! \, \mathcal{K}^{\ell} \, ! \, \mathcal{K}$  in which  $\mathcal{K}^{\ell}$  is obtained from L by attaching cells of dimension k and the map  $\mathcal{K}^{\ell} \, ! \, \mathcal{K}$  is a weak equivalence.

Let X be an n-dimensional Poincare space.

By a *relative embedded thickening* of (K; L) in (X; @X) we mean a commutative diagram of co bration pairs



having the following properties.

Each space appearing in the diagram is co brant and homotopy nite. Each of the diagrams of spaces

A <sub>K</sub> !	C <sub>K</sub> ?	and	Aj ? y	!	Ct~?y
К!	Х		L	!	@X

is cocartesian and the latter of these diagrams is a embedded thickening of L in @X.

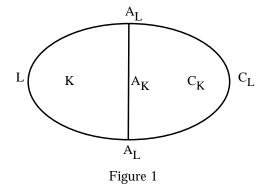
The image of the fundamental class of X with respect to the composite

 $H_n(X;@X) ! H_n(X;@X [_{C_L} C_K) = H_n(K; L [_{A_L} A_K))$ 

gives  $(K; L [_{A_L} A_K)$  the structure of an *n*-dimensional Poincare space (here, coe cients are given by pulling back the orientation bundle for (X; @X)). Similarly,  $(C_K; C_L [_{A_L} A_K)$  has the structure of a Poincare space with fundamental class induced from X.

The map  $A_{\mathcal{K}}$  !  $\mathcal{K}$  is (n-k-1)-connected.

The decomposition of (X; @X) is depicted in gure 1 below.



Now let f: (K; L) ! (X; @X) be a map with dim(K; L) k and suppose that the restriction  $f_{iL}: L! @X$  embedded thickens. The main theorem of [10] is

Embedding, compression and berwise homotopy theory

**Theorem 5.1** Assume k = n-3 and f: K ! X is (2k-n+2)-connected. Then there exists a relative embedded thickening of f: (K; L) ! (X; @X) extending the given embedded thickening of  $f_{jL}: L ! @X$ .

**Remark 5.2** The above is the Poincare version of the relative embedded thickening theorem of Hodgson [8], with a loss of one dimension.

We now begin the proof of Theorem A. Assume  $[ + ] 2 [M^+; W]_X$  is trivial, where W is the complement of an embedding of  $M_J$  in  $X_I$ . We may also assume without loss in generality that  $W \ 2 \ R(X)$  is brant. A choice of berwise null-homotopy may be thought of as a family of maps  $_t: M_t \ W$  for  $t \ 2 \ [0, 1=3]$  which commute with projection to X such that  $=_{1=3}$  and  $_0$  factors through  $X_0 \ W$ .

This null-homotopy gives rise to a map of pairs

$$(X_0 [M_{[0,1-3]}; X_0 q M_{1-3}) ! (W; @W)$$

in which  $X_0 [M_{[0]1=3]}$  is the mapping cylinder of the map  $M_{1=3} ! X$ . These circumstances are depicted in gure 2.

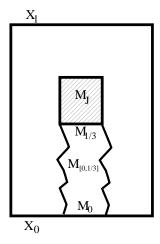


Figure 2

The restricted map of spaces

is already embedded thickened (here,  $@W = @X_I q @M_J$ ). This embedded

thickening is given by the cocartesian square

The map

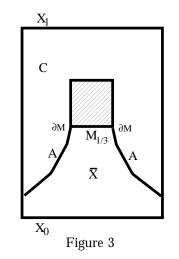
$$X_0 [M_{[0;1=3]} ! W$$

is (n-p-1)-connected (since it, followed by the map  $W ! X_i$  is a weak equivalence, and the latter map is (n-p)-connected). Moreover, the pair  $(X_0 [M_{[0:1-3]}; X q M)$  has relative dimension p+1.

Since n-p-1 2(p+1) - (n+1) + 2 if and only if 2n = 3p+4, by 5.1 there exists a relative embedded thickening of

$$(X_0 [M_{[0,1=3]}; X q M) ! (W; @W)$$

which extends the given embedded thickening of X q M ! @W. Thus we have a diagram of pairs (cf. g. 3)



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Consider the associated commutative diagram

and note that there is an evident factorization of @X ! X through the map A ! X.

To complete the proof of Theorem A, it su ces to show:

**Claim** The square (2) is an embedding of M in X. It induces the given embedding of  $M_J$  in  $X_I$  after decompression.

To establish the claim, we rst need to show that the square is cocartesian. According to the de nitions  $X_0 [M_{[0:1=3]} \land X$  has an *n*-dimensional Poincare boundary given by  $X_0 [_{@X_0} (M_{1=3} [_{@M_{1=3}} A)$ . Application of Poincare-Lefshetz duality gives an isomorphism

$$H(X; M[_{@M} A) = H^{n+1-}(X; X_0) = 0$$

in all degrees, for any bundle of coe cients on X. Moreover, the map  $M[_{@M} A ! X \text{ induces an isomorphism on fundamental groups (since <math>A ! X$  and @M ! M are 2-connected), so the square is cocartesian by application of Whitehead's theorem.

Secondly, a straightforward argument which we omit shows that the inclusion  $X_1$  *C* is a weak equivalence. Consequently, the composite *C* ! *W* ! *X* is also a weak equivalence. Using this, we have a chain of weak equivalences

which is compatible with projection to  $X_I$  and is relative to  $@X_I$ . We infer that the decompression of (2) yields the embedding of  $M_J$  in  $M_I$  up to concordance. Compatibility of fundamental classes is a consequence of the remarks at the end of 2.3 and 2.4. This completes the proof of Theorem A.

## 6 Theorem B: completion of the proof

Given a berwise unstable normal invariant

we constructed in X3 an embedding of M in X by rst associating an embedding of  $M_J$  in  $X_I$  and then applying Theorem A (using the observation that the compression obstruction of the latter embedding is trivial).

It remains to show that the collapse of this embedding coincides with f. We will give the argument in the case when @X = f. The general case, which is straightforward, will be left to the reader.

Returning to the proof of Theorem A and in particular g. 3 above, note that the collapse of the embedding of M in X is the berwise homotopy class of the map

whose restriction to  $X_0$  is given by the inclusion  $X_0 \, ! \, X$  and the restriction to  $A [_{@M} M$  is given by the amalgamation of the map  $A \, ! \, X$  with the identity map of M.

Using g. 3, we rewrite this as follows: consider the amalgamated union

$$M^{\ell}$$
 := (@M)  $\int [_{@M_{2=3}} M_{2=3} ]$ :

and write  $X^{\ell} := A [_{@M_{1=3}} M^{\ell}$  (so  $X^{\ell}$  is identified with X up to weak equivalence). Then the berwise homotopy class of the composite

$$X_0 q X^{\ell} ! X [_{@M_{1-2}} M^{\ell} ! W]$$

represents the collapse of the embedding (recall that W is M = @M made - brant). Note there is an evident factorization  $X_0 q X^{\emptyset} ! X_0 q C ! W$ .

On the other hand, the composite

$$X_0 q X_1 ! X_0 q C ! W$$

induces f.

Consequently, the restrictions of the map X q C ! W to  $X_0 q X^{\ell}$  and  $X_0 q X_1$  induce respectively the collapse map of the embedding and f.

But the maps  $X_1 \ ! \ C$  and  $X^{\ell} \ ! \ C$  are weak homotopy equivalences. Consequently, the map  $X_0 \ q \ C \ ! \ W$  induces both the collapse of the embedding of M in X and f on berwise homotopy. Thus f coincides with the collapse. This completes the proof of Theorem B.

## 7 Stability of the obstruction

To prove 1.3, we apply 2.3 to the homotopy set  $[M^+; W]_X$ . Since M is homotopy equivalent to a complex of dimension p, we infer that the object  $M^+ 2 R(X)$  has dimension p. On the other hand, the connectivity of W 2 R(X) is one less than the connectivity of the map  $W ! X_1$ , which in turn, is at least the connectivity of the map  $@M_J ! M_J$  since the former is the cobase change of the latter. But  $codim M_J \quad n-p+1$ , so  $@M_J ! M_J$  is (n-p)-connected. Hence W 2 R(X) is an (n-p-1)-connected object.

Consequently, 2.3 implies that

 $[M^+;W]_X ! [XM^+; XW]_X$ 

is an isomorphism whenever p = 2(n-p-1), or equivalently, whenever 3p+2 2*n*. Thus, the obstruction to compression is stable in the range of Theorem A (with two dimensions to spare).

## 8 Proof of Theorem F

In this section we show how Theorem A implies a partial improvement of the main result of [11]. Let K be a co brant space which is homotopy equivalent to a connected CW complex of dimension k. Let X be a connected n-dimensional Poincare space.

The main result of [11] is

**Theorem 8.1** Assume that  $f: K \mid X$  is (2k-n+2)-connected and k n-3. Then there exists an embedded thickening of f.

Now we have the statement of Theorem F, which is an improvement of 8.1 in the metastable range:

**Theorem 8.2** Assume f: K ! X is (2k-n+1)-connected, k = n-3 and 3k+4 = 2n. Then there exists an embedded thickening of f.

**Proof** By 8.1, there exists an embedded thickening of the composite  $f_i$ :  $K \neq X = X_0 \neq X_i$ . Let this be denoted

$$\begin{array}{cccc} A^{\ell} & ---! & W \\ \widehat{\gamma} & & \widehat{\gamma} \\ \widehat{\gamma} & & \widehat{\gamma} \\ K & ---! & X_{1} \\ f_{1} & X_{1} \end{array}$$

Without loss in generality, we may take  $A^{\ell}$  ! K to be a bration. By straightforward application of the Blakers-Massey theorem [5, p. 309], this square is *k*-cartesian. Let *P* denote the homotopy pullback of the diagram given by deleting  $A^{\ell}$ . Then the evident map  $A^{\ell}$  ! *P* is *k*-connected.

The maps id: K ! K and  $K ! X = X_0 W$  are compatible up to homotopy when followed by the given maps to  $X_I$ . Consequently, there is an induced map K ! P. As  $A^{\emptyset} ! P$  is *k*-connected, we obtain a factorization  $K ! A^{\emptyset} ! P$ . Since  $A^{\emptyset} ! K$  is a bration, the homotopy lifting property plus the factorization yield a section :  $K ! A^{\emptyset}$ . By construction, the composite

$$K^{+} = K q X \stackrel{q\mu}{=} A^{\ell} q X_{0} ! \quad W$$
(3)

is berwise null homotopic.

The map :  $K ! A^{\ell}$  is (n-k-1)-connected. By 8.1, it embedded thickens since n-k-1 2k-n+2 is equivalent to 3k+3 2n. Let

be such an embedded thickening. We claim that the composite  $C ! A^{\ell} ! K$  is a weak equivalence. To see this, rst note that C ! K is 2-connected, since it is the composite of the (n-k-1)-connected map  $C ! A^{\ell}$  with the (n-k)-connected map  $A^{\ell} ! K$ . Also, by Poincare-Lefshetz duality, we infer that

$$H(K;C) = H^{n+1-}(K;K) = 0$$

in all degrees. Consequently, C !~ K is a weak equivalence by the Whitehead theorem.

Let (M; @M) denote the pair (K; A). Then the argument of the last paragraph implies that  $(M_I; @M_I)$  coincides with  $(K; A^{\ell})$  up to homotopy. Furthermore, with respect to this homotopy equivalence, the inclusion  $M_0 = @M_I$  corresponds to  $: K ! A^{\ell}$ .

Assembling these data, we have an embedding of  $M_l$  in  $X_l$  whose obstruction [ +] vanishes by (3). Applying Theorem A yields an embedded thickening of  $f: K \mid X$ .

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