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The modular group action on real *SL*(2) {characters of a one-holed torus

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Abstract

The group of automorphisms of the polynomial

$$(X, y, Z) = X^{2} + y^{2} + Z^{2} - XyZ - 2$$

is isomorphic to

 $PGL(2;\mathbb{Z}) \ltimes (\mathbb{Z}=2 \ \mathbb{Z}=2)$:

For $t \ 2 \ \mathbb{R}$, the -action on $^{-1}(t) \setminus \mathbb{R}^3$ displays rich and varied dynamics. The action of preserves a Poisson structure de ning a {invariant area form on each $^{-1}(t) \setminus \mathbb{R}^3$. For t < 2, the action of is properly discontinuous on the four contractible components of $^{-1}(t) \setminus \mathbb{R}^3$ and ergodic on the compact component (which is empty if t < -2). The contractible components correspond to Teichmüller spaces of (possibly singular) hyperbolic structures on a torus M. For t = 2, the level set

 $^{-1}(t) \setminus \mathbb{R}^3$ consists of characters of reducible representations and comprises two ergodic components corresponding to actions of $GL(2;\mathbb{Z})$ on $(\mathbb{R}=\mathbb{Z})^2$ and \mathbb{R}^2 respectively. For 2 < t 18, the action of on $^{-1}(t) \setminus \mathbb{R}^3$ is ergodic. Corresponding to the Fricke space of a three-holed sphere is a {invariant open subset \mathbb{R}^3 whose components are permuted freely by a subgroup of index 6 in . The level set $^{-1}(t) \setminus \mathbb{R}^3$ intersects

if and only if t > 18, in which case the {action on the complement $(^{-1}(t) \setminus \mathbb{R}^3)$ – is ergodic.

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Introduction

Let *M* be a compact oriented surface of genus one with one boundary component, a *one-holed torus*. Its fundamental group is free of rank two. Its mapping class group $_0(\text{Homeo}(M))$ is isomorphic to the outer automorphism group Out() of and acts on the space of equivalence classes of representations -! SL(2). We investigate the dynamics of this action on the set of real points on this moduli space.

Corresponding to the boundary of M is an element K 2 which is the commutator of free generators X; Y 2. By a theorem of Fricke [8, 9], the moduli space of equivalence classes of SL(2; \mathbb{C}) {representations naturally identi es with a ne 3{space \mathbb{C}^3 , via the quotient map

Hom(;SL(2;
$$\mathbb{C}$$
)) -! \mathbb{C}^{3}
 $2 \xrightarrow{3}{x} \xrightarrow{2}{tr((X))}^{3}$
 $7! \xrightarrow{4}{y^{5}} := 4 \operatorname{tr}((Y)) \xrightarrow{5}{z}$
 $z \operatorname{tr}((XY))$

In terms of these coordinates, the trace tr (K) equals:

 $(x; y; z) := x^2 + y^2 + z^2 - xyz - 2$

which is preserved under the action of Out(). The action of Out() on \mathbb{C}^3 is commensurable with the action of the group of polynomial automorphisms of \mathbb{C}^3 which preserve (Horowitz [17]). (Compare also Magnus [22].)

Theorem Let $(x; y; z) = x^2 + y^2 + z^2 - xyz - 2$ and let $t \ge \mathbb{R}$.

For t < -2, the group acts properly on $^{-1}(t) \setminus \mathbb{R}^3$;

For -2 t < 2, there is a compact connected component C_t of $^{-1}(t) \setminus \mathbb{R}^3$ upon which acts ergodically; acts properly on the complement $^{-1}(t) \setminus \mathbb{R}^3 - C_t$;

For t = 2, the action of is ergodic on the compact subset ${}^{-1}(2) \setminus [-2;2]^3$ and the action is ergodic on the complement ${}^{-1}(2) - [-2;2]^3$;

For 18 t > 2, the group acts ergodically on $^{-1}(t) \setminus \mathbb{R}^3$;

For t > 18, the group acts properly and freely on an open subset $t = t^{-1}(t) \setminus \mathbb{R}^3$, permuting its components. The {action on the complement of t is ergodic.

The proof uses the interplay between representations of the fundamental group and hyperbolic structures on M. The dynamics breaks up into two strikingly di erent types: representations corresponding to hyperbolic structures comprise contractible connected components of the the level sets $^{-1}(t)$, whereas representations which map a simple nonperipheral essential loop to an elliptic element, comprise open subsets of $^{-1}(t)$ upon which is ergodic. Thus nontrivial dynamics accompanies nontrivial topology of the moduli spaces.

In his doctoral thesis [31], G. Stantchev considers characters corresponding to representations into $PGL(2;\mathbb{R})$ (that is, actions preserving H^2 but not preserving orientation on \mathbf{H}^2). For t < -14 (respectively t > 6) characters of discrete embeddings representing hyperbolic structures on 2{holed projective planes (respectively 1{holed Klein bottles) give wandering domains in the corresponding level set. For -14 t < 2 the {action on the corresponding level set is ergodic, and for t < -14, the action is ergodic on the complement of the wandering domains corresponding to Fricke spaces of 2{holed projective planes.



Figure 1

(b) Level set

Notation and terminology We work in Poincare's model of the hyperbolic plane \mathbf{H}^2 as the upper half-plane. We denote the identity map (identity matrix) by I. Commutators are denoted:

$$[A;B] = ABA^{-1}B^{-1}$$

and inner automorphisms are denoted:

$$_q: x \neq ! g x g^{-1}$$
:



Figure 3

For any group we denote the group of all automorphisms by Aut() and the normal subgroup of inner automorphisms by Inn(). We denote the quotient group Aut()=Inn() by Out(). A compact surface S with n boundary components will be called n {holed. Thus a one-holed torus is the complement of an open disc inside a torus and a *three-holed sphere* (sometimes called a



\pair-of-pants") is the complement of three disjoint discs inside a sphere.

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1 The modular group and the moduli space

In this section we de ne the modular group and the moduli space for the oneholed torus. The modular group is isomorphic to

$$_{0}(\text{Homeo}(\mathcal{M})) = \text{Out}() = \text{GL}(2\mathbb{Z})$$

and the moduli space is a $\$ ne space $\mathbb{C}^3.$ We explain how the invariant function

originates. We describe various elements of the modular group and how they act on the moduli space.

1.1 The mapping class group

Let *M* denote a compact connected orientable surface of genus one with one boundary component. Since attaching a disc to *M* yields a torus, we refer to *M* as a *one-holed torus*. The *mapping class group* of *M* is the group $_0(\text{Homeo}(M))$ of isotopy classes of homeomorphisms of *M*. We investigate the action of $_0(\text{Homeo}(M))$ on the moduli space of flat SL(2) {connections on *M*.

1.1.1 Relation to $_1(M)$

Choose a basepoint $x_0 \ 2 \ M$ and let $:= {}_1(M; x_0)$. Any homeomorphism of M is isotopic to one which xes x_0 and hence de nes an automorphism of \therefore . Two such isotopic homeomorphisms determine automorphisms of di ering by an inner automorphism, producing a well-de ned homomorphism

$$N: _{0}(\operatorname{Homeo}(\mathcal{M})) -! \quad \operatorname{Out}() = \operatorname{Aut}() = \operatorname{Inn}(): \qquad (1.1.1)$$

If M is a closed surface, then Dehn (unpublished) and Nielsen [30] proved that N is an isomorphism. (See Stillwell [32] for a proof of the Dehn{Nielsen theorem.) When $@M \notin ;$, then each component $@_iM$ determines a conjugacy class C_i of elements of $_1(M)$. The image of N consists of elements of Out() represented by automorphisms which preserve each C_i .

Let M be a one-holed torus. Its fundamental group admits a geometric redundant presentation

$$= hX; Y; K j [X; Y] = K i$$
(1.1.2)

where K corresponds to the generator of $_1(@M)$. Of course, is freely generated by X; Y.

1.1.2 Nielsen's theorem

The following remarkable property of M is due to Jakob Nielsen [29] and does not generalize to other hyperbolic surfaces with boundary. For a proof see Magnus{Karrass{Solitar [23], Theorem 3.9 or Lyndon{Schupp [21], Proposition 5.1.

Proposition 1.1.1 Any automorphism of the rank two group

 $= hX_iY_iK_jK = [X_iY]i$

takes K to a conjugate of either K itself or its inverse K^{-1} .

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An equivalent geometric formulation is:

Proposition 1.1.2 Every homotopy-equivalence M ! M is homotopic to a homeomorphism of M.

Thus the homomorphism (1.1.1) de nes an isomorphism

 $N: _{0}(\text{Homeo}(M)) -! \text{Out}():$

1.2 The structure of the modular group

We say that an automorphism of which takes K to either K or K^{-1} is *normalized*. The normalized automorphisms form a subgroup Aut(; K) of Aut().

Let be an automorphism of . Proposition 1.1.1 implies that (K) is conjugate to K^{-1} . Thus there exists an inner automorphism $_g$ such that

$$(K) = gK g^{-1},$$

that is

$$a^{-1} = 2 \operatorname{Aut}(\ ; K)$$

where = 1. (In fact, = det(h([])), where h is the homomorphism de ned by (1.2.1) below.) Since the centralizer of K in equals the cyclic group hKi, the automorphism determines the coset of g modulo hKi uniquely.

We obtain a short exact sequence

$$1 - ! h_{K}i_{I}!$$
 Aut(; K) -! Out() -! 1

The action on the homology $H_1(M; \mathbb{Z}) = \mathbb{Z}^2$ de nes a homomorphism

h: Out()
$$-!$$
 GL(2; \mathbb{Z}): (1.2.1)

By Nielsen [29], *h* is an isomorphism. (Surjectivity follows by realizing an element of $GL(2;\mathbb{Z})$ as a *linear* homeomorphism of the torus $\mathbb{R}^2 = \mathbb{Z}^2$. See Lyndon{Schupp [21], Proposition 4.5 or Magnus{Karrass{Solitar [23], Section 3.5, Corollary N4.) We obtain an isomorphism

: $GL(2;\mathbb{Z}) -!$ Aut(; K)=h_K i:

Restriction of the composition h to Aut(; K) equals the quotient homomorphism

Aut(
$$;K$$
) $-!$ Aut($;K$) $=h_K i$:

2 Structure of the character variety

2.1 Trace functions

The relevant moduli space is the *character variety*, the categorical quotient of Hom(;G) by the G{action by inner automorphisms, where $G = SL(2;\mathbb{C})$. Since is freely generated by two elements X and Y, the set Hom(;G) of homomorphisms -! G identi es with the set of pairs (;) 2 G G, via the mapping

Hom(; G)
$$-!$$
 G G
 $-!$ ((X); (Y)):

This mapping is equivariant respecting the action of G on Hom(;G) by

g: **7!** g

and the action of G on G G by

 $g: (;) \neq! (g g^{-1}; g g^{-1}):$

The moduli space Hom(;G) = G consists of equivalence classes of elements of Hom(;G) = G G where the equivalence class of a homomorphism is de ned as the closure of the G{orbit G. Then Hom(;G)=G is the categorical quotient in the sense that its coordinate ring identi es with the ring of G{ invariant regular functions on Hom(;G). For a single element $g \ 2 \ G$, the conjugacy class Inn(G)(g) is determined by the trace t = tr(g) if $t \notin 2$. That is,

$$Inn(G)(g) = tr^{-1}(t):$$
 (2.1.1)

For t = -2, then

$$\operatorname{tr}^{-1}(2) = f \ \mathbb{I}g \qquad \begin{bmatrix} & 1 & 1 \\ & 0 & 1 \end{bmatrix}$$
 (2.1.2)

By Fricke [8] and Fricke{Klein [9], the traces of the generators X; Y; XY parametrize Hom(; G) = G as the a ne space \mathbb{C}^3 . As X and Y freely generate , we may identify:

Hom(; G)
$$!$$
 G G
 $!$ ((X); (Y))

The character mapping

: Hom(; G) = G -!
$$\mathbb{C}^{3}$$

 $2x()^{3} + 2tr((X))^{3}$
[] $7! + 4y()^{5} = 4tr((Y))^{5}$
 $z() + tr((XY))$

is an isomorphism. (Compare the discussion in Goldman [12], 4.1, [13], Sections 4{5 and [14].)

For example, given $(x; y; z) \ 2 \mathbb{C}^3$, the representation de ned by

$$(X) = \begin{array}{ccc} X & -1 \\ 1 & 0 \end{array} ; \qquad (Y) = \begin{array}{ccc} 0 & ^{-1} \\ - & y \end{array}$$
(2.1.3)

satis es () = (x, y, z) where $2\mathbb{C}$ is chosen so that

+
$$^{-1} = Z$$
:

Conversely, if $(x; y; z) \ 2 \ \mathbb{C}^3$ then $^{-1}(x; y; z)$ consists of a single G{orbit if and only if $(x; y; z) \ \epsilon \ 2$ where is de ned below in (2.1.4). (This is also the condition that is an *irreducible representation* of . Compare Lubotzky{ Magid [20], Brum el{Hilden [4], Culler{Shalen [6].)

For any word W(X; Y), the function

Hom
$$(;G) -! \mathbb{C}$$

[] \forall ! tr $((W(X;Y))$

is *G*{invariant. Hence there exists a polynomial $f_w(x; y; z) \ge \mathbb{C}[x; y; z]$ such that

$$tr((W(X;Y)) = f_W(X();y();z()):$$

A particularly important example occurs for W(X; Y) = [X; Y] = K, in which case we denote $f_W(x; y; z)$ by (x; y; z). By an elementary calculation (see, for example [14]),

tr((K)) =
$$(x, y, z) := x^2 + y^2 + z^2 - xyz - 2$$
: (2.1.4)

The level set $^{-1}(t)$ consists of equivalence classes of representations : *! G* where (*K*) is constrained to lie in tr⁻¹(*t*). (Compare (2.1.1) and (2.1.2) above.)

2.2 Automorphisms

Let $G = SL(2;\mathbb{C})$. The group Aut() acts on the character variety Hom(; G) = G by:

$$([]) = [^{-1}]$$

and since

= ()

the subgroup Inn() acts trivially. Thus Out() acts on

Hom($G = G = \mathbb{C}^3$

and since an automorphism of is determined by

 $((X); (Y)) = (W_1(X;Y); W_2(X;Y));$

the action of on \mathbb{C}^3 is given by the three polynomials f_{W_1} ; f_{W_2} ; f_{W_3} :

$$\begin{array}{cccc} & & & & & \\ & & x^{3} & & & f_{W_{1}}(x;y;z)^{3} \\ & & & 4y^{5} \not z! & & 4f_{W_{2}}(x;y;z)^{5} \\ & & z & & f_{W_{3}}(x;y;z) \end{array}$$

where $w_3(X; Y) = w_1(X; Y) w_2(X; Y)$ is the product word. Hence Out() acts on \mathbb{C}^3 by *polynomial automorphisms*. Nielsen's theorem (Proposition 1.1.1) implies that any such automorphism preserves : $\mathbb{C}^3 - ! \mathbb{C}$, that is

$$(f_{W_1}(x, y; Z); f_{W_2}(x, y; Z); f_{W_1W_2}(x, y; Z)) = (x, y; Z):$$

2.2.1 Sign-change automorphisms

Some automorphisms of the character variety are not induced by automorphisms of . Namely, the homomorphisms of into the center $f \ Ig \ G$ form a group acting on Hom(; G) by pointwise multiplication. Let $2 \text{Hom}(; f \ Ig)$ and 2 Hom(; G). Then

is a homomorphism. This de nes an action

Hom(; f Ig) Hom(; G) -! Hom(; G):

Furthermore, since $f \ Ig$ is central in G and $K \ 2$ is a commutator

$$()(K) = (K):$$
 (2.2.2)

Since is free of rank two,

Hom(
$$f \mathbb{I}g$$
) = $\mathbb{Z}=2$ $\mathbb{Z}=2$.

The three nontrivial elements (0,1); (1,0); (1,1) of $\mathbb{Z}=2$ $\mathbb{Z}=2$ act on representations by 1, 2, 3 respectively:

$$\begin{array}{c} & \begin{pmatrix} X & 7! & (X) \\ Y & 7! & - (Y) \\ & & \begin{pmatrix} X & 7! & - (Y) \\ & & \chi \\ 2 & \vdots & & Y & 7! \\ & & Y & 7! & (Y) \end{array}$$

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$${}_{3} : \begin{pmatrix} X & 7! & - (X) \\ Y & 7! & - (Y) \end{pmatrix}$$

The corresponding action on characters is:

We call this group the *group of sign-changes* and denote it by Evidently preserves (x, y, z). (Alternatively apply (2.2.2).)

2.2.2 Permutations

Since (x; y; z) is symmetric in x; y; z the full symmetric group \mathfrak{S}_3 also acts on \mathbb{C}^3 preserving . Unlike , elements of \mathfrak{S}_3 are induced by automorphisms of . The group of all *linear* automorphisms of $(\mathbb{C}^3;)$ is generated by and \mathfrak{S}_3 and forms a semidirect product $\rtimes \mathfrak{S}_3$.

 \mathfrak{S}_3 is actually a quotient of . The *projective line* $\mathbb{P}^1(\mathbb{Z}=2)$ *over* $\mathbb{Z}=2$ has three elements, and every permutation of this set is realized by a projective transformation. Since $\mathbb{Z}=2$ has only one nonzero element, the projective automorphism group of $\mathbb{P}^1(\mathbb{Z}=2)$ equals $GL(2;\mathbb{Z}=2)$ and $GL(2;\mathbb{Z}=2) = \mathfrak{S}_3$. The action of $GL(2;\mathbb{Z})$ on $(\mathbb{Z}=2)^2$ de nes a homomorphism

$$\operatorname{GL}(2;\mathbb{Z})$$
 -! $\operatorname{GL}(2;\mathbb{Z}=2) = \mathfrak{S}_3$

whose kernel $GL(2;\mathbb{Z})_{(2)}$ is generated by the involutions

and $-\mathbb{I} 2 \operatorname{GL}(2;\mathbb{Z})_{(2)}$. The sequence

$$\operatorname{PGL}(2;\mathbb{Z})_{(2)} := \operatorname{GL}(2;\mathbb{Z})_{(2)} = f \operatorname{I} g - ! \operatorname{PGL}(2;\mathbb{Z}) - ! \mathfrak{S}_3$$

is exact. The kernel (2) of the composition

$$-!$$
 PGL(2;Z) $-!$ \mathfrak{S}_3 ;

equals the semidirect product

$$PGL(2;\mathbb{Z})_{(2)} \ltimes (\mathbb{Z}=2 \mathbb{Z}=2)$$

and is an extension

(2) -! -! \mathfrak{S}_3 :

(See Goldman{Neumann [15] for more extensive discussion of and its action on the the set of *complex points* of the character variety.)

2.2.3 Other automorphisms

For later use, here are several speci $\,c$ elements of $\,$. See also the appendix to this paper.

The *elliptic involution* is the automorphism

$$X \neq ! X^{-1}$$

 $Y \neq ! Y^{-1}$

corresponding to $-\mathbb{I} 2 \operatorname{GL}(2;\mathbb{Z})$ and acts trivially on characters.

The *Dehn twist* about X is the automorphism $\chi 2$ Aut()

inducing the automorphism of characters

and corresponds to

$$\begin{array}{ccc} 1 & 1 \\ 0 & 1 \end{array} 2 \operatorname{GL}(2; \mathbb{Z}).$$

The *Dehn twist* about *Y* is the automorphism $_Y 2$ Aut()

inducing the automorphism of characters

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and corresponds to

$$\begin{array}{ccc} 1 & 0 \\ 1 & 1 \end{array} 2 \operatorname{GL}(2; \mathbb{Z}): \end{array}$$

The quadratic reflection Q_z is the automorphism

inducing the automorphism of characters

and corresponds to

$$\begin{array}{ccc} 1 & 0 \\ 0 & -1 \end{array} 2 \, \mathrm{GL}(2;\mathbb{Z}): \\ \end{array}$$

2.3 Reducible characters

Any representation having character in $^{-1}(2)$ is reducible, as can be checked by direct calculation of commutators in $SL(2,\mathbb{C})$. Namely, let $\therefore 2 SL(2,\mathbb{C})$. We may write

$$= \begin{array}{c} a & b \\ c & d \end{array}$$

where ad - bc = 1. By applying an inner automorphism, we may assume that is in Jordan canonical form. If is diagonal,

$$= \begin{array}{c} 0 \\ 0 \\ -1 \end{array}$$

then

$$tr[;] = 2 + bc(-^{-1})^2$$

implies that if tr[;] = 2, then either = \mathbb{I} or bc = 0 (so is upper-triangular or lower-triangular). Otherwise

$$= \begin{array}{ccc} 1 & s \\ 0 & 1 \end{array}$$

(where $s \neq 0$), in which case

$$tr[:] = 2 + s^2 c^2 - 2$$
 (2.3.1)

so tr[;] = 2 implies that c = 0 and c = 0 is upper-triangular. Thus if tr((K)) = 2, then is conjugate to an upper-triangular representation.

We may replace by its *semisimpli cation*, that is the upper-triangular matrices by the corresponding diagonal matrices (their semisimple parts) to obtain a representation by diagonal matrices having the same character:

$$(X) = \begin{array}{c} 0 & -1 \\ (Y) = \begin{array}{c} 0 & -1 \\ (XY) = \begin{array}{c} 0 & -1 \end{array} \end{array}$$
(2.3.2)
$$(XY) = \begin{array}{c} 0 & -1 \end{array}$$

where = . Thus

$$\begin{aligned} x &= + \ ^{-1}; \\ y &= + \ ^{-1}; \\ z &= + \ ^{-1}: \end{aligned}$$
 (2.3.3)

(2.3.3) corresponds to the following factorization (2.3.4) of

$$(x; y; z) - 2 = x^{2} + y^{2} + z^{2} - xyz - 4z$$

Under the embedding

$$\mathbb{C}[x;y;z] -! \quad \mathbb{C}[z; -1; z; -1; z; -1]$$

de ned by (2.3.3), the polynomial (x; y; z) - 2 factors:

$$(+ -1) + -1 + -1) - 2 = (2.3.4)$$

$$-2(1 - -1)(1 - -1)(1 - -1)(1 - -1):$$

Given (x; y; z) with (x; y; z) = 2, the triple (z; z; z) is only defined up to an action of $\mathbb{Z}=2$. Namely the automorphisms

act on (;;;) by:

respectively.

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2.4 The Poisson structure

As in Goldman [13],Section 5.3, the automorphisms preserving are unimodular and therefore preserve the exterior bivector eld as well

$$= \frac{1}{2^{-2}}d \quad \frac{@}{@x} \wedge \frac{@}{@y} \wedge \frac{@}{@z}$$
$$= \frac{1}{2^{-2}} \quad (2x - yz)\frac{@}{@y} \wedge \frac{@}{@z}$$
$$+ (2y - zx)\frac{@}{@z} \wedge \frac{@}{@x}$$
$$+ (2z - xy)\frac{@}{@x} \wedge \frac{@}{@y}$$

Į.

which restricts to (the dual of) an area form on each level set $^{-1}(t)$ which is invariant under Out(). We shall always consider this invariant measure on $^{-1}(t) \ \mathbb{R}^3$. In the case when $^{-1}(t)$ has a rational parametrization by an a ne plane, that is, when t = 2, the above bivector eld is the image of the constant bivector eld under the parametrization:

$$\mathbb{C} \quad \mathbb{C} \quad -! \quad \stackrel{-1}{2} \stackrel{(2)}{e + e^{-}} \quad 3$$

$$(;) \quad 7! \quad 4 \quad e + e^{-} \quad 5$$

$$e^{+} + e^{-(+)}$$

$$\frac{@}{@} \quad \wedge \frac{@}{@} \quad 7! \quad 2^{-2}$$

The bivector eld de nes a *Poisson structure* on the moduli space \mathbb{C}^3 for which de nes a *Casimir function*.

(The coe cient 2 ² occurs to normalize the area of the compact component $C_{\mathcal{K}}$ of reducible SU(2) {characters to 1.} Here we study the action of Out() on the set $^{-1}(t) \setminus \mathbb{R}^3$ of \mathbb{R} {points of $^{-1}(t)$ where $t \ 2 \mathbb{R}$, with respect to this invariant measure.

2.5 The orthogonal representation

To describe the structure of the character variety more completely, we use a 3{ dimensional orthogonal representation associated to a character $(x, y, z) \ 2 \ \mathbb{C}^3$. (Compare Section 4.2 of Goldman [12] and Brum el{Hilden [4].) This will be

used to identify real characters as characters of representations into the real forms $SL(2;\mathbb{R})$ and SU(2) of $SL(2;\mathbb{C})$.

Consider the complex vector space \mathbb{C}^3 with the standard basis fe_1 ; e_2 ; e_3g and bilinear form dened by the symmetric matrix

$$\mathbb{B} = \begin{array}{ccc} 2 & z & y^{3} \\ \mathbb{B} = \begin{array}{ccc} 4z & 2 & x^{5} \\ y & x & 2 \end{array}$$

Since

$$\det(\mathbb{B}) = -2 \quad (x; y; z) - 2$$

(where (x; y; z) is defined in (2.1.4)), the symmetric bilinear form is nondegenerate if and only if $(x; y; z) \neq 2$.

Assume $(x; y; z) \notin 2$ so that \mathbb{B} is nondegenerate. Let $SO(\mathbb{C}^3; \mathbb{B}) = SO(3; \mathbb{C})$ denote the group of unimodular linear transformations of \mathbb{C}^3 orthogonal with respect to \mathbb{B} . The local isomorphism

$$: SL(2;\mathbb{C}) -! SO(\mathbb{C}^3;\mathbb{B})$$

is a surjective double covering, equivalent to the adjoint representation (or the representation on the second symmetric power of \mathbb{C}^2), and is unique up to composition with automorphisms of $SL(2;\mathbb{C})$ and $SO(3;\mathbb{C})$.

For any vector $v \mathcal{2} \mathbb{C}^3$ such that $\mathbb{B}(v; v) \neq 0$, the reflection

$$R_{V}: x \not\in ! \quad x - 2 \frac{\mathbb{B}(V; x)}{\mathbb{B}(V; V)} V$$

$$(2.5.1)$$

is a \mathbb{B} {orthogonal involution.

Let $\mathbb{Z}=2$? $\mathbb{Z}=2$? $\mathbb{Z}=2$ be the free product of cyclic groups hR_ii , where i = 1/2/3, and $R_i^2 = \mathbb{I}$. The homomorphism

embeds as an index two subgroup of $\mathbb{Z}=2$? $\mathbb{Z}=2$? $\mathbb{Z}=2$?

Lemma 2.5.1 Let 2 Hom(; G) satisfy $(x; y; z) \notin 2$. The restriction to of the representation ^: $\mathbb{Z}=2$? $\mathbb{Z}=2$? $\mathbb{Z}=2$ -! SO(\mathbb{C}^3 ; \mathbb{B}) de ned by $R_i \not\in R_{e_i}$ is conjugate to the composition : -! SO(\mathbb{C}^3 ; \mathbb{B}).

See Section 4.2 of Goldman [12], or Brum el{Hilden [4] for a proof.

2.6 The set of \mathbb{R} {points

A real character is the character of a representation into a *real form* of G. (Proposition III.1.1 of Morgan{Shalen [26]). The above orthogonal representation gives an explicit form of this result.

Suppose $(x; y; z) \ 2 \ \mathbb{R}^3$ and $(x; y; z) \ \epsilon 2$. Then the restriction of \mathbb{B} to \mathbb{R}^3 is a nondegenerate symmetric \mathbb{R} {valued bilinear form (also denoted \mathbb{B}), which is either inde nite or (positive) de nite. Let SO(\mathbb{R}^3 ; \mathbb{B}) denote the group of unimodular linear transformations of \mathbb{R}^3 orthogonal with respect to \mathbb{B} .

There are two conjugacy classes of real forms of *G*, compact and noncompact. Every compact real form of *G* is conjugate to SU(2) and every noncompact real form of *G* is conjugate to SL(2; \mathbb{R}). Speci cally, if \mathbb{B} is positive de - nite, then SO(\mathbb{R}^3 ; \mathbb{B}) is conjugate to SO(3) and $^{-1}(SO(\mathbb{R}^3; \mathbb{B}))$ is conjugate to SU(2). If \mathbb{B} is inde nite, then SO(\mathbb{R}^3 ; \mathbb{B}) is conjugate to either SO(2; 1) or SO(1; 2) (depending on whether (x; y; z) > 2 or (x; y; z) < 2 respectively) and $^{-1}(SO(\mathbb{R}^3; \mathbb{B}))$ is conjugate to SL(2; \mathbb{R}). Thus every real character $(x; y; z) \ge 2 \mathbb{R}^3$ is the character of a representation into either SU(2) or SL(2; \mathbb{R}).

When $x, y, z \in \mathbb{R}$, the restriction of \mathbb{B} to \mathbb{R}^3 is denite if and only if -2x, y, z = 2 and (x, y, z) < 2.

The coordinates of characters of representations $_1(M) -!$ SU(2) satisfy:

 $-2 \quad x'_{i}y'_{i}z \quad 2'_{i} \qquad x^{2} + y^{2} + z^{2} - xyz - 2 \quad 2$

and, for xed $t \ge [-2/2]$, comprise a component of $^{-1}(t) \setminus \mathbb{R}^3$. The other four connected components of $^{-1}(t) \setminus \mathbb{R}^3$ consist of characters of SL(2, \mathbb{R}) { representations. These four components are freely permuted by . For t < -2, all four components of the relative character variety $^{-1}(t) \setminus \mathbb{R}^3$ consist of characters of SL(2, \mathbb{R}) {representations and are freely permuted by . For t > 2, the relative character variety $^{-1}(t) \setminus \mathbb{R}^3$ is connected and consists of characters of SL(2, \mathbb{R}) {representations.

The two critical values 2 of tr: $G -! \mathbb{C}$ deserve special attention. When t = -2, a representation 2 Hom(; G) with tr (K) = -2 is a regular point of the mapping

$$E_{\mathcal{K}}: \text{ Hom}(; G) - ! G$$
$$7! \quad (\mathcal{K}):$$

Such a representation is a regular point of the composition

tr
$$E_{\mathcal{K}}$$
: Hom($;G$) –! \mathbb{C}

unless (K) = -I. In the latter case (when [(X); (Y)] = -I), the representation is conjugate to the *quaternion representation in* SU(2)

$$(X) = \begin{array}{ccc} i & 0 \\ 0 & -i \end{array}; \quad (Y) = \begin{array}{ccc} 0 & -1 \\ 1 & 0 \end{array}$$
(2.6.1)

In both cases, *G* acts locally freely on the subset (tr $E_{\mathcal{K}}$)⁻¹(-2) of Hom(;G) with quotient $^{-1}(-2)$.

Now consider the case t = 2. As in Section 2.3, the \mathbb{R} {points of $^{-1}(2)$ correspond to reducible representations, and in fact are characters of representations with values in the Cartan subgroups (maximal tori) of the real forms SU(2) and SL(2; \mathbb{R}). Every Cartan subgroup of SU(2) is conjugate to U(1), and every Cartan subgroup of SL(2; \mathbb{R}) is conjugate to either SO (2) or SO (1;1). Characters of reducible SU(2) {representations form the compact set

$$C_{K} = {}^{-1}(2) \setminus [-2/2]^{3}$$

which identi es with the quotient of the 2{torus U(1) U(1) by f Ig under the extension (2.3.3).

Characters of reducible SL(2; \mathbb{R}) {representations comprise four components (related by $C_i = {}_i C_0$ for i = 1, 2, 3):

$$C_{0} = {}^{-1}(2) \setminus [2; 7] [2; 7] [2; 7] ;$$

$$C_{1} = {}^{-1}(2) \setminus [2; 7] (-7; -2] (-7; -2] ;$$

$$C_{2} = {}^{-1}(2) \setminus (-7; -2] [2; 7] (-7; -2] ;$$

$$C_{3} = {}^{-1}(2) \setminus (-7; -2] (-7; -2] [2; 7] ;$$

each of which identi es with the quotient of \mathbb{R}_+ \mathbb{R}_+ by f Ig under (2.3.3). These four components are freely permuted by \cdot . There is a compact component of equivalence classes of SL(2; \mathbb{R}) {representations which are irreducible but not *absolutely irreducible* | that is, although \mathbb{R}^2 is an irreducible {module, its complexi cation is reducible. In that case the representation is conjugate to a representation in SO (2). This component agrees with the component C_K consisting of characters of reducible SU(2) {representations.

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3 Hyperbolic structures on tori (t < 2)

The topology and dynamics change dramatically as t changes from t < -2 to t > 2. The level sets t < -2 correspond to Fricke spaces of one-holed tori with geodesic boundary. The level set for t = -2 consists of four copies of the Teichmüller space of the punctured torus, together with f(0,0,0)g. (The origin is the character of the quaternion representation in SU(2).) The origin is xed under the action, while acts properly on its complement in $^{-1}(-2)$. (However the action on the set of *complex points* of $^{-1}(-2)$ is extremely nontrivial and mysterious; see Bowditch [3].)

The level sets for -2 < t < 2 correspond to Teichmüller spaces of singular hyperbolic structures with one singularity, as well as a (compact) component consisting of characters of unitary representations. Except for the component of unitary representations, there are four components, freely permuted by . Except for the component of unitary representations, the {action is proper.

3.1 Complete hyperbolic structures (t - 2)

The theory of deformations of geometric structures implies that acts properly on certain components of $^{-1}(t)$. When t < 2, the moduli space $^{-1}(t)$ contains 4 contractible noncompact components, freely permuted by . (When -2t < 2, an additional compact component corresponds to SU(2) {representations.) These contractible components correspond to SL(2; \mathbb{R}) {representations, and each one identi es with the Teichmüller space of M, with certain boundary conditions. Speci cally, if t < -2, then these components correspond to hyperbolic structures on int(M) with geodesic boundary of length $2 \cosh^{-1}(-t=2)$. For t = -2, these components correspond to complete hyperbolic structures on int(M) and identify with the usual Teichmüller space \mathfrak{T}_M . For -2 < t < 2, these components correspond to singular hyperbolic structures on a torus whose singularity is an isolated point with cone angle

$$= 2 \cos^{-1}(-t=2)$$

3.2 Complete structures and proper actions

We begin with the case t < -2. Then each component of $^{-1}(t) \setminus \mathbb{R}^3$ parametrizes complete hyperbolic structures on $int(\mathcal{M})$ with a closed geodesic parallel

to *@M* having length
$$2 \cosh^{-1}(-t=2)$$
. Thus the union

$$\begin{bmatrix} & -1 \\ t & -2 \end{bmatrix}$$

consists of four copies of the Fricke space of M. This space contains equivalence classes of marked complete hyperbolic structures on int(M), not necessarily of nite area. The properness of the action of the mapping class group $_0(\text{Homeo}(M))$ on the Fricke space of M implies properness of the {action of $^{-1}(t)$. (For proof, see Section 2.2 of Abiko [1], Bers{Gardiner [2] 6.5.6 (page 156) of Buser [5], 6.3 of Imayoshi{Tanigawa [19], 2.4.1 of Harvey [16], or Section 2.7 of Nag [28].)

When t = -2, then $^{-1}(t)$ has ve connected components. It is the union of the single (singular) point (0,0,0) and four copies of the Teichmüller space \mathfrak{T}_M of M. Teichmüller space \mathfrak{T}_M consists of equivalence classes of marked complete mits area human blic structures on M. The group sets properly on $^{-1}(t)$

nite-area hyperbolic structures on *M*. The group acts properly on $^{-1}(t)$ and xes (0,0,0).

3.3 Singular hyperbolic structures on tori (-2 < t < 2)

We next consider the case 2 > t > -2. Here $^{-1}(t)$ has ve connected components. One component C_t is compact and consists of unitary characters, while the other four components correspond to singular hyperbolic structures on a torus with a cone point. C_t is di eomorphic to S^2 , and the symplectic structure is a smooth area form. acts ergodically on C_t . See Section 5 of Goldman [13] for a detailed discussion.

Consider next the four noncompact components of $^{-1}(t)$. This case is similar to the previous case, except that the ends of M are replaced by cone points on a torus. Using the properness of the mapping class group action on Teichmüller space, the action on these components of the relative character variety remains proper. However, none of the corresponding representations in Hom(;G) are discrete embeddings. Generically these representations are isomorphisms onto dense subgroups of SL(2; \mathbb{R}).

We rst show (Theorem 3.4.1) that every representation in these components is a lift (to SL(2; \mathbb{R})) of the holonomy representation of a singular hyperbolic structure. In Section 3.5 we deduce that acts properly on the level sets $^{-1}(t)$ where -2 < t < 2.

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3.4 Construction of hyperbolic structures on T^2 with one cone point

Let > 0 and let \mathfrak{C} denote the space of hyperbolic structures on \mathcal{T}^2 with a conical singularity of cone angle . By results of McOwen [25] and Troyanov [33], \mathfrak{C} identi es with the Teichmüller space $\mathfrak{T}()$, the deformation space of conformal structures on \mathcal{T}^2 singular at one point with cone angle .

Theorem 3.4.1 Suppose $\therefore 2 \operatorname{SL}(2; \mathbb{R})$ and := [:] is elliptic of rotation angle . Let M denote a one-holed torus whose fundamental group has the presentation (1.1.2). Then there exists a singular hyperbolic structure on Mwith a singularity of cone angle having holonomy representation defined by

$$(X) = ; (Y) = ; (K) = :$$

The proof will be based on the following.

Lemma 3.4.2 Let $p \ge H^2$ be a point xed by and consider the points

$$p_{4} = p;$$

$$p_{3} = {}^{-1}p;$$

$$p_{2} = {}^{-1} {}^{-1}p;$$

$$p_{1} = {}^{-1} {}^{-1}p;$$

The four points p_1 ; p_2 ; p_3 ; p_4 are the vertices of an embedded quadrilateral **Q**. In other words the four segments

$$l_1 = \overline{p_1 p_2}$$
$$l_2 = \overline{p_2 p_3}$$
$$l_3 = \overline{p_3 p_4}$$
$$l_4 = \overline{p_4 p_1}$$

are disjoint and bound a quadrilateral.

Proof of Theorem 3.4.1 assuming Lemma 3.4.2

maps p_1 (respectively p_2) to p_4 (respectively p_3). Therefore maps the directed edge l_1 to l_3 with the opposite orientation. Similarly maps p_2 (respectively p_3) to p_1 (respectively p_4) so maps l_2 to l_4 with the opposite orientation.

From the embedding of \mathbf{Q} and the identications of its opposite sides by \mathbf{Q} and , we construct a developing map for a (singular) hyperbolic structure on M as

follows. Let *Q* denote an *abstract quadrilateral*, that is, a cell complex with a single 2{cell, four 1{cells (the *sides*), and four 0{cells (the *vertices*). Denote the oriented edges L_i numbered in cyclic order and the vertices p_i (i = 1/2/3/4) where

$$@L_1 = fp_1; p_2g; @L_2 = fp_2; p_3g$$

 $@L_3 = fp_3; p_4g; @L_4 = fp_4; p_1g;$

Let L_i denote L_i with the opposite orientation. Write

$$\ddot{Q} = Q - f p_1; p_2; p_3; p_4g;$$

Choose orientation-preserving homeomorphisms

~:
$$L_1 -! L_3$$
; ~: $L_2 -! L_4$;

Let = hX; *Y i* denote the free group generated by *X*; *Y*. A model for the universal covering *M* of *M* is the quotient space of the Cartesian product

by the equivalence relation generated by the identi cations

for $x \ 2 \ L_1$, and

$$(X_{i}^{*}) = ! (\sim(X)_{i}^{*}Y)$$

for $x \ge L_2$. The action of on Q defined by the trivial action on Q and right-multiplication on descends to a free proper action of on M. This action corresponds to the action of deck transformations. Let $: -! \ G$ be the homomorphism defined by:

$$(X) = (Y) =$$

The embedding $\ddot{Q} - !$ **Q** together with the identi cations of the sides of **Q** extends uniquely to a {equivariant local homeomorphism M - ! **H**². The holonomy around the puncture

$$([X; Y]) = [;] =$$

is elliptic of rotation angle xing p. The resulting hyperbolic structure extends to a singular hyperbolic structure on M with a singularity of cone angle . Thus Theorem 3.4.1 reduces to Lemma 3.4.2.

The proof of Lemma 3.4.2 is based on the following two lemmas.

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Figure 5: A convex embedded quadrilateral



Figure 6: When $\overline{p_3p_4}$ meets $\overline{p_1p_2}$

Lemma 3.4.3 The points p_1 ; p_2 ; p_3 ; p_4 are not collinear.

Lemma 3.4.4 If the sequence of points p_1 ; p_2 ; p_3 ; p_4 are not the vertices of an embedded quadrilateral, then either l_1 and l_3 intersect, or l_2 and l_4 intersect.

Proof of Lemma 3.4.3 Suppose that p_1 ; p_2 ; p_3 ; p_4 are collinear. If more than one line contains p_1 ; p_2 ; p_3 ; p_4 , then all the points coincide and xes this point,





Figure 8: A nonconvex embedded quadrilateral

contradicting $\mathbf{\bullet} \mathbb{I}$. Thus a unique line / contains $p_1; p_2; p_3; p_4$. We claim that / is {invariant.

If $p_3 = p_4$, then xes p and since = -1 - 1 xes p, so must -1 - 1 x p. Since = [: :] is nontrivial, both and are nontrivial. Thus maps the unique xed point of to the unique xed point of -1, and both and x p, contradicting $\neq \mathbb{I}$. Thus $p_3 \neq p_4$ and / is the unique line containing p_3 and p_4 .

 $(p_2) = p_3$ and $(p_1) = p_4$ imply that $p_1 \neq p_2$. Thus / is the unique line containing p_1 and p_2 , and is {invariant. Similarly / is {invariant and thus (){invariant, as claimed.

The commutator subgroup of the stabilizer of a line / consists of hyperbolic elements, contradicting ellipticity of \Box

Proof of Lemma 3.4.4 If $x_i y \ge \mathbf{H}_i^2$ are distinct points, let x y denote the line passing through x and y. If $z \ge xy$, let $\mathcal{H}_{x,y}(z)$ denote the component (a half-space) of $\mathbf{H}^2 - xy$ containing z, and $\mathcal{H}_{x,y}(z)$ denote the complementary component so that:

$$\mathbf{H}^2 = H_{X,Y}(z) \quad q \quad xy \quad q \quad \hat{H}_{X,Y}(z)$$

Suppose rst that no three of the points p_1 ; p_2 ; p_3 ; p_4 are collinear. There are four cases, depending on where p_4 lies in relation to the decompositions determined by the lines p_1p_2 and p_2p_3 :

- (1) $p_4 \ 2 \ H_{p_1p_2}(p_3) \ \ H_{p_2p_3}(p_1);$
- (2) $p_4 \ 2 \ \hat{H}_{p_1 p_2}(p_3) \ \setminus H_{p_2 p_3}(p_1);$
- (3) $p_4 \ 2 \ H_{p_1p_2}(p_3) \ \setminus \hat{H}_{p_2p_3}(p_1);$
- (4) $p_4 \ 2 \ \hat{H}_{p_1 p_2}(p_3) \ \setminus \ \hat{H}_{p_2 p_3}(p_1).$

In the rst case p_1 ; p_2 ; p_3 ; p_4 are the vertices of a convex quadrilateral. (Compare Figure 5.) In the second case, $\overline{p_3p_4}$ meets $\overline{p_1p_2}$. (Compare Figure 6.) In the third case, $\overline{p_2p_3}$ meets $\overline{p_4p_1}$. (Compare Figure 7.) In the fourth case p_1 ; p_2 ; p_3 ; p_4 are the vertices of an embedded (nonconvex) quadrilateral. (Compare Figure 8.)

Suppose next that three of the vertices are collinear. By Lemma 3.4.3, not all four vertices are collinear. By possibly conjugating by ; etc., we may assume that $p_1; p_2; p_3$ are collinear. If p_2 lies between p_1 and p_3 , then $p_1; p_2; p_3; p_4$ are the vertices of an embedded quadrilateral (with a straight angle at p_2). Otherwise $\overline{p_2p_3}$ meets $\overline{p_4p_1}$ at p_1 . This completes the proof of Lemma 3.4.4.

Returning to the proof of Lemma 3.4.2, we show that l_1 and l_3 cannot intersect; an identical proof implies l_2 and l_4 cannot intersect.

Claim Suppose that l_1 and l_3 intersect. Then $l_1 \setminus l_3$ is a point q and the triangles $4(qp_1p_4)$ and $4(qp_3p_2)$ are congruent.

Proof of Claim If $l_1 \setminus l_3$ is not a point, then it is a segment, contradicting Lemma 3.4.3. Since $(l_1) = l_3$ and $(l_2) = l_4$, the lengths of opposite sides are equal:

$$d(p_1; p_2) = {}^{\prime}(l_1) = {}^{\prime}(l_3) = d(p_4; p_3);$$

$$d(p_2; p_3) = {}^{\prime}(l_2) = {}^{\prime}(l_4) = d(p_1; p_4)$$

Since the lengths of the corresponding sides are equal, the triangle $4(p_2p_1p_4)$ is congruent to $4(p_4p_3p_2)$. In particular

$$\angle(\rho_2\rho_1\rho_4) = \angle(\rho_4\rho_3\rho_2)$$
:

Similarly, $\angle (p_3 p_4 p_1) = \angle (p_1 p_2 p_3)$. Now

$$\angle (qp_1p_4) = \angle (p_2p_1p_4) = \angle (p_4p_3p_2) = \angle (qp_3p_2)$$

and similarly, $\angle(qp_4p_1) = \angle(qp_2p_3)$. Since $l_4 = \overline{p_1p_4}$ is congruent to $l_2 = \overline{p_3p_2}$, triangles $\mathcal{A}(qp_1p_4)$ and $\mathcal{A}(qp_3p_2)$ are congruent as claimed.

Now let / be the line through *q* bisecting the angle $\angle(p_1qp_3)$ such that reflection *R* in / interchanges $\mathcal{A}(qp_1p_4)$ and $\mathcal{A}(qp_3p_2)$. Since

$$R: \begin{array}{ccccc} & & & & & \\ & & & & \\ & & & & \\$$

R interchanges p_3 and p_4 , and *R* interchanges p_2 and p_3 . Since an orientation-reversing isometry of \mathbf{H}^2 which interchanges two points must be reflection in a line, *R* and *R* have order two. Thus *R* conjugates to $^{-1}$ and to $^{-1}$.

One of two possibilities must occur:

At least one of and is elliptic or parabolic;

Both and are hyperbolic, and their invariant axes are each orthogonal to *I*.

Neither possibility occurs, due to the following:

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Lemma 3.4.5 Let \therefore 2 SL(2; \mathbb{R}). The following conditions are equivalent:

tr[;] < 2;

; are hyperbolic elements and their invariant axes cross.

Proof Assuming tr[;] < 2, we rst show that and must be hyperbolic. We rst show that is not elliptic. If is elliptic (or I), we may assume that 2 SO(2), that is, we represent ; by matrices

$$= \frac{\cos(\) -\sin(\)}{\sin(\) \cos(\)} \quad = \quad \frac{a \ b}{c \ d} \quad$$

where ad - bc = 1, whence

tr[:] = 2 + sin²()(
$$\partial^2 + b^2 + c^2 + d^2 - 2$$
) 2:

Similarly if is parabolic, (2.3.1) implies that tr[;] 2.

Thus is hyperbolic. Since $[\ ; \] = [\ ; \]^{-1}$, an identical argument shows that is hyperbolic. Denote their invariant axes by $/ \ ; /$ respectively.

It remains to show that tr[;] < 2 if and only if $/ \setminus / \neq$;.

By conjugation, we may assume that the xed points of are 1 and that the xed points of are r; 1. Thus $l \setminus l \neq$; if and only if -1 < r < 1. Represent ; by matrices

 $= \frac{\cosh(1)}{\sinh(1)} \frac{\sinh(1)}{\sin(1)} = \frac{e}{10} \frac{-2r\sinh(1)}{e^{-1}} = \frac{e}{10} \frac{-2r\sinh(1)}{e^{-1}} = \frac{1}{2} \frac{1}{10} \frac{1}{10}$

where $\therefore \neq 0$ and

tr[
$$;$$
] = 2 + 4(r^2 - 1) sinh²() sinh²():

Then tr[;] < 2 if and only if -1 < r < 1 and tr[;] = 2 if and only if r = -1, as desired.

This completes the proof of Lemma 3.4.2 (and also Theorem 3.4.1). $\hfill \Box$

3.5 Properness

That acts properly on $^{-1}(t) \setminus \mathbb{R}^3$ now follows easily. The construction in Theorem 3.4.1 gives a map

$$^{-1}(t) \setminus [2; \mathcal{I})^3 -! \mathfrak{I}()$$
 (3.5.1)

which is evidently Out() {equivariant. Every hyperbolic structure with conical singularities has an underlying *singular conformal structure*, where the singularities are again conical singularities, that is, they are de ned by local coordinate charts to a model space, which in this case is a cone. However, there is an important di erence. Conical singularities in conformal structures are *removable*, while conical singularities in Riemannian metrics are not.

Here is why conformal conical singularities are removable: Let $D^2 = \mathbb{C}$ be the unit disk and let

$$S := fz \ 2 \ D^2 \ j \ 0 \quad \arg(z) \qquad g$$

be a sector of angle . Then

$$S = f0g -! D^2 - f0g$$

 $z = 7! z^2 = 0$

is conformal. The model coordinate patch for a cone point of angle is the *cone* C of angle , de ned as the identi cation space C of S by the equivalence relation de ned by

for $z \ 2 \ @S$. That is, a cone point p has a coordinate patch neighborhood U and a coordinate chart $: U \ -! \ C$ in the atlas defining the singular geometric structure. The power map defines a conformal isomorphism between the C punctured at the cone point and a punctured disk (a \cone" of angle 2). Replacing the coordinate chart $: U \ -! \ C$ at a cone point p of angle by the composition gives a coordinate atlas for a conformal structure which is nonsingular at p and isomorphic to the original structure on the complement of p.

The resulting map $\mathfrak{T}() -! \mathfrak{T}_{\mathcal{M}}$ is evidently Out() {equivariant. Since Out() acts properly on $\mathfrak{T}_{\mathcal{M}}$, Out() acts properly on $\mathfrak{T}()$, and hence on $^{-1}(t) \setminus \mathbb{R}^3$ as well.

With more work one can show that (3.5.1) is an isomorphism. For any hyperbolic structure on T^2 with a cone point p of angle 0 < < 2, the Arzela{Ascoli theorem (as in Buser [5] Section 1.5) applies to represent ; by geodesic loops based at p. Furthermore these geodesics intersect only at p. By developing this singular geometric structure one obtains a polygon \mathbf{Q} as above.

4 Reducible characters (t = 2)

The level set $^{-1}(2)$ consists of characters of reducible representations. Over \mathbb{C} such a representation is upper-triangular (2.3.2) with character de ned by

(2.3.3). By (2.3.4), every $(x, y, z) 2^{-1}(2)$ lies in the image of the map

The set of \mathbb{R} {points $^{-1}(2) \setminus \mathbb{R}^3$ is a singular algebraic hypersurface in \mathbb{R}^3 , with singular set

$$S_{0} = \begin{bmatrix} 82 & 3 & 2 & 3 & 2 & -3 & 2 & -3 & 2 \\ < 2 & 2 & 2 & -2 & -2 & -2 & = \\ 425 & 4 & -25 & 4 & 2 & 5 & 4 & -25 \\ 2 & -2 & -2 & 2 & 2 \end{bmatrix};$$

Characters in S_0 correspond to unipotent representations twisted by central characters (as in the sense of (2.2.1)). A central character is a homomorphism taking values in the center $f \ Ig$ of G and a unipotent representation is a representation in U, where U is a unipotent subgroup of G. A reductive representation with character in S_0 is itself a central character. The most general representation with character in S_0 is one taking values in U, where U is a unipotent representation U, where U is a unipotent subgroup of G. The character (2;2;2) is the character of any unipotent representation, for example the trivial representation. The other three points are images of (2;2;2) by the three nontrivial elements of U.

The smooth stratum of $^{-1}(2)$ is the complement

 $^{-1}(2) \ \ \mathbb{R}^3 - S_0$

Denote its ve components by C_K and C_i , where i = 0, 1, 2, 3. Here C_0 denotes the component ${}^{-1}(2) \setminus [2, 7)^3$ and $C_i = (i) C_0$ where (i) 2 is the sign-change automorphism xing the *i*-th coordinate (Section 2.2.1).

The component $C_{\mathcal{K}}$ corresponds to reducible SU(2) {representations which are *non-central*, that is, their image does not lie in the center $f \ \mathbb{I}g$ of SU(2). The closure of $C_{\mathcal{K}}$ is the union of $C_{\mathcal{K}}$ with S_0 . The map

U(1) U(1) -!
$$C_{\mathcal{K}}$$

(;) $\mathcal{F}!$ (+ -1; + -1; + -1)

is a double branched covering space, with deck transformation

$$(;)$$
 $7!$ $(^{-1}; ^{-1})$

and four branch points

(;) = (1; 1)

which map to S_0 .



Figure 9: Level sets of $(x^2 - 4)(y^2 - 4)$

Similarly, each of the other components identi es with the quotient $(\mathbb{R}_+)^2 = f \ \mathbb{I}g$ with the action of $GL(2;\mathbb{Z})$. For example, C_0 is the image of the double branched covering

with deck transformation

$$(;) \neq ! ((-1; -1)):$$

For i = 1/2/3, the component C_i is the image of C_0 under the sign-change $\binom{i}{j}$. Composing with the $GL(2/\mathbb{Z})$ (equivariant di eomorphisms

$$\mathbb{R}^2 -! \mathbb{R}_+ \mathbb{R}_+$$

$$(\sim; \sim) \neq ! \quad (\exp(\sim); \exp(\sim))$$

and

$$(\mathbb{R}=\mathbb{Z})^2 -! U(1) U(1)$$

(~; ~) \forall ! (exp(2 i~); exp(2 i~))

respectively, yields $GL(2,\mathbb{Z})$ {equivariant double branched coverings

$$\mathbb{R}^2$$
 -! C_i and $(\mathbb{R}=\mathbb{Z})^2$ -! C_K

respectively. Furthermore these mappings pull back the invariant area form on $^{-1}(2) \setminus \mathbb{R}^3$ to Lebesgue measure on \mathbb{R}^2 .

Since $SL(2;\mathbb{Z})$ is a lattice in $SL(2;\mathbb{R})$ and $SL(2;\mathbb{R})$ acts transitively on \mathbb{R}^2 with noncompact isotropy group, Moore's ergodicity theorem (Moore [27]; see also Feres [7], Zimmer [34] or Margulis [24]) implies that $SL(2;\mathbb{Z})$ acts ergodically on \mathbb{R}^2 . Thus $GL(2;\mathbb{Z})$ acts ergodically on $\mathbb{R}^2 = f \ \mathbb{I}g$ and $(\mathbb{R}=\mathbb{Z})^2 = f \ \mathbb{I}g$, and hence on each of the components

$$C_0$$
; C_1 ; C_2 ; C_3 ; C_K $^{-1}(2) \setminus \mathbb{R}^3$:

Since permutes C_0 ; C_1 ; C_2 ; C_3 , the {action on their union

$$C_0 [C_1 [C_2 [C_3 = ^{-1}(2) \setminus \mathbb{R}^3 - C_K [S_0] = (\mathbb{R} \quad \mathbb{R}) = f \ \mathbb{I}g$$

is ergodic. (In the case of $C_{\mathcal{K}}$, any hyperbolic element in $GL(2;\mathbb{Z})$ acts ergodically on U(1) U(1) and hence on C_0 , a much stronger result.)

5 Three-holed spheres and ergodicity (t > 2)

Next we consider the level sets where t > 2. There is an important di erence between the cases when t > 18 and 2 < t 18. When t 18, the {action is ergodic, but when t > 18, wandering domains appear, arising from the Fricke spaces of a three-holed sphere P (\pair-of-pants"). The three-holed sphere is the only other orientable surface homotopy-equivalent to a one-holed torus, and homotopy equivalences to hyperbolic manifolds homeomorphic to P de ne points in these level sets when t > 18, which we call *discrete* P{*characters* However, the {action on the complement of the discrete P{*characters* is ergodic.

5.1 The Fricke space of a three-holed sphere.

When t > 18, the octant

$$_{0} = (-1; -2) \quad (-1; -2) \quad (-1; -2)$$

intersects $^{-1}(t)$ in a wandering domain and the images of $_0 \setminus ^{-1}(t)$ are freely permuted by . Let

= 0.2

Characters in correspond to discrete embeddings : -! SL(2; \mathbb{R}) where the complete hyperbolic surface $\mathbf{H}^2 = ($) is di eomorphic to a three-holed

sphere. We call such a discrete embedding a *discrete P* {*embedding*, and its character a *discrete P* {*character*.

The fundamental group $_1(P)$ is free of rank two. A pair of boundary components $@_1; @_2$, an orientation on P, and a choice of arcs $_1; _2$ from the basepoint to $@_1; @_2$ determines a pair of free generators of $_1(P)$:

$$X := (1)^{-1} ? @_1 ? 1$$

$$Y := (2)^{-1} ? @_2 ? 2:$$

A third generator $Z := (XY)^{-1}$ corresponds to the third boundary component, obtaining a presentation of $_1(P)$ as

$$hX; Y; Z j X Y Z = 1i:$$

Elements of $_0$ are discrete P{characters such that the generators X; Y and $Z := (XY)^{-1}$ of correspond to the boundary components of the quotient hyperbolic surface $\mathbf{H}^2 = ($).

Lemma 5.1.1 A representation 2 Hom(;SL(2; \mathbb{C})) has character [] = (*x*; *y*; *z*) 2 $_{0}$ if and only if is a discrete *P* {embedding such that *X*; *Y*; *Z* correspond to the components of @H² = ().

Proof The condition that $[] 2 _0$ is equivalent to x; y; z < -2, which implies that the generators (X), (Y) and (XY) are hyperbolic and their invariant axes $I_{(X)}; I_{(Y)}; I_{(XY)}$ are pairwise ultraparallel. Denoting the common perpendicular to two ultraparallel lines $I; I^0$ by $?(I; I^0)$, the six lines

bound a right-angled hexagon *H*. The union of *H* with its reflected image in $? I_{(X)}; I_{(Y)}$ is a fundamental domain for () acting on \mathbf{H}^2 . (Goldman [11], Gilman{Maskit [10], I-7, page 15). (Figure 10 depicts the identi cations corresponding to the generators (X); (Y).) The quotient is necessarily homeomorphic to a three-holed sphere *P* and the holonomy around components of @P are the three generators (X); (Y); (XY).

Recall (Section 2.2.2) that decomposes as the semidirect product

= (2) $\ltimes \mathfrak{S}_3$:

The mapping class group of a three-holed sphere *P* is isomorphic to $\mathbb{Z}=2$ \mathfrak{S}_3 . The \mathfrak{S}_3 {factor corresponds to the group of permutations of $_0(@P)$. The $\mathbb{Z}=2$ {factor is generated by the elliptic involution which acts by an orientation-reversing homeomorphism of *P*, whose xed-point set is the union of three

Action of the modular group



Figure 10: Fundamental hexagons for a hyperbolic three-holed sphere

disjoint arcs joining the boundary components. (See Section 2.2.3 for the corresponding automorphism of $\$.) Accordingly, \mathfrak{S}_3 preserves the Teichmüller space of P. The following result shows that these are the only automorphisms of the character variety which preserve the discrete P{characters.

Proposition 5.1.2 equals the disjoint union $2_{(2)} = 0$.

[

Proof We show that if for some $2_{(2)}$, the intersection $_0 \land _0$ is nonempty, then = 1. Suppose that $[] 2_0 \land _0$. By Lemma 5.1.1, both and are discrete P {embeddings such that $X_i Y_i (XY)^{-1}$ and $(X)_i (Y)_i ((XY)^{-1})$ correspond to $@\mathbf{H}^2 = ()$.

The automorphism \quad of the character space \mathbb{C}^3 corresponds to an automorphism \sim of \quad such that

for a sign-change automorphism 2 . Thus ~ is also a discrete P{ embedding, with quotient bounded by curves corresponding to $\sim(X)$; $\sim(Y)$ and $\sim(XY)$. Then $\sim(X)$ (respectively $\sim(Y)$, $\sim(XY)$) is conjugate to X^{-1} (respectively Y^{-1} , $(XY)^{-1}$). Such an automorphism is induced by a di eomorphism of the three-holed sphere P. Since the elliptic involution generates the mapping class group of P, ~ must be an inner automorphism possibly composed with the elliptic involution of , and hence must act trivially on characters. Thus = 1 as desired.

For *t* 18, the domain does not meet ${}^{-1}(t)$. (The closure intersects ${}^{-1}(18)$ in the {orbit of the character (-2; -2; -2) of the holonomy representation of a complete nite-area hyperbolic structure on *P*.) For t > 18, observe that ${}^{-1}(t)$ – contains the open subset

$$(-2/2)$$
 \mathbb{R} \mathbb{R} $\sqrt{-1}(t)$:

Thus $^{-1}(t)$ – has positive measure in $^{-1}(t)$.

Proposition 5.1.3 For any t > 2, the action of on $^{-1}(t)$ – is ergodic.

The proof uses an iterative procedure (Theorem 5.2.1) due to Kern-Isberner and Rosenberger [18], although their proof contains a gap near the end. See also Gilman and Maskit [10]. Theorem 5.2.1 is proved at the end of the paper.

5.2 The equivalence relation de ned by

Write u = v if there exists 2 such that u = v. Since is {invariant, u = v implies that (u) = (v).

Theorem 5.2.1 Suppose that $U \ge \mathbb{R}^3$ satisfies (U) > 2. Then there exists (x; y; z) = U u such that either

 $\exists u \ 2 \ (-1; -2]^3$, in which case $\exists u$ is the character of a Fuchsian representation whose quotient is a hyperbolic structure on a three-holed sphere P, with boundary mapping to either cusps or closed geodesics;

* 2 [-2;2], in which case \forall is the character of a representation mapping X to a non-hyperbolic element.

Recall that a measurable equivalence relation is *ergodic* if the only invariant measurable sets which are unions of equivalence classes are either null or conull. Equivalently an equivalence relation is ergodic if and only if every function constant on equivalence classes is constant almost everywhere. A group action de-

nes an equivalence relation. However, equivalence relations are more flexible since every subset of a space with an equivalence relation inherits an equivalence relation (whether it is invariant or not). Suppose that S is a measurable subset of a measure space X. If every point in X is equivalent to a point of a measurable subset of S, then ergodicity of the equivalence relation on X is equivalent to ergodicity of S with respect to the measure class induced from X.

Proof of Proposition 5.1.3 assuming Theorem 5.2.1 For i = 1/2, let $\mathfrak{E}_t^{(i)}$ denote the subset of ${}^{-1}(t)$ — where at least i of the coordinates lie in [-2/2]. Suppose that t > 2 and u = 2 -1(t) — Then Theorem 5.2.1 implies that $u \setminus \mathfrak{E}_t^{(1)} \not\in j$. Thus ergodicity of the {action on ${}^{-1}(t)$ — is equivalent to the ergodicity of the induced equivalence relation on $\mathfrak{E}_t^{(1)}$.

We now reduce to the equivalence relation on $\mathfrak{E}_t^{(2)}$. By applying a permutation we may assume that -2 < x < 2.

The level set

$$E(x_0) := {}^{-1}(t) \setminus x^{-1}(x_0)$$

is de ned by

$$\frac{2-x_0}{4}(y+z)^2 + \frac{2+x_0}{4}(y-z)^2 = t - 2 + x_0^2$$

and is an ellipse since $-2 < x_0 < 2$. Furthermore the symplectic measure on

 $^{-1}(t)$ disintegrates under the map x: $^{-1}(t) -! \mathbb{R}$ to $_X$ {invariant Lebesgue measure on $E(x_0)$. In particular the Dehn twist $_X$ (see Section 2.2.3) acts by the linear map

$$\frac{y}{z} -! = \frac{x}{1} - \frac{1}{0} \frac{y}{z}$$

which is linearly conjugate to a rotation of the circle through angle $\cos^{-1}(x=2)$. Thus for almost every $x_0 \ 2 \ (-2,2)$ the Dehn twist χ acts on $E(x_0)$ by a rotation of in nite order, and the action is ergodic. Furthermore by applying powers of χ , we may assume that -2 < y < 2 as well. Thus ergodicity of the

{action on $^{-1}(t)$ — is equivalent to the ergodicity of the induced equivalence relation on $\mathfrak{E}_t^{(2)}$.

Since acts by polynomial transformations over \mathbb{Z} , those points of $^{-1}(t)$ which are equivalent to a point with $\cos^{-1}(x=2)$ rational comprise a set of measure zero. We henceforth restrict to the complement of this set.

The quadratic reflection (see Section 2.2.3)

is the deck transformation for the double covering of $^{-1}(t)$ given by projection $_{(X;Y)}$ to the (X;Y) {plane. The image

$$(x;y)$$
: $^{-1}(t) \mathbb{R}^3 -! \mathbb{R}^2$

is the region

 $R_t := (x; y) \ 2 \ \mathbb{R}^2 \ j \ (x^2 - 4) \ (y^2 - 4) + \ t - 2 \qquad 0$

and $_{(X;Y)}$: $^{-1}(t) -! R_t$ is the quotient map for the action of Q_z . Thus ergodicity on $\mathfrak{E}_t^{(2)}$ reduces to ergodicity of the induced equivalence relation on

$$(x;y)(\mathfrak{E}_t^{(2)}) = [-2;2] [-2;2]$$

Ergodicity now follows as in Section 5.2 of [13]. Suppose that f: -1(t) - -! \mathbb{R} is a {invariant measurable function. The ergodic decomposition for the equivalence relation induced by the cyclic group $h_{X}i$ is the coordinate function

$$X: [-2/2] [-2/2] -! [-2/2]/$$

and by ergodicity of $h_X i$ on the level sets of x, there is a measurable function $g: [-2;2] -! \mathbb{R}$ such that f factors as $f = g_X$ almost everywhere. Applying the cyclic group $h_Y i$ to [-2;2], the function g is constant almost everywhere. Hence f is constant almost everywhere, proving ergodicity.

5.3 The trace-reduction algorithm

The proof of Theorem 5.2.1 is based on the following:

Lemma 5.3.1 Let 2 < x y z. Suppose that (x; y; z) > 2. Let $z^{\ell} = xy - z$. Then $z - z^{\ell} > 2$ (x; y; z) - 2.

The following expression for (x, y, z) will be useful:

$$(x; y; z) - 2 = \frac{1}{4} (2z - xy)^2 - (x^2 - 4)(y^2 - 4) = \frac{1}{4} (z - z^4)^2 - (x^2 - 4)(y^2 - 4) :$$
(5.3.1)

For xed x, y > 2, write $x, y: \mathbb{R} / \mathbb{R}$ for the quadratic function

$$x_{y}: Z \neq !$$
 $(x, y, Z) - 2 = Z^2 - xyZ + (x^2 + y^2 - 4)$

Then

where

$$(x, y) = \frac{1}{2} xy \quad \stackrel{[]}{} \overline{(x^2 - 4)(y^2 - 4)}$$

Furthermore reflection

$$z \neq ! \quad z^{\ell} = xy - z$$

interchanges the two intervals

$$J_{-} = -1; \quad _{-}(x; y)$$

$$J_{+} = \quad _{+}(x; y); \quad 1$$

comprising $_{X;Y}^{-1}$ (0; 1) .

Lemma 5.3.2 Suppose that 2 < x + y. Then -(x, y) < y < +(x, y)

Proof The conclusion is equivalent to (x, y, y) < 2, which is what we prove. First observe that y > 2 implies y - 1 = 2 > 3 = 2 so that

$$y - y^2 = y - \frac{1}{2}^2 + \frac{1}{4}$$

 $< - \frac{3}{2}^2 + \frac{1}{4} = -2$

and x < y implies that $x + 2 - y^2 < y + 2 - y^2 < 0$. Therefore

$$(x; y; y) - 2 = x^{2} + 2y^{2} - xy^{2} - 4$$

= $(x^{2} - 4) + (2y^{2} - xy^{2})$
= $(x - 2)(x + 2 - y^{2}) < 0$:

Conclusion of Proof of Lemma 5.3.1

By Lemma 5.3.2, the quadratic function x_{y} is negative at y. By hypothesis x_{y} is positive at z = y. Therefore

$$Z > + (X, y) > y$$

Thus $z \ 2 \ J_+$. Reflection $z \ 7! \ z^{\ell}$ interchanges the intervals J_+ and J_- , so $z^{\ell} \ 2 \ J_-$, that is $z^{\ell} < \ _-(x; y) < y$ (Lemma 5.3.2). By (5.3.1),

$$(z - z^{\ell})^2 = 4$$
 $(x; y; z) - 2 + (x^2 - 4)(y^2 - 4)$

$$> 4((x; y; z) - 2)$$

whence (because $z \quad y > z^{\ell}$)

$$z - z^{\ell} > 2^{[0]} (x; y; z) - 2;$$

completing the proof of Lemma 5.3.1.

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Conclusion of Proof of Theorem 5.2.1 Fix

$$u = (x; y; z) \ 2 \mathbb{R}^3$$

with (u) > 2. We seek u = u such that one of the following possibilities occurs:

one of the coordinates x; y; z lies in the interval [-2; 2];

 $X_{i}^{*}Y_{i}^{*}Z < -2.$

It therefore su ces to nd u u which lies in $(-1/2)^3$. Suppose that u does not satisfy this. The linear automorphisms in are arbitrary permutations of the coordinates and sign-change automorphisms which allow changing the signs of two coordinates. By applying linear automorphisms, we can assume that 2 < x + y = z.

Let := $2^{\left[\begin{array}{c} \frac{1}{2}\right]} (u) - 2 > 0$. By Lemma 5.3.1 the quadratic reflection $Q_z 2$ given by 2 3 2 3

$$u = 4y5 \not= z \qquad x^{3}$$
$$u = 4y5 \not= z^{4}$$
$$u = 4y5 z^{4}$$

reduces z by more than . If $z^{\ell} = 2$ then

$$U = (-x; -y; z^{\theta}) 2 (-1; 2]^{3};$$

completing the proof. Otherwise, all three coordinates of *u* are greater than 2 so we repeat the process. Since each repetition decreases x + y + z by more than , the procedure ends after at most (x + y + z - 6) = steps.

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Appendix: Elements of the modular group

We describe in more detail the automorphisms M and interpret them geometrically in terms of mapping classes of M.

Horowitz [17] determined the group $\operatorname{Aut}(\mathbb{C}^3;)$ of polynomial mappings $\mathbb{C}^3 -! \mathbb{C}^3$ preserving . We have already observed that the *linear automorphisms* form the semidirect product $\rtimes \mathfrak{S}_3$ of the group $= \mathbb{Z}=2$ $\mathbb{Z}=2$ of sign-changes (see Section 2.2.1) and the symmetric group \mathfrak{S}_3 consisting of permutations of the coordinates x; y; z. Horowitz proved that the automorphism group of $(\mathbb{C}^3; \cdot)$ is generated by the linear automorphism group

Aut(
$$\mathbb{C}^3$$
;) \ GL(3; \mathbb{C}) = $\rtimes \mathfrak{S}_3$

and the quadratic reflection:

This group is commensurable with Out().

We denote this group by ; it is isomorphic to a semidirect product

$$= PGL(2\mathbb{Z}) \ltimes (\mathbb{Z}=2 \mathbb{Z}=2)$$

where $PGL(2;\mathbb{Z})$ is the quotient of $GL(2;\mathbb{Z})$ by the elliptic involution (see below) and $(\mathbb{Z}=2 \quad \mathbb{Z}=2)$ is the group of sign-changes.

A-1 The elliptic involution However, Out() does not act e ectively on \mathbb{C}^3 . To describe elements of Out(), we use the isomorphism *h*: Out() -! GL(2; \mathbb{Z}) discussed in Section 1.2. The kernel of the homomorphism Out() -! Aut(\mathbb{C}^3 ;) is generated by $h^{-1}(-l)$.

The elliptic involution is a nontrivial mapping class which acts trivially on the character variety. This phenomenon is due to the *hyperellipticity* of the one-holed torus (as in [13], Section 10.2). The automorphism " of given by:

$$\begin{array}{cccc} X & 7! & Y X^{-1} Y^{-1} & X^{-1} \\ Y & 7! & (Y X) Y^{-1} (X^{-1} Y^{-1}) & Y^{-1} \\ X Y & 7! & X^{-1} Y^{-1} & (X Y)^{-1} \end{array}$$

preserves K = [X; Y] and acts on the homology by the element

$$h(") = -I = \begin{array}{c} -1 & 0 \\ 0 & -1 \end{array} 2 \operatorname{GL}(2;\mathbb{Z})$$

generating the center of $GL(2;\mathbb{Z})$. Furthermore "acts identically on the characters (x; y; z). Thus the homomorphism

$$\operatorname{GL}(2;\mathbb{Z}) \xrightarrow{h^{-1}} \operatorname{Out}() -! \operatorname{Aut}(\mathbb{C}^3;)$$

factors through $PGL(2;\mathbb{Z}) := GL(2;\mathbb{Z}) = f \ \mathbb{I}g.$

Note, however, that $'^2 = \kappa^{-1}$. The automorphism

$$YX$$
 ": -! $X \neq X^{-1}$ $Y \neq Y^{-1}$ $XY \neq X^{-1}Y^{-1}$ $(XY)^{-1}$

has order two in Aut() but does not preserve K.

A-2 The symmetric group Next we describe the automorphisms of which correspond to permutations of the three trace coordinates $x_i y_i z_i$. Permuting the two generators $X_i Y_j$ gives:

This automorphism $P_{(12)}$ sends $\mathcal{K} - \mathcal{I} \quad \mathcal{K}^{-1}$. It acts on characters by:

$$(P_{(12)}) \begin{array}{c} 2 & 3 & 2 & 3 \\ x & y \\ 4y5 & 7! & 4x5 \\ z & z \end{array}$$

and on the homology by $\begin{array}{cc} 0 & 1 \\ 1 & 0 \end{array}$. Another transposition of the character space is de ned by the involution

$$P_{(13)}: X \neq ! Y^{-1}X^{-1}$$

$$Y \neq ! XYX^{-1} Y$$

$$XY \neq ! X^{-1}$$

which maps $K \not\in K^{-1}$. It acts on characters by:

$$(P_{(13)}) : \begin{array}{ccc} 2 & 3 & 2 & 3 \\ x & z & z \\ 4 & y5 & 7 & 4 & y5 \\ z & x & x \end{array}$$

and on the homology by $\begin{array}{cc} -1 & 0 \\ -1 & 1 \end{array}$. The involution

$$P_{(23)}: X \neq ! Y^{-1}XY$$

$$Y \neq ! Y^{-1}X^{-1}$$

$$XY \neq ! Y^{-1}$$

maps $K \neq K^{-1}$ and acts on characters by:

$$(P_{(23)}) : \begin{array}{ccc} 2 & 3 & 2 & 3 \\ x & & x^{3} \\ 4y^{5} \neq ! & 4z^{5} \\ z & y \end{array}$$

and on the homology by $\begin{array}{cc} 1 & -1 \\ 0 & -1 \end{array}$. The composition $P_{(13)}$ $P_{(12)}$ will be denoted $P_{(123)}$ since the composition of the transposition (12) with the transposition (13) in \mathfrak{S}_3 equals the 3{cycle (123). Applying this composition, we obtain a 3{cycle of automorphisms

which preserve K, although $P_{(123)}^3$ equals the elliptic involution ", not the identity. The action $P_{(123)}$ on characters is:

which is the *inverse* of the permutation of coordinates given by (123). The action on homology is given by the respective matrices:

$$h(P_{(123)}) = \begin{array}{ccc} 0 & -1 \\ 1 & -1 \end{array}; \quad h(P_{(132)}) = \begin{array}{ccc} -1 & 1 \\ -1 & 0 \end{array};$$

In summary, we have the following correspondence between permutations of the coordinates and elements of PGL(2; \mathbb{Z}) given by \mathcal{V} h(P):

Action of the modular group

$$(132) \ \ \vec{\mathcal{F}}! \qquad \begin{array}{ccc} 1 & -1 \\ 1 & 0 \end{array} \qquad (123) \ \ \vec{\mathcal{F}}! \qquad \begin{array}{ccc} 0 & 1 \\ -1 & 1 \end{array}$$

The isomorphism $GL(2;\mathbb{Z}=2) = \mathfrak{S}_3$ relates to the di erential (at the origin) of the mappings in the image of Out() -! Aut(\mathbb{C}^3 ;) as follows. The origin is the only isolated point in the Out() {invariant set $^{-1}(-2) \setminus \mathbb{R}^3$, and is thus xed by all of Out(). (The origin corresponds to the quaternion representation (2.6.1); see Section 2.6.) Therefore taking the di erential at the origin gives a representation

Out() -!
$$GL(3; \mathbb{C})$$

7! $d_{(0,0,0)}$

whose image is $\mathfrak{S}_3.$ In particular it identi es with the composition

Out()
$$-\frac{1}{2}$$
 GL(2; \mathbb{Z}) $-!$ GL(2; \mathbb{Z} =2) = \mathfrak{S}_3

where the last arrow denotes reduction modulo 2. These facts can be checked by direct computation.

A-3 A quadratic reflection Here is a mapping class corresponding to a reflection preserving (x, y). The automorphism Q_z of given by:

$$\begin{array}{cccc} X & 7! & (XYX^{-1})X(XY^{-1}X^{-1}) & X \\ Y & 7! & (XYX^{-1})Y^{-1}(XY^{-1}X^{-1}) & Y^{-1} \\ XY & 7! & (XYX^{-1})XY^{-1}(XY^{-1}X^{-1}) & XY^{-1} \end{array}$$

maps $K \not \!\! D K^{-1}$ and

Similarly the other quadratic reflections are:

8

3

which induces

$$(Q_y) : \begin{array}{cccc} 2 & 3 & 2 & 3 \\ x & x & x \\ 4y^5 & 7! & 4xz - y^5; \\ z & z \end{array} h(Q_y) = \begin{array}{cccc} 1 & -2 \\ 0 & -1 \end{array}$$

and

which induces

$$(Q_x) : \begin{array}{c} 2 & 3 & 2 & 3 \\ x & y5 & 2yz - x \\ 4y5 & 7y & 4 & y & 5 \\ z & z & z \end{array} ; h(Q_x) = \begin{array}{c} 1 & 0 \\ 2 & -1 \end{array} ;$$

The square of each of these three reflections is the identity element of Aut(). These reflections correspond to the generators (2.2.3) of the level{2 congruence subgroup $GL(2;\mathbb{Z})_{(2)}$.

A-4 Another involution The automorphism

$$\begin{array}{cccc} X & 7! & Y^{-1} \\ Y & 7! & Y X Y^{-1} & X \\ X Y & 7! & X Y^{-1} \end{array}$$

preserves K, satis es ${}^{2} = {}^{"} and acts by:$ ${}^{2} {}^{3} {}^{2} {}^{3} {}^{3}$ ${}^{x} {}^{y} {}^{y} {}^{z} {}^{y} {}^{z} {}^{z} {}^{y} {}^{z} {}^{z} {}^{z} {}^{y} {}^{z} {}^{z}$

the composition of the transposition $P_{(12)}$ and the quadratic reflection Q_z . Note that $(P_{(12)})$ and (Q_z) commute in Aut(\mathbb{C}^3 ;).

A-5 A Dehn twist The automorphism

,

preserves K, and acts by:

the composition $P_{(13)}$ Q_Z .

Similarly the Dehn twist x around X

preserves K, and acts by:

the composition $P_{(23)}$ Q_Z .

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